

INTERNATIONAL STANDARD



**Electricity metering – Payment systems –
Part 41: Standard transfer specification (STS) – Application layer protocol for
one-way token carrier systems**



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Part 41: Standard transfer specification (STS) – Application layer protocol for
one-way token carrier systems**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

ICS 17.220.20; 35.100.70; 91.140.50

ISBN 978-2-8322-1614-9

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

ELECTRICITY METERING – PAYMENT SYSTEMS –**Part 41: Standard transfer specification (STS) –
Application layer protocol for one-way token carrier systems**

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International Standard IEC 62055-41 has been prepared by IEC technical committee 13: Electrical energy measurement and control.

This second edition cancels and replaces the first edition issued in 2007. It constitutes a technical revision. The main technical changes with regard to the previous edition are as follows:

- Class 2 token is extended to include credit transfer for gas and water with associated extensions in the display/test tokens.
- MfrCode is extended from 2 to 4 digits.
- Three token identifier base dates are defined to provide for more frequent key changes with TID roll-over procedures.
- A code of practice for the management of TID roll-over key changes in association with the revised set of base dates.
- Some clarifications and additional examples have been added.

The text of this standard is based on the following documents:

CDV	Report on voting
13/1530/CDV	13/1553/RVC

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

A list of all parts in the IEC 62055 series, published under the general title *Electricity metering – Payment systems*, can be found on the IEC website.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

INTRODUCTION

The IEC 62055 series covers payment systems, encompassing the customer information systems, point of sale systems, token carriers, payment meters and the respective interfaces that exist between these entities. At the time of preparation of this standard, IEC 62055 comprised the following parts, under the general title, *Electricity metering – Payment systems*:

Part 21: Framework for standardization

Part 31: Particular requirements – Static payment meters for active energy (classes 1 and 2)

Part 41: Standard transfer specification – Application layer protocol for one-way token carrier systems

Part 51: Standard transfer specification – Physical layer protocol for one-way numeric and magnetic card token carriers

Part 52: Standard transfer specification – Physical layer protocol for a two-way virtual token carrier for direct local connection

Part 4x series specify application layer protocols and Part 5x series specify physical layer protocols.

The standard transfer specification (STS) is a secure message protocol that allows information to be carried between point of sale (POS) equipment and payment meters and it caters for several message types such as credit, configuration control, display and test instructions. It further specifies devices and codes of practice that allow for the secure management (generation, storage, retrieval and transportation) of cryptographic keys used within the system.

~~The national electricity utility in South Africa (Eskom) first developed and published the STS in 1993 and transferred ownership to the STS Association in 1998 for management and further development. It is currently the only open system for one-way payment meters and to date there are more than 4 million STS payment meters in the field, being used by approximately 400 utilities in 28 countries. The STS has been stable for 10 years, is the de facto industry standard at national and international level and hence has been developed as an IEC standard with the appropriate reformatting to comply with WG15 work. The primary application of the STS has been for use with payment meters without a tariff employing energy-based tokens, but it could be applied to currency-based token systems.~~

~~Prior to the development of the STS a variety of proprietary payment meters and POS equipment had been developed, which were, however, not compatible with each other. This gave rise to a definite need among the major users to move towards standardized solutions in addressing operational problems experienced where various types of payment meter and POS equipment had to be operated simultaneously. A standard transfer specification was developed that would allow for the application and inter-operability of payment meters and POS equipment from multiple manufacturers in a payment metering installation.~~

~~Two encryption algorithms are in this standard. The STA is used in existing systems, while the DEA may be considered for future systems.~~

The token carrier, which is not specified in this part of IEC 62055, is the physical device or medium used to transport the information from the POS equipment to the payment meter. Three types of token carriers are currently specified in IEC 62055-51 and IEC 62055-52; the magnetic card, the numeric token carrier and a virtual token carrier, which have been approved by the STS Association. New token carriers can be proposed as new work items through the National Committees or through the STS Association.

Although the main implementation of the STS is in the electricity supply industry, it inherently provides for the management of other utility services such as water and gas. It should be noted that certain functionalities may not apply across all utility services, for example, MaximumPowerLimit in the case of a water meter. Similarly, certain terminology may not be appropriate in non-electrical applications, for example, Load Switch in the case of a gas meter. Future revisions of the STS may allow for other token carrier technologies like smart cards and memory keys with two-way functionality and to cater for a real-time clock and complex tariffs in the payment meter.

Not all the requirements specified in this standard are compulsory for implementation in a particular system configuration and as a guideline, a selection of optional configuration parameters are listed in Clause C.11.

~~The STS Association has established D-type liaison with working group 15 of IEC TC 13 for the development of standards within the scope of the STS and is thus responsible for the maintenance of any such IEC standards that might be developed as a result of this liaison.~~

The STS Association is registered with the IEC as a Registration Authority for providing maintenance services in support of the STS (see Clause C.1 for more information).

Publication of IEC 62055-41 Ed 1 in May 2007 resulted in its rapid adoption as the preferred global standard for prepayment meters in many IEC member countries and a majority of IEC affiliate member countries. Prepayment electricity meters and their associated Payment Systems are now produced, operated and maintained by an ecosystem of utilities, meter manufacturers, meter operators, vending system providers, vending agents, banking institutions and adjacent industries. Multi-stakeholder interests are served by the STS Association comprising of more than 130 organisations located in over 24 countries. Interoperability and conformance to the Standard Transfer System (STS) are guaranteed by Conformance test specifications developed and administered by the STS Association. A full list of the STS Association services can be found at <http://www.sts.org.za>.

Developed originally for prepayment electricity meters in Africa – via an IEC TC13 WG15 D-type liaison with the STS Association – this IEC standard now serves more users in Asia than Africa, with a total of approximately 35 million meters operated by 400 utilities in 30 countries. Management of the technology has been administered by the STS Association in fulfilment of its role as the IEC appointed Registration Authority.

Global success has brought about an urgent need to extend the range of the numerical elements contained in IEC 62055-41 tables. In particular, the range of manufacturer numbers need to be extended beyond the 99 numbers originally provided. Also, application of the standard has been extended to cater for multi-energy systems including gas and water meters. Accordingly, there is a need to ensure that the content of IEC 62055-41 is maintained to cater for this market growth and multi-energy extensions.

Several corrections and clarifications are also required to bring Ed 1 up to date with current practice. This was considered by TC13 WG15 at its meeting on the 20 September 2012 in London, where it was agreed that IEC 62055-41 should be revised.

Only the most urgently required revisions have been incorporated in Edition 2 due to timing constraints, but it is anticipated that Edition 3 will consider further revisions to incorporate the following functionalities:

- Currency transfer
- Enhanced security on par with contemporary industry practice
- Complex functions fully harmonized with DLMS/COSEM suite
- Decentralized key management system with distributed architecture
- Conformance certification test suite in conjunction with IEC CB scheme

The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent concerning special reserved token identifier given in 6.3.5.2.

IEC takes no position concerning the evidence, validity and scope of this patent right.

The holder of this patent right has assured the IEC that he/she is willing to negotiate licences either free of charge or under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the holder of this patent right is registered with IEC. Information may be obtained from:

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The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this International Standard may involve the use of a maintenance service concerning encryption key management and the stack of protocols on which the present International Standard IEC 62055-41 is based [see Clause C.1]. The IEC takes no position concerning the evidence, validity and scope of this maintenance service.

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ELECTRICITY METERING – PAYMENT SYSTEMS –

Part 41: Standard transfer specification (STS) – Application layer protocol for one-way token carrier systems

1 Scope

This part of IEC 62055 specifies the application layer protocol of the STS for transferring units of credit and other management information from a point of sale (POS) system to an STS-compliant payment meter in a one-way token carrier system. It is primarily intended for application with electricity payment meters without a tariff employing energy-based tokens, but may also have application with currency-based token systems and for services other than electricity.

It specifies:

- a POS to token carrier interface structured with an application layer protocol and a physical layer protocol using the OSI model as reference;
- tokens for the application layer protocol to transfer the various messages from the POS to the payment meter;
- security functions and processes in the application layer protocol such as the Standard Transfer Algorithm and the Data Encryption Algorithm, including the generation and distribution of the associated cryptographic keys;
- security functions and processes in the application layer protocol at the payment meter such as decryption algorithms, token authentication, validation and cancellation;
- specific requirements for the meter application process in response to tokens received;
- a scheme for dealing with payment meter functionality in the meter application process and associated companion specifications;
- generic requirements for an STS-compliant key management system;
- guidelines for a key management system;
- entities and identifiers used in an STS system;
- code of practice for the management of TID roll-over key changes in association with the revised set of base dates;
- code of practice and maintenance support services from the STS Association.

It is intended for use by manufacturers of payment meters that have to accept tokens that comply with the STS and also by manufacturers of POS systems that have to produce STS-compliant tokens and is to be read in conjunction with IEC 62055-5x series.

STS-compliant products are required to comply with selective parts of this International Standard only, which is the subject of the purchase contract (see also Clause C.11).

NOTE 1 Although developed for payment systems for electricity, the standard also makes provision for tokens used in other utility services, such as water and gas.

~~**NOTE 2** STS-compliant products are required to comply with selective parts of this International Standard only, which should be the subject of the purchase contract (see also C.11).~~

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050 (all parts), *International Electrotechnical Vocabulary* (available at <http://www.electropedia.org>)

~~IEC 60050-300, *International Electrotechnical Vocabulary (IEV) – Electrical and electronic measurements and measuring instruments – Part 311: General terms relating to measurements – Part 312: General terms relating to electrical measurements – Part 313: Types of electrical measuring instruments – Part 314: Specific terms according to the type of instrument*~~

IEC 62051:1999, *Electricity metering – Glossary of terms*

IEC 62055-21:2005, *Electricity metering – Payment systems – Part 21: Framework for standardization*

IEC 62055-31:2005, *Electricity metering – Payment systems – Part 31: Particular requirements – Static payment meters for active energy (classes 1 and 2)*

IEC 62055-51:2007, *Electricity metering – Payment systems – Part 51: Standard transfer specification (STS) – Physical layer protocol for one-way numeric and magnetic card token carriers*

IEC 62055-52:2008, *Electricity metering – Payment systems – Part 52: Standard transfer specification (STS) – Physical layer protocol for a two-way virtual token carrier for direct local connection*

ISO/IEC 7812-1:2006, *Identification cards – Identification of issuers – Part 1: Numbering system*

ISO/IEC 7812-2:2000/2007, *Identification cards – Identification of issuers – Part 2: Application and registration procedures*

ANSI X3.92-1981, *American National Standard Data Encryption Algorithm, American National Standards Institute – Data Encryption Algorithm*

FIPS PUB 46-3:1999, *Federal Information Processing Standards Publication – Data Encryption Standard*

3 Terms, definitions and abbreviations

3.1 Terms and definitions

3.1.1 General

For the purposes of this document, the terms and definitions given in ~~IEC 60050-300~~ IEC 60050, IEC 62051, IEC 62055-31 as well as the following apply.

NOTE Where there is a difference between the definitions in this standard and those contained in other referenced IEC standards, then those defined in this standard ~~shall~~ take precedence.

The term “meter” is used interchangeably with “payment meter”, “prepayment meter” and “decoder”, where the decoder is a sub-part of an electricity payment meter or a multi-part payment meter.

The term “POS” is used synonymously with “CIS”, “MIS” and “HHU” in the sense that tokens may also be generated by, and transferred between these entities and the payment meter.

The term “utility” is used to signify the supplier of the service in a general sense. In the liberalized markets the actual contracting party acting as the “supplier” of the service to the consumer may not be the traditional utility as such, but may be a third service provider party.

3.1.1

companion specification

specification managed by the STS Association, which defines a specific instance of a MeterFunctionObject (see 5.5 and Clause C.8)

3.1.2

decoder

part of the TokenCarrierToMeterInterface of a payment meter that performs the functions of the application layer protocol and, which allows token-based transactions to take place between a POS and the payment meter

3.1.3

meter serial number

number that is associated with the metrological part of the payment meter

Note 1 to entry: In a single-part payment meter the DRN and meter serial number may be synonymous, while in a multi-part payment meter they may be different.

3.1.4

token

subset of data elements, containing an instruction and information that is present in the APDU of the Application Layer of the POSToTokenCarrierInterface, and which is also transferred to the payment meter by means of a token carrier (the converse is also true in the case of a token being sent from the payment meter to the POS)

3.1.5

token carrier

medium that is used in the Physical Layer of the POSToTokenCarrierInterface, onto which a token is modulated or encoded, and which serves to carry a token from the point where it is generated to the remote payment meter, where it is received

3.1.6

one-way token carrier system

payment metering system, which employs token carriers that transfer information in one direction only – from the POS to the payment meter

3.1.7

token-based transaction

processing of any token by the payment meter that has material effect on the amount, value or quality of service to be delivered to the consumer under control of the payment meter (in terms of current practice this means tokens of Class 0 and Class 2)

3.1.8

supported

the ability to perform a defined function

Note 1 to entry: If a supported function is disabled, it remains supported.

3.2 Abbreviations

ANSI American National Standards Institute

APDU ApplicationProtocolDataUnit

CA	CertificationAuthority
CC	CountryCode
CIS	Customer Information System
CM	CryptographicModule
CMAC	CryptographicModuleAuthenticationCode
CMID	CryptographicModuleIdentifier
COP	Code of practice
CRC	CyclicRedundancyCode
DAC	DeviceAuthenticationCode
DCTK	DecoderCommonTransferKey
DD	Discretionary Data
DDTK	DecoderDefaultTransferKey
DEA	Data Encryption Algorithm
DES	Data Encryption Standard
DITK	DecoderInitializationTransferKey
DK	DecoderKey
DKGA	DecoderKeyGenerationAlgorithm
DKR	DecoderKeyRegister
DOE	DateOfExpiry
DRN	DecoderReferenceNumber [known as a “meter number” in systems in use prior to the development of this standard]
DSN	DecoderSerialNumber
DUTK	DecoderUniqueTransferKey
EA	EncryptionAlgorithm
ECB	Electronic Code Book
ETX	ASCII End of Text character
FAC	FirmwareAuthenticationCode
FIPS	Federal Information Processing Standards
FOIN	FunctionObjectIdentificationNumber
FS	FieldSeparator
GPRS	General Packet Radio Service
GSM	Global System For Mobile Communications
HHU	HandHeldUnit
IAIN	IndividualAccountIdentificationNumber
ID	Identification; Identifier
IIN	IssuerIdentificationNumber
ISDN	Integrated Services Digital Network
ISO	International Standards Organisation
ISO BIN	Replaced by IIN
KCT	KeyChangeToken
KEK	KeyExchangeKey
KEN	KeyExpiryNumber
KLF	KeyLoadFile

KMC	KeyManagementCentre
KMI	KeyManagementInfrastructure
KMS	KeyManagementSystem
KRN	KeyRevisionNumber
KT	KeyType
LAN	Local Area Network
LRC	LongitudinalRedundancyCheck
MFO	MeterFunctionObject
Mfr	Manufacturer
MII	MajorIndustryIdentifier
MIS	Management Information System
MPL	MaximumPowerLimit
MPPUL	MaximumPhasePowerUnbalanceLimit
NIST	National Institute of Standards and Technology
NKHO	NewKeyHighOrder bits
NKLO	NewKeyLowOrder bits
NWIP	New Work Item Proposal
OSI	Open Systems Interconnection
PAN	PrimaryAccountNumber
PLC	Power Line Carrier
POS	PointOfSale
PRN	Printer
PSTN	Public Switched Telephone Network
RND	RandomNumber
RO	Rollover
SG	SupplyGroup
SGC	SupplyGroupCode
STA	Standard Transfer Algorithm
STS	Standard Transfer Specification
STSA	Standard Transfer Specification Association
STX	ASCII Start of Text character
TCDU	TokenCarrierDataUnit
TCT	TokenCarrierType
TDEA	Triple Data Encryption Algorithm
TI	TariffIndex
TID	TokenIdentifier
UC	UtilityCode
VCDK	VendingCommonDESKey
VDDK	VendingDefaultDESKey
VK	VendingKey
VUDK	VendingUniqueDESKey
WAN	Wide Area Network
XOR	Exclusive Or (logical)

3.3 Notation and terminology

Throughout this standard the following rules are observed regarding the naming of terms:

- entity names, data element names, function names and process names are treated as generic object classes and are given names in terms of phrases in which the words are capitalized and joined without spaces. Examples are: SupplyGroupCode as a data element name, EncryptionAlgorithm07 as a function name and TransferCredit as a process name (see note);
- direct (specific) reference to a named class of object uses the capitalized form, while general (non-specific) reference uses the conventional text i.e. lower case form with spaces. An example of a direct reference is: “The SupplyGroupCode is linked to a group of meters”, while an example of a general reference is: “A supply group code links to a vending key”;
- other terms use the generally accepted abbreviated forms like PSTN for Public Switched Telephone Network.

NOTE The notation used for naming of objects has been aligned with the so called “camel-notation” used in the common information model (CIM) standards prepared by IEC TC 57, in order to facilitate future harmonization and integration of payment system standards with the CIM standards.

4 Numbering conventions

In this standard, the representation of numbers in binary strings uses the convention that the least significant bit is to the right, and the most significant bit is to the left.

Numbering of bit positions start with bit position 0, which corresponds to the least significant bit of a binary number.

Numbers are generally in decimal format, unless otherwise indicated. Any digit without an indicator signifies decimal format.

Binary digit values range from 0 to 1.

Decimal digit values range from 0 to 9.

Hexadecimal digit values range from 0 to 9, A to F and are indicated by “hex”.

5 Reference model for the standard transfer specification

5.1 Generic payment meter functional reference diagram

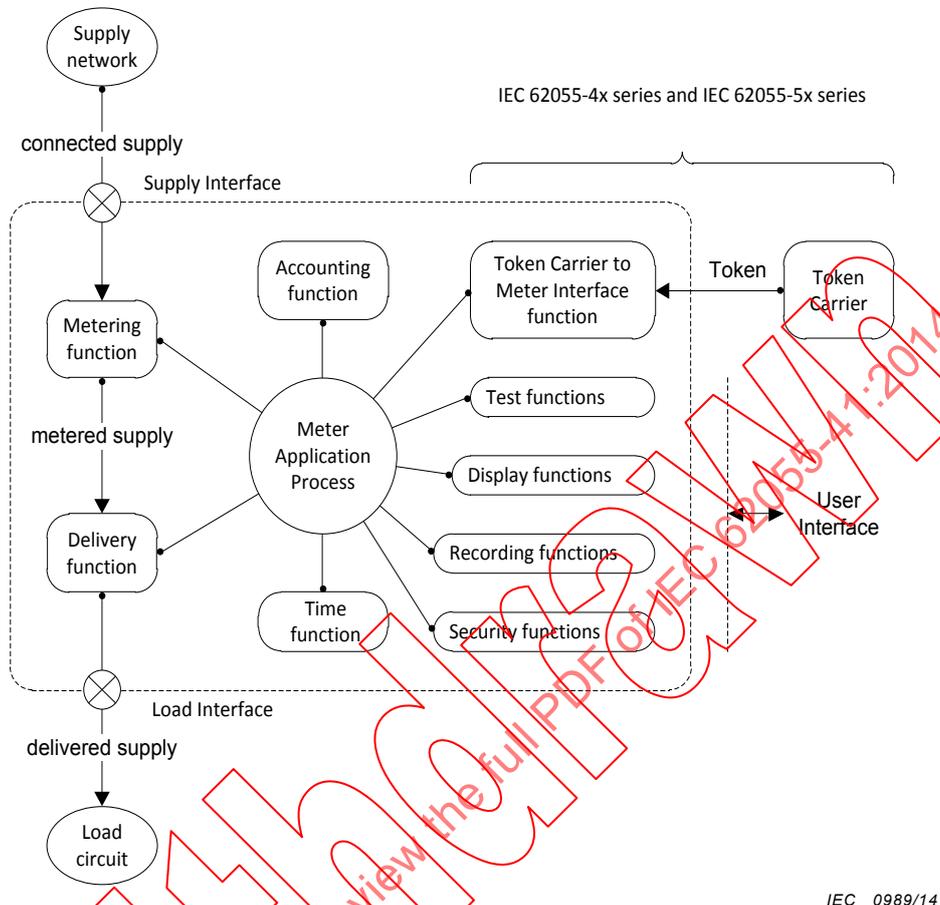


Figure 1 – Functional block diagram of a generic single-part payment meter

The IEC 62055-4x series primarily deals with the application layer protocol and IEC 62055-5x series with the physical layer protocol of the TokenCarrierToMeterInterface. The TokenCarrier is included in the Physical Layer. In this standard the Decoder (see Clause 3) is defined as that part of the payment meter where the Application Layer functions of the TokenCarrierToMeterInterface are located and it is thus allocated a DRN (see 6.1.2.3).

NOTE MeterFunctionObjects are further discussed in 5.5.

In a single-part payment meter all the essential functions are located in a single enclosure as depicted in Figure 1 above, in which case the decoder is integral with the metering function and the DRN could thus optionally be synonymous with the meter serial number.

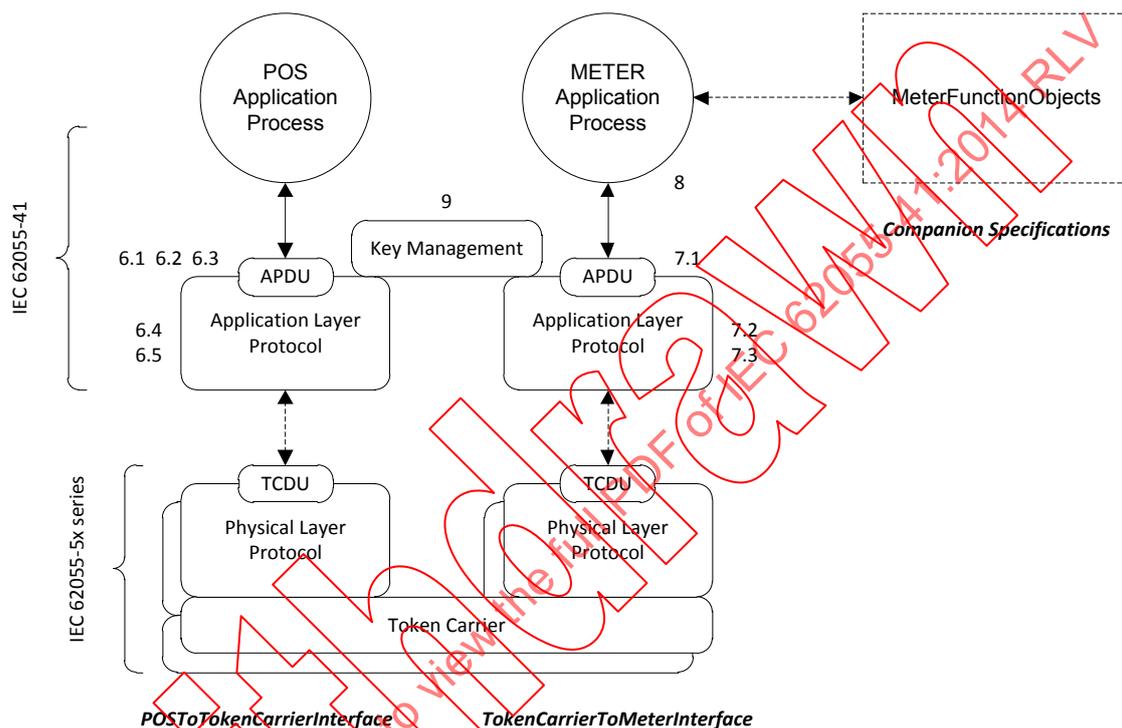
In a multi-part payment meter it is possible for the TokenCarrierToMeterInterface to be located in a separate enclosure from that of the metering function for example, which may well be a standalone meter in its own right and having its own meter serial number. In this case, the DRN would not be the same as the meter serial number, but would be distinctly different and would thus be marked on the enclosure containing the decoder.

In all cases, there shall only be one Application Layer implementation and thus there shall be only one DRN associated with a payment meter, whether it is single or multi-part, even though there may also be more than one Physical Layer implementation in the same payment meter.

It is also possible that the Application Layer functions and the Physical Layer functions are located in separate enclosures, in which case, the marking (see 8.3) of the DRN and the EA code is applied to that part that contains the physical TokenCarrier connection point. This may be a cable or modem connector for a virtual token carrier, a keypad for a numeric token carrier or a magnetic card reader for magnetic card token carrier for example (see also 5.2 for more examples of token carriers).

For a more complete description of payment meter function classes see IEC 62055-21.

5.2 STS protocol reference model



IEC 0990/14

Key

- APDU Application Protocol Data Unit; data interface to the application layer protocol
- TCDU Token Carrier Data Unit; data interface to the physical layer protocol
- Relevant clause number references in this standard are indicated adjacent to each box.

Figure 2 – STS modelled as a 2-layer collapsed OSI protocol stack

The STS is a secure data transfer protocol between a POS and a payment meter using a token carrier as the transfer medium. The application layer protocol deals with tokens and encryption processes and functions, while the physical layer protocol deals with the actual encoding of token data onto a token carrier (see Figure 2).

Examples of physically transportable token carrier devices are: numeric, magnetic cards, memory cards and memory keys. Examples of virtual token carriers are: PSTN modem, ISDN modem, GSM modem, GPRS modem, Radio modem, PLC modem, Infra-red, LAN and WAN connections and direct local connection. These are defined in the IEC 62055-5x series.

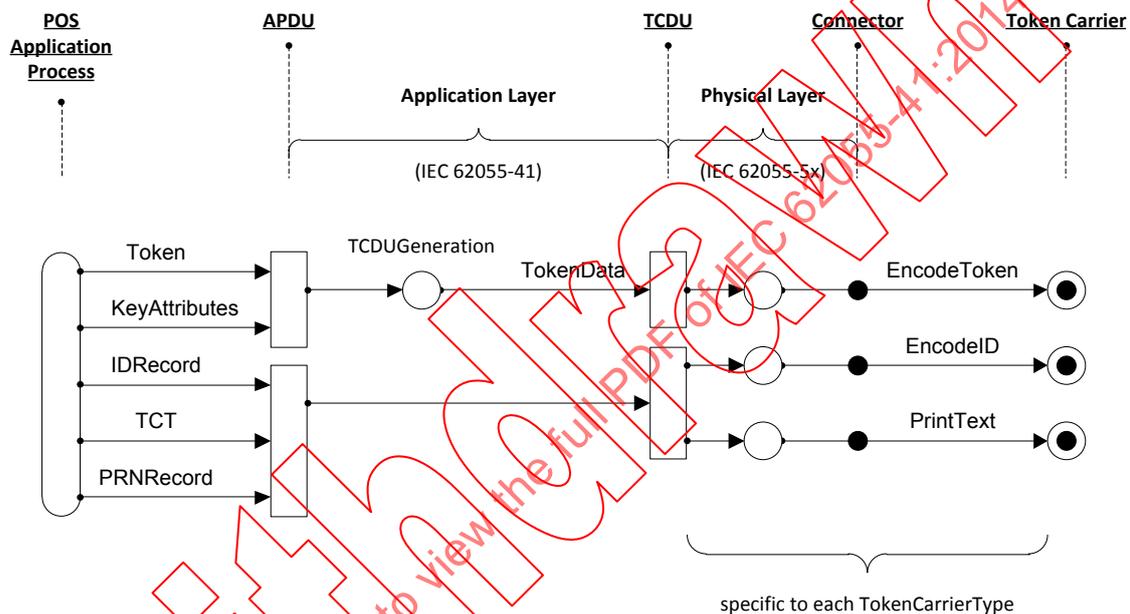
It ~~must~~ shall be noted that although the model primarily depicts a POS to token carrier to payment meter protocol, the same protocol is equally applicable to any other device that requires communicating with the payment meter, for example CIS, MIS or portable HHU.

Although a collapsed 2-layered OSI architecture is followed in this standard, it does not preclude future expansion to include more layers should the need arise or for the implementer to interpose additional layers between the two shown in this model.

The APDU is the data interface to the application layer protocol, specified in IEC 62055-41 and the TCDU is the data interface to the physical layer protocol, specified in the IEC 62055-5x series.

The STS in this standard defines a one-way data transfer protocol (i.e. from POS to payment meter), although the reference model allows equally for a two-way transfer protocol, which may be a requirement in a future revision of this standard.

5.3 Dataflow from the POSApplicationProcess to the TokenCarrier



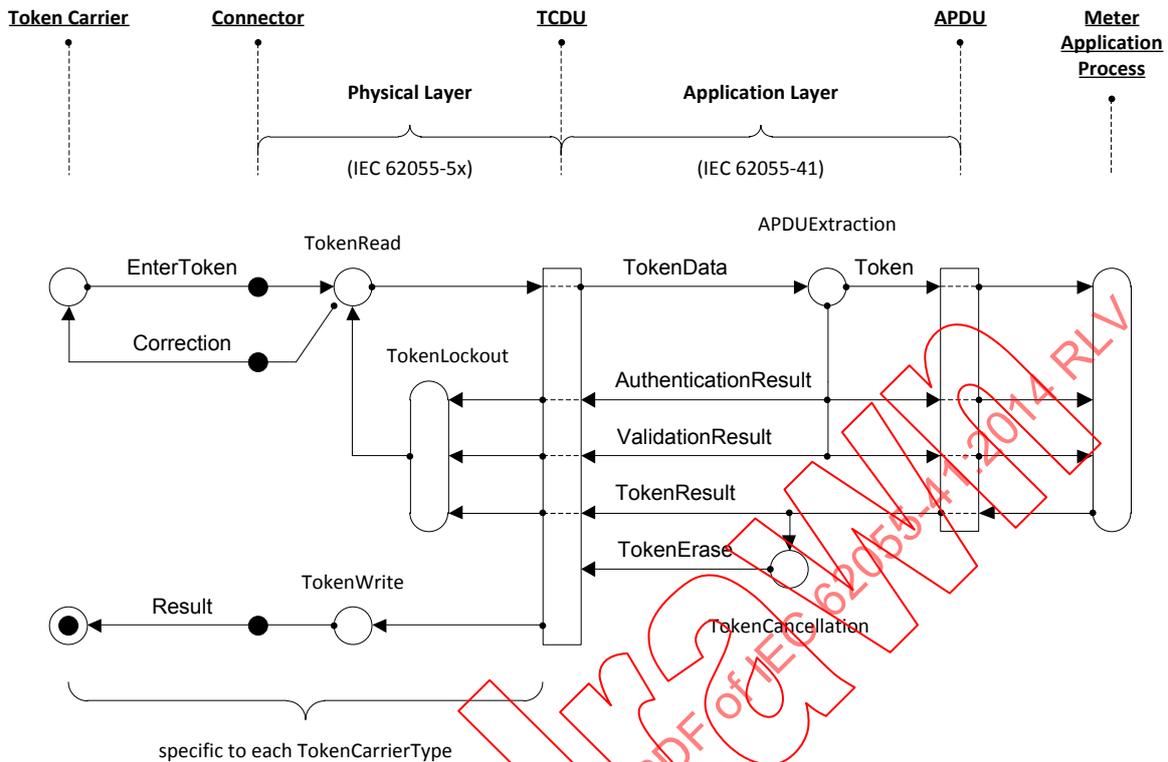
IEC 0991/14

Figure 3 – Dataflow from the POSApplicationProcess to the TokenCarrier

The flow of data from the POSApplicationProcess to the TokenCarrier is shown in Figure 3.

The POSApplicationProcess presents the token to the APDU together with the KeyAttributes of the DecoderKey that is to be used for encrypting the token. The application layer protocol generates the DecoderKey, encrypts the token and presents the resultant TokenData in the TCDU. The physical layer protocol encodes the TokenData onto the TokenCarrier. Optionally, payment meter identification data may also be encoded onto the TokenCarrier (see 5.2.4 in IEC 62055-51:2007 for example) as well as printed text onto the outside surface (see 5.1.5 in IEC 62055-51:2007 for example). This part of the process essentially ends with the encoding of data onto the TokenCarrier, after which the TokenCarrier is transported to the payment meter (usually by the customer), where it is entered into the payment meter via the TokenCarrierInterface.

5.4 Dataflow from the TokenCarrier to the MeterApplicationProcess



IEC 0992/14

Figure 4 – Dataflow from the TokenCarrier to the MeterApplicationProcess

The flow of data from the TokenCarrier to the MeterApplicationProcess is shown in Figure 4.

The token entry process from the TokenCarrier varies according to the TCT. The nature of the connector will similarly vary according to the TCT, an example of which may be a keypad or a magnetic card reader device supporting one-way token carriers as specified in IEC 62055-51.

NOTE Other types of connectors would be required to support other types of token carriers, such as a memory key reader device or a plug-in connector from a hand-held unit acting as a virtual token carrier. Such token carriers might be specified in additional parts of IEC 62055-5x in the future.

The physical layer protocol reads the token data being entered and provides immediate corrective feedback to the user (see 6.3 in IEC 62055-51:2007 for example). The entered token data is presented in the TCDU, from where the application layer protocol extracts the token by appropriate decryption, validation and authentication, the results of which are presented to the MeterApplicationProcess in the APDU. After processing and executing the instruction from the token, the MeterApplicationProcess indicates the result in the APDU for the application layer protocol to take further action. This normally causes the cancellation of the TID and the giving of the instruction, via the TCDU, to the physical layer protocol to complete the token entry process by erasure of the token data (if appropriate) or by writing of other relevant data back onto the TokenCarrier as may be appropriate.

For certain TokenCarrier types (for example a high speed virtual token carrier) the physical layer protocol may employ a token entry lockout function to protect the payment meter from fraud attempts. Typically, such a lockout function would slow down the effective rate, at which tokens may be entered via the particular token carrier interface (see 6.6.67 of IEC 62055-52:2008 for example).

5.5 MeterFunctionObjects / companion specifications

With reference to Figure 1 it can be seen that the TokenCarrierToMeterInterface, which also includes the TokenCarrier, is dealt with in the IEC 62055-4x and IEC 62055-5x series. The remaining MeterFunctionObjects shown in the diagram are defined in companion specifications and are not normative to this standard.

Companion specifications (see Figure 2) are under the administrative control (see Clause C.8) of the STS Association and serve the purpose of defining functionality of a payment meter in a standardized way, using an object-oriented approach.

5.6 ISO transaction reference numbers

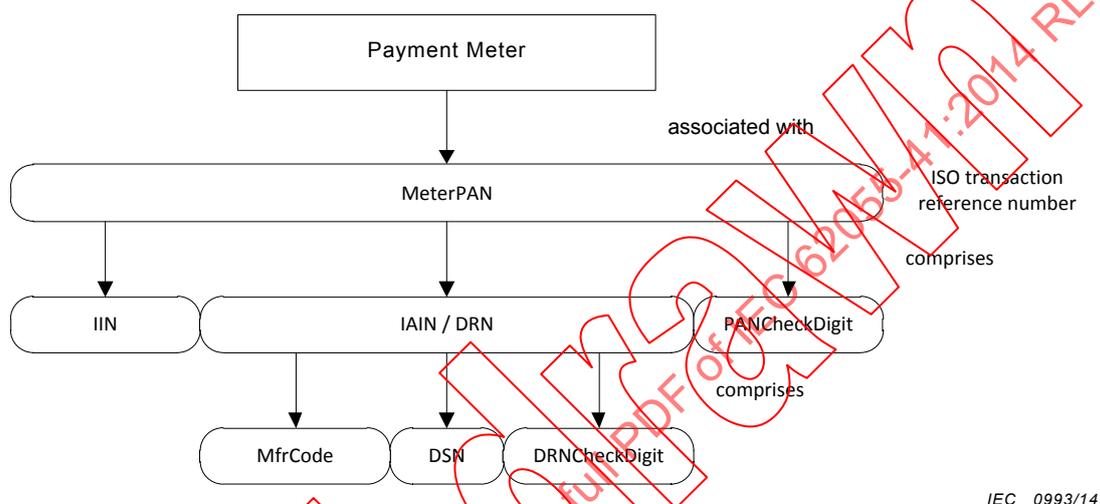


Figure 5 – Composition of ISO transaction reference number

The ISO transaction reference number comprises the data elements and their relationships as shown in Figure 5.

A token-based transaction (see Clause 3) constitutes a financial activity that needs to be dealt with in accordance with standard financial practices.

The PrimaryAccountNumber (PAN) as defined by ISO/IEC 7812-1 serves to tag transaction records, messages, requests, authorizations and notifications, in which both transacting parties are uniquely identifiable.

A payment meter is thus uniquely associated with a MeterPAN, being a composite number comprising of IIN and IAIN / DRN, which in turn comprises MfrCode and DSN (see 6.1.2).

6 POSToTokenCarrierInterface application layer protocol

6.1 APDU: ApplicationProtocolDataUnit

6.1.1 Data elements in the APDU

The APDU is the data interface between the POSApplicationProcess and the application layer protocol and comprises the data elements given in Table 1.

Table 1 – Data elements in the APDU

Element	Context	Format	Reference
MeterPAN	ISO compliant identification MeterPrimaryAccountNumber for the payment meter	18 digits	6.1.2
TCT	Directs which TokenCarrierType should be used in the physical layer protocol to carry the token to the payment meter	2 digits	6.1.3
DKGA	Directs which DecoderKeyGenerationAlgorithm is to be used for generating the DecoderKey	2 digits	6.1.4
EA	Directs which encryption algorithm is to be used for encrypting the token data	2 digits	6.1.5
SGC	Directs which SupplyGroupCode the payment meter is allocated to	6 digits	6.1.6
TI	Directs which TariffIndex the payment meter is linked to	2 digits	6.1.7
KRN	Directs which KeyRevisionNumber the DecoderKey is on	1 digit	6.1.8
KT	Directs which KeyType the DecoderKey is on	1 digit	6.1.9
KeyExpiryNumber	A number associated with the VendingKey and a DecoderKey that determines the time period, during which the key will remain valid	8 bits	6.1.10
Token	The actual token data that is to be transferred to the payment meter prior to encryption and processing	66 bits	6.2.1
IDRecord	Optional identification data intended to be encoded onto a payment meter ID card or onto a token carrier together with the token	35 digits	Table 2
PRNRecord	Optional print data intended to be printed at the same time as the coding of the token onto the TokenCarrier. Certain token carriers such as paper-based magnetic card devices allow printing to be done onto the card surface itself and this operation may be integrated with the magnetic card encoding device. The content and format is not specified and is left to each system to define according to its particular requirements	Undefined text	x

The optional IDRecord comprises the data elements given in Table 2.

Table 2 – Data elements in the IDRecord

Element	Context	Format	Reference
MeterPAN	ISO compliant identification MeterPrimaryAccountNumber for the payment meter	18 digits	6.1.2
DOE	Optional expiry date for the identification data as encoded onto a payment meter ID card or token carrier (as an example, see IEC 62055-51)	4 digits	6.1.11
TCT	Indicates which TokenCarrierType is associated with this MeterPAN	2 digits	6.1.3
EA	Indicates which encryption algorithm is associated with this MeterPAN	2 digits	6.1.5
SGC	Indicates which SupplyGroupCode is associated with this MeterPAN	6 digits	6.1.6
TI	Indicates which TariffIndex is associated with this MeterPAN	2 digits	6.1.7
KRN	Indicates which KeyRevisionNumber is associated with this MeterPAN	1 digit	6.1.8

6.1.2 MeterPAN: MeterPrimaryAccountNumber

6.1.2.1 Data elements in the MeterPAN

The MeterPAN is a unique identification number for each STS-compliant payment meter. It comprises the 3 parts given in Table 3 and is in accordance with the definition for the PAN (PrimaryAccountNumber) of ISO/IEC 7812-1.

Table 3 – Data elements in the MeterPAN

Element	Context	Format	Reference
IIN	IssuerIdentificationNumber	4/6 digits	6.1.2.2
IAIN / DRN	IndividualAccountIdentificationNumber / DecoderReferenceNumber	11/13 digits	6.1.2.3
PANCheckDigit	Formula to check the integrity of the IIN and the IAIN	1 digit	6.1.2.4

NOTE The first digit of the IIN is the most significant digit of the 18-digit MeterPAN and the PANCheckDigit is the least significant digit.

See also Annex C for Code of practice on managing this data element.

6.1.2.2 IIN: IssuerIdentificationNumber

The IIN is a unique 6-digit number that defines a domain, under which further IAIN values (i.e. DRN values) may be issued for use within this defined domain.

The original intent and purpose of the IIN was to be able to tag financial transactions in order to uniquely identify them and to route them to the appropriate transacting financial accounts. It was thus intended that the IIN be issued by the ISO under the registration scheme given in ISO/IEC 7812-1 and ISO/IEC 7812-2. However, this has proven to be impractical and the value 600727 for IIN has since become the de-facto standard, ~~which is being used world wide for all STS-compliant electricity payment metering systems for legacy systems utilising an 11-digit DRN. The continuation of this practice is encouraged.~~

~~Thus, for the purpose of this standard where it applies to electricity STS-compliant systems, the IIN shall be 600727.~~

It has subsequently become necessary to also make provision for 13-digit DRNs (as defined in 6.1.2.3.1) in which case the IIN shall be 0000 (four zeroes).

See also C.3.2 on managing this data element.

6.1.2.3 IAIN: IndividualAccountIdentificationNumber/ DRN: DecoderReferenceNumber

6.1.2.3.1 Data elements in the IAIN / DRN

A unique DRN shall be allocated to the device that performs the application layer protocol in an STS-compliant payment meter.

NOTE In many systems, the decoder part is integral with the metering part and hence the DRN might be synonymous with the meter serial number.

This is an 11/13-digit number comprising of the data elements given in Table 4.

Table 4 – Data elements in the IAIN / DRN

Element	Context	Format	Reference
MfrCode	A 2-digit number to uniquely identify a payment meter manufacturer	2/4 digits	6.1.2.3.2
DSN	An eight digit serial number allocated by the manufacturer	8 digits	6.1.2.3.3
DRNCheckDigit	Check Digit; formula to check the integrity of the MfrCode and the DSN	1 digit	6.1.2.3.4
NOTE The MfrCode is the 2/4 most significant digits of the 11/13-digit DRN and the DRNCheckDigit is the least significant digit.			

MfrCode values ~~less than 10~~ shall **always** be right justified and left padded with 0's. ~~For example 01, 02-09.~~

The DSN shall be right justified and left padded with 0 to a full 8-digit string.

6.1.2.3.2 MfrCode: ManufacturerCode

The MfrCode is a 2/4-digit number that shall be used to uniquely identify the manufacturer of the payment meter.

The STS Association provides a service for the allocation of MfrCode values to uniquely identify manufacturers in order to ensure interoperability of STS-compliant equipment.

See also C.3.3 on managing this data element.

6.1.2.3.3 DSN: DecoderSerialNumber

The DSN is a unique 8-digit serial number that is generated internally by the manufacturer. Each manufacturer is responsible for the uniqueness of the DSN with respect to his MfrCode.

See also C.3.4 on managing this data element.

6.1.2.3.4 DRNCheckDigit

The DRNCheckDigit is a single digit used to validate the integrity of the MfrCode and DSN values when being entered by hand or being read by machine. This is a modulus 10 check digit, calculated using the Luhn formula, as illustrated in Annex B of ISO/IEC 7812-1:2000. It is calculated on the 10/12 preceding digits of the DRN generated through the concatenation of the MfrCode and the DSN values.

6.1.2.4 PANCheckDigit

The PANCheckDigit is a single digit used to validate the integrity of the IIN and the IAIN values when being entered by hand or being read by machine. The method used to calculate the PANCheckDigit value is given in 4.4 of ISO/IEC 7812-1:2000 and is calculated on the preceding 17 digits of the MeterPAN generated through the concatenation of the IIN and the IAIN values.

6.1.3 TCT: TokenCarrierType

This is a 2-digit number used to uniquely identify the type of token carrier onto which the token should be encoded for transferring to the payment meter. The values for token carrier types are given in Table 5.

Table 5 – Token carrier types

Code	TokenCarrier	Comments
00	Reserved	For future assignment
01	Magnetic card	As defined in IEC 62055-51
02	Numeric	As defined in IEC 62055-51
03-06	Reserved	Legacy systems using proprietary token carrier technologies
07	Virtual Token Carrier (VTC07)	As defined in IEC 62055-52
08-99	Reserved	For future assignment

Values less than 10 shall be right justified and left padded with 0 (for example 01, 02-09).

6.1.4 DKGA: DecoderKeyGenerationAlgorithm

This is a 2-digit number used to uniquely identify which algorithm is to be used for generating the DecoderKey. The DKGA code values are given in Table 6.

Table 6 – DKGA codes

Code	DKG algorithm	Comments	Reference
00	Reserved	For future assignment	x
01	DKGA01	Limited number of early legacy STS-compliant payment meters. Superseded by DKGA02	6.5.3.3
02	DKGA02	System using 64-bit DES VendingKey diversification	6.5.3.4
03	DKGA03	System using dual 64-bit DES VendingKey diversification	6.5.3.5
04-99	Reserved	For future assignment	x

NOTE 1—DKGA02 is the algorithm to be used for current systems, subject to the criteria for DKGA01.

NOTE 2—DKGA03 is the algorithm to be used for future systems requiring a higher level of security regarding protection of the VendingKey by “brute-force” attack.

NOTE 3—Introduction of DKGA03 should preferably coincide with the change from STA to DEA (EA code 07 to EA code 09). See also 6.1.5.

Values less than 10 shall be right justified and left padded with 0 (for example 01, 02-09).

6.1.5 EA: EncryptionAlgorithm

This is a 2-digit number used to uniquely identify which algorithm is to be used for encrypting the token data. The EA code values are given in Table 7.

Table 7 – EA codes

Code	EncryptionAlgorithm	Comments	Reference
00	Reserved	For future assignment	x
01-06	Reserved	Legacy proprietary systems	x
07	STA	Systems using the Standard Transfer Algorithm as defined in this standard	6.5.4.1
08	Reserved	Legacy proprietary systems	x
09	DEA	Systems using the Data Encryption Algorithm as defined in ANSI X3.92	6.5.5
10	Reserved	Legacy proprietary systems	x
11-99	Reserved	For future assignment	x

NOTE— It is recommended that the choice of EA code 09 be co-ordinated with the choice of DKGA03 in order to minimize the effect on existing systems in the installed base (see 6.1.4).

Values less than 10 shall be right justified and left padded with 0. For example 01, 02-09.

6.1.6 SGC: SupplyGroupCode

This is a unique 6-digit number allocated to a utility, which is registered within the KMS. It is used to uniquely identify a sub-group of payment meters within the supply or distribution domain of the utility. Each SupplyGroup has a VendingKey associated with it and hence each payment meter in the SupplyGroup has a derived DecoderKey associated with it. Token sales authorisation is thus controlled by selective distribution of such VendingKey and SGC to authorised token vendor agents operating POS services on behalf of utilities.

SGC management and VendingKey management is completely under the control of the KMS and is subject to such Code of practice.

Values less than 6 decimal digits shall be right justified and left padded with 0. For example 000001, 000002.. 000009.

The SGC inherits its type from the KT attribute of the VendingKey (see 6.5.2.2.1), to which it is associated as shown in Table 8.

Table 8 – SGC types and key types

KT	SGC type	VendingKey type (see 6.5.2.2.1)	DecoderKey type (see 6.5.2.3.1)
0	Initialization	Not specified	DITK
1	Default	VDDK	DDTK
2	Unique	VUDK	DUTK
3	Common	VCDK	DCTK

See also C.2.2 for Code of practice on managing this data element.

6.1.7 TI: TariffIndex

A 2-digit number associated with a particular tariff that is allocated to a particular customer. The maintenance of and the content of the tariff tables are the responsibility of the utility.

Values less than 10 shall be right justified and left padded with 0 (for example 01, 02.. 09).

The TI is also encoded into the DecoderKey, which means that when a customer is moved from one TI to another, then his DecoderKey will also have to change (see 6.5.2.1).

NOTE The encoding of this value when used in the ControlBlock for Decoder Key Generation (see 6.5.3.2) is as two hexadecimal digits, whereas the encoding as used in the Set2ndSectionDecoderKey token (see 6.2.8) is as an 8 bit binary number. In these cases a tariff index of 99 decimal is encoded as binary string 10011001 and 01100011 respectively.

See also Clause C.9 for Code of practice on managing this data element.

6.1.8 KRN: KeyRevisionNumber

This is a 1-digit number in the range 1 to 9, which is associated with a version of the VendingKey and with the corresponding DecoderKey.

See 6.5.2.5 for a detailed definition of this data element.

6.1.9 KT: KeyType

This is a 1-digit number in the range 0 to 3 associated with a property of the VendingKey and thus also with the corresponding DecoderKey, which is derived from the VendingKey.

See 6.5.2 for a detailed definition of this data element.

6.1.10 KEN: KeyExpiryNumber

A KEN is associated with each VendingKey by the KMS, and defines the time when a VendingKey and any corresponding DecoderKey will expire, after which it becomes invalid for further use, subject to certain concessions.

The KEN corresponds to the most significant 8 bits of the 24-bit TID. Any token identifier whose most significant 8 bits are greater than a given key's KEN cannot be encrypted or decrypted with that key.

See 6.5.2.6 for a detailed definition of this data element.

See also C.2.4 for Code of practice on managing this data element.

6.1.11 DOE: DateOfExpiry

The use of this date is optional and is associated with a validity period for identity related data that gets encoded onto an identity-carrying device. For example: a payment meter ID card or a second record encoded onto the TokenCarrier together with the token data. In some implementations it is found to be useful to let the customer bring back a used token carrier to serve as his decoder identification to the POS when purchasing his next token. (See for example 5.1.4 and 5.2.4.9 of IEC 62055-51:2007).

This date may also be used, for example, in cases where a consumer has been granted a concessionary tariff for a limited period. The date encoded is the last month for which the card is valid.

DOE is in the format YYMM and shall always contain 4 digits.

Where YY or MM is less than 10, it shall be right justified and left padded with 0 (for example 01, 02, 09, etc).

When the DOE in the IDRecord is not used, then YYMM = 0000.

DOE code values for the year and month are given in Table 9 and Table 10.

Table 9 – DOE codes for the year

YY	Represents
00	2000 or DOE is not used (see also Table 10)
01 – 99	2001 – 2099

Table 10 – DOE codes for the month

MM	Represents
00	DOE is not used (see also Table 9)
01 – 12	Jan – Dec
13 – 99	Invalid

6.2 Tokens

6.2.1 Token definition format

The TokenData element in the APDU is a 66-bit binary number comprising of several fields of smaller data elements, in accordance with which various processes are initiated in the MeterApplicationProcess and various bits of information are transferred to the payment meter registers.

The definition format for the tokens in 6.2.2 to 6.2.14 is given in Table 11.

Table 11 –Token definition format

Name of data element	Example: Class, SubClass, RND, TID, Amount, CRC, etc.
Number of bits	Example: 2 bits, 4 bits, 24 bits, 16 bits, etc.
Range of values	Example: 1, 2, 5-15, etc.

6.2.2 Class 0: TransferCredit

Class	SubClass	RND	TID	Amount	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
0	0 = electricity <u>Reserved:</u> 1 = water 2 = gas <u>Reserved:</u> 3 = time 4 = currency 5-15 = future assignment				

NOTE The SubClass values 13-4 are reserved by the STS Association for applications other than electricity, gas and water, and values 5-15 are reserved for future assignment.

Action: Transfer credit to the payment meter to the value as defined in the Amount field and for the service type as defined in the SubClass field.

6.2.3 Class 1: InitiateMeterTest/Display

Class	SubClass	Control	MfrCode	CRC
2 bits	4 bits	36/28 bits	8/16 bits	16 bits
1	0 = STS defined	Bit position control of test/display number for 2 digit manufacturer codes. Use 36 bits.	0 (8 bits)	
1	1 = STS defined	Bit position control of test/display number for 4 digit manufacturer codes. Use 28 bits	0 (16 bits)	
1	1-10 2-5 = reserved for future assignment.	Reserved for future assignment.	Reserved for future assignment	
1	6-10 = proprietary use.	For 4 digit manufacturer codes. If not used, set to zero (28 bits)	0100-9999 (16 bits)	
1	11-15 = proprietary use	For 2 digit manufacturer codes. If not used, set to zero (36 bits)	00-99 (8 bits)	

Action: Initiate the test or display function in the payment meter in accordance with the bit pattern defined in the Control field.

6.2.4 Class 2: SetMaximumPowerLimit

Class	SubClass	RND	TID	MPL	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	0				

Action: Load the maximum power limit register in the payment meter with the value as given in the MPL field.

6.2.5 Class 2: ClearCredit

Class	SubClass	RND	TID	Register	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	1				

Action: Clear the corresponding credit register (as indicated in the Register field) in the payment meter to zero.

6.2.6 Class 2: SetTariffRate

Class	SubClass	RND	TID	Rate	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	2				

Action: Load the tariff rate register in the payment meter with the value given in the Rate field.

This token is reserved by the STS Association for future definition.

6.2.7 Class 2: Set1stSectionDecoderKey

Class	SubClass	KENHO	KRN	RO	Res	KT	NKHO	CRC
2 bits	4 bits	4 bits	4 bits	1 bit	1 bit	2 bits	32 bits	16 bits
2	3		1-9	0-1	x	0-3		

Action: Load the DecoderKeyRegister with the 1st half of the new DecoderKey, subject to an authentic loading of a Set2ndSectionDecoderKey token.

6.2.8 Class 2: Set2ndSectionDecoderKey

Class	SubClass	KENLO	TI	NKLO	CRC
2 bits	4 bits	4 bits	8 bits	32 bits	16 bits
2	4		0-99		

Action: Load the DecoderKeyRegister with the 2nd half of the new DecoderKey, subject to an authentic loading of a Set1stSectionDecoderKey token.

6.2.9 Class 2: ClearTamperCondition

Class	SubClass	RND	TID	Pad	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	5			0	

Action: Clear the tamper status register in the payment meter and cancel any resultant control processes that may be in progress.

6.2.10 Class 2: SetMaximumPhasePowerUnbalanceLimit

Class	SubClass	RND	TID	MPPUL	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	6				

Action: Load the maximum phase unbalance limit register in the payment meter with the value given in the MPPUL field. See also 8.12 for more detail on the action of this function in the payment meter.

6.2.11 Class 2: SetWaterMeterFactor

Class	SubClass	RND	TID	WMFactor	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	7				

Action: Load the water meter factor register in the payment meter with the value given in the WMFactor field.

This token is reserved by the STS Association for water applications.

6.2.12 Class 2: Reserved for STS use

Class	SubClass	RND	TID	ResData	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	8-10				

Action: Reserved for future definition by the STS Association.

This token range is reserved by the STS Association for future assignment.

6.2.13 Class 2: Reserved for Proprietary use

Class	SubClass	RND	TID	PropData	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	11-15				

Action: Defined by manufacturer.

This token range is reserved for proprietary definition and use.

This standard does not provide protection against collision between manufacturer uses of this token space. Generation and control of these tokens shall therefore always be under the direct management of the relevant manufacturer and shall never be available on vending systems for general use within STS-compliant payment metering systems.

6.2.14 Class 3: Reserved for STS use

Class	SubClass	Res
2 bits	4 bits	60 bits
3	0-15	

Action: Reserved for future definition by the STS Association.

This token range is reserved by the STS Association for future assignment

6.3 Token data elements**6.3.1 Data elements used in tokens**

The data elements given in Table 12 are used in tokens in various combinations.

Table 12 – Data elements used in tokens

Element	Name	Format	Reference
Amount	TransferAmount (see also 6.2.2)	16 bits	6.3.6
Class	TokenClass (see also 6.2.2 to 6.2.14)	2 bits	6.3.2
Control	InitiateMeterTest/DisplayControlField (see also 6.2.3)	36/28 bits	6.3.8
CRC	CyclicRedundancyCode (see 6.2.2 to 6.2.13)	16 bits	6.3.7
KENHO	KeyExpiryNumberHighOrder (see also 6.2.7)	4 bits	6.3.16
KENLO	KeyExpiryNumberLowOrder (see also 6.2.8)	4 bits	6.3.17
KRN	KeyRevisionNumber (see also 6.2.7)	4 bits	6.1.8

KT	KeyType (see also 6.2.7)	2 bits	6.1.9
MfrCode	ManufacturerCode (see also 6.2.3)	8/16 bits	6.1.2.3.2
MPL	MaximumPowerLimit (see also 6.2.4)	16 bits	6.3.9
MPPUL	MaximumPhasePowerUnbalanceLimit (see also 6.2.10)	16 bits	6.3.10
NKHO	NewKeyHighOrder (see also 6.2.7)	32 bits	6.3.14
NKLO	NewKeyLowOrder (see also 6.2.8)	32 bits	6.3.15
Pad	Pad value with 0 (see also 6.2.9)	16 bits	x
PropData	Proprietary data field (see also 6.2.13)	16 bits	x
Rate	[TariffRate] For future definition (see also 6.2.6)	16 bits	6.3.11
Register	RegisterToClear (see also 6.2.5)	16 bits	6.3.13
Res	Reserved for future assignment (see also 6.2.7 and 6.2.14)	1 bits	x
ResData	Reserved data field for future assignment (see also 6.2.12)	16 bits	x
RND	RandomNumber (see also 6.2.2 to 6.2.13)	4 bits	6.3.4
RO	RolloverKeyChange (see also 6.2.7)	1 bits	6.3.18
SubClass	TokenSubClass (see also 6.2.2 to 6.2.14)	4 bits	6.3.3
TI	TariffIndex (see also 6.2.8)	8 bits	6.1.7
TID	TokenIdentifier (see also 6.2.2 to 6.2.13)	24 bits	6.3.5.1
WMFactor	[WaterMeterFactor] Reserved by the STS Association for water application (see also 6.2.11)	16 bits	6.3.12

6.3.2 Class: TokenClass

Tokens are classified into 4 main functional areas as given in Table 13.

Table 13 – Token classes

TokenClass	Function
0	Credit transfer
1	Non-meter-specific management
2	Meter-specific management
3	Reserved for future assignment

Class 0 and Class 2 tokens are encrypted using the DecoderKey, while Class 1 tokens are not encrypted and can thus be used on any STS-compliant payment meter.

6.3.3 SubClass: TokenSubClass

Further sub-classification of the TokenClass is given in Table 14.

Table 14 – Token sub-classes

Token SubClass	TokenClass			
	0	1	2	3
0	TransferCredit (electricity)	InitiateMeterTest/Display for 2-digit MfrCode	SetMaximumPowerLimit	Reserved by STS Association for future assignment
1	TransferCredit (water) Reserved by STS Association for water applications	InitiateMeterTest/Display for 4-digit MfrCode	ClearCredit	
2	TransferCredit (gas) Reserved by STS Association for gas applications	Reserved by STS Association for future assignment	SetTariffRate	
3	TransferCredit (time) Reserved by STS Association for connection time applications		Set1stSectionDecoderKey	
4	TransferCredit (currency) Reserved by STS Association for currency applications		Set2ndSectionDecoderKey	
5	Reserved by STS Association for future assignment	Reserved for proprietary use for 4-digit MfrCode	ClearTamperCondition	
6			SetMaximumPhasePowerUnbalanceLimit	
7			SetWaterMeterFactor	
8			Reserved by STS Association for water applications	
9			Reserved by STS Association for future assignment	
10	Reserved by STS Association for future assignment	Reserved for proprietary use for 2-digit MfrCode	Reserved by STS Association for future assignment	
11			Reserved for proprietary use	
12				
13				
14				
15				

6.3.4 RND: RandomNumber

The generation of this 4-bit number will be a snapshot of the four least significant bits of at least a millisecond counter. The inclusion of a random number in the data to be transferred enhances the security of the token transfer by providing a probability of 16:1 that no two tokens containing identical data to be transferred will have the same binary pattern.

6.3.5 TID: TokenIdentifier

6.3.5.1 TID calculation

The TID field is derived from the date and time of issue and indicates the number of minutes elapsed from an STS base date and time. ~~This field is a 24-bit binary representation of the elapsed minutes.~~

~~The STS base date and time is~~ In order to accomodate the fact that the TID will roll over every 31 years, three STS base dates are defined. These are:

- 01 January 1993, 00:00:00;
- 01 January 2014, 00:00:00;
- 01 January 2035, 00:00:00.

With a date and time format of YYYY:MM:DD:hh:mm:ss the STS base date and time of 1993:01:01:00:00:00 corresponds to a TID of 0.

The calculation of elapsed minutes shall take leap years into account.

The rule used to determine a leap year is:

- the month of February shall have an extra day in all years that are evenly divisible by 4, except for century years (those ending in -00), which receive the extra day only if they are evenly divisible by 400. Thus 1996 was a leap year whereas 1999 was not, and 1600, 2000 and 2400 are leap years but 1700, 1800, 1900 and 2100 are not.

~~This field is a 24-bit binary representation of the elapsed minutes. In the binary representation of the TID the leftmost bit being represents the most significant bit.~~

When calculating the TID the “:ss” value shall be truncated from the actual time.

Examples of TID calculated values are given in Table 15.

Table 15 – TID calculation examples

Date of issue:	Time of issue:	Elapsed minutes:	Resultant 24-bit token ID:
1 January 1993	00:00:00	0	0000 0000 0000 0000 0000 0000
1 January 1993	00:01:45	1	0000 0000 0000 0000 0000 0001
25 March 1993	13:55:22	120 355	0000 0001 1101 0110 0010 0011
25 March 1996	13:55:22	1 698 595	0001 1001 1110 1011 0010 0011
1 November 2005	00:01:55	6 749 281	0110 0110 1111 1100 0110 0001
1 December 2015	00:01:05	12 051 361	1011 0111 1110 0011 1010 0001
24 November 2024	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111
1 January 2014	00:00:00	0	0000 0000 0000 0000 0000 0000
24 November 2045	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111
1 January 2035	00:00:00	0	0000 0000 0000 0000 0000 0000
24 November 2066	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111

In order to prevent token re-use when a basedate change is performed, certain operational procedures need to be performed. Refer to Clause C.12 for additional information.

6.3.5.2 SpecialReservedTokenIdentifier

The TokenIdentifier corresponding to 00 h 01 min of each day is reserved for special application tokens and may not be used for any other token.

Using the date and time format of YYYY:MM:DD:hh:mm:ss the reserved TID values correspond to xxxx:xx:xx:00:01:xx.

If a token, other than a special application token is to be generated on a time corresponding to this reserved TID, then 1 min shall be added to the TID.

See also Clause C.4 Code of practice for the management of this special reserved TID.

NOTE The use of special application tokens are optional (see Clause C.11), but the rule for how to use the special reserved TID is mandatory.

6.3.5.3 Multiple tokens generated within the same minute

The POS shall ensure that no legitimately purchased token can carry the same TID as that of any other legitimately purchased token for the same payment meter even if more than one token is purchased within the same minute on the same POS.

If multiple tokens need to be generated within the same minute for the same payment meter, then 1 min shall be added to the TID of each successive token in the set. At the end of the token generating process the POS shall revert back to real time again.

This shall apply to any token that implements a TID.

This shall not apply to special application tokens that implement the SpecialReservedTokenIdentifier (see 6.3.5.2).

For example: if 3 credit tokens A, B and C are generated within the same minute at 13h23 and in sequential order A, B and C, then A shall carry the TID time stamp 13h23, B shall carry time stamp 13h24 and C shall carry 13h25

6.3.6 Amount: TransferAmount

The associated unit for the transfer amount is defined in Table 16.

Table 16 – Units of measure for electricity

Transfer type	Units of measure
Electrical energy	Watt-hours × 100 (0,1 kWh)
Electrical power	Watts

The STS Association also reserves the transfer types given in Table 17 for other applications.

Table 17 – Units of measure for other applications

Transfer type	Units of measure
Water	Litres × 100
Gas	To be defined Cubic metres
Time	Minutes
Currency	To be defined Under review
NOTE The STS Association defines other future transfer types for other utility services.	

The 16 bits of the transfer amount field are subdivided into two sections, a base-10 exponent of 2 bits and a mantissa of 14 bits. The bits are numbered from right to left, starting at 0. Bit 15 is the most significant bit of the exponent and Bit 13 is the most significant bit of the mantissa. The bit allocations within this field are illustrated in Table 18.

Table 18 – Bit allocations for the TransferAmount

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Value	e	e	m	m	m	m	m	m	m	m	m	m	m	m	m	m

The mathematical formula for transfer amount conversion is as follows:

$$t = 10^e \times m, \text{ for } e = 0; \text{ or}$$

$$t = (10^e \times m) + \sum_{n=1}^e (2^{14} \times 10^{(n-1)}) , \text{ for } e > 0$$

where:

t is the transfer amount;

e is the base 10 exponent;

m is the mantissa; and

n is an integer in the range 1 to *e* inclusive.

All transfer amount conversions shall be rounded up in favour of the customer. The possible transfer amount ranges and the associated maximum errors that can arise owing to rounding up are shown in Table 19. Examples of TransferAmount values are given in Table 20.

Table 19 – Maximum error due to rounding

Exponent value	Transfer amount range	Maximum error
0	0000000 to 00016383	0,000
1	0016384 to 00180214	0,061 %
2	0180224 to 01818524	0,055 %
3	1818624 to 18201624	0,055 %

Table 20 – Examples of TransferAmount values for credit transfer

Item	Units purchased	Resultant 16-bit transfer amount field	Units converted and received by the meter
1	0,1 kWh	0000 0000 0000 0001	0,1 kWh
2	25,6 kWh	0000 0001 0000 0000	25,6 kWh
3	1638,3 kWh	0011 1111 1111 1111	1638,3 kWh
4	1638,4 kWh	0100 0000 0000 0000	1 638,4 kWh
5	18022,3 kWh	0111 1111 1111 1111	18022,4 kWh
6	18022,4 kWh	1000 0000 0000 0000	18022,4 kWh
7	181862,3 kWh	1011 1111 1111 1111	181862,4 kWh
8	181862,4 kWh	1100 0000 0000 0000	181862,4 kWh
9	1820162,4 kWh	1111 1111 1111 1111	1820162,4 kWh

6.3.7 CRC: CyclicRedundancyCode

The CRC is a checksum field used to verify the integrity of the data transferred. The checksum is derived using the following CRC generator polynomial:

$$x^{16} + x^{15} + x^2 + 1$$

The total length of the data transferred via the token is 66 bits. The last 16 bits comprise the CRC checksum that is derived from the preceding 50 bits. These 50 bits are left padded with 6 binary zeros to make 56 bits. Before calculation, the CRC checksum is initialised to FFFF hex (see example in Table 21).

Table 21 – Example of a CRC calculation

Original 50 bits	0 00 4A 2D 90 0F F2 hex
Left padded to make 7 bytes	00 00 4A 2D 90 0F F2 hex
Checksum calculated	0F FA hex

6.3.8 Control: InitiateMeterTest/DisplayControlField

The initiate payment meter test data field is 36/28 bits long and is used to indicate the type of test to be performed. The particular test is selected by setting the relevant bit to a **logical one** **logic ONE**. The permissible field values are defined in Table 22.

Table 22 – Permissible control field values

LS Bit No. = 1	Test No	Action	Condition
All bits = 1	0	Do test No. 12 to 5 plus, optionally, any other	Mandatory
1	1	Test the load switch	Mandatory Optional
2	2	Test the payment meter information display devices	Mandatory
3	3	Display cumulative kWh energy register totals	Mandatory
4	4	Display the KRN	Mandatory
5	5	Display the TI	Mandatory
6	6	Test the token reader device	Optional
7	7	Display maximum power limit	Optional
8	8	Display tamper status	Optional
9	9	Display power consumption	Optional
10	10	Display software version	Optional
11	11	Display phase power unbalance limit	Optional
12	12	Reserved by STS Association for: Display water meter factor	Mandatory for water payment meter
13	13	Display tariff rate	Mandatory for currency-based payment meter
14-28/36	Reserved	Reserved by STS Association for future assignment	Reserved

NOTE The cumulative kWh energy register is defined in 5.11.4 of IEC 62055-31:2005.

All payment meters shall support test number 0; if any of the incorporated tests are not supported the payment meter shall perform the subset of tests that are supported.

This option is subject to the supply agreement between the supplier and the utility and shall not form a normative part of this standard.

In the case where more than one test is specified on a single token, the behaviour of the payment meter shall be agreed between the utility and the supplier and shall not form a normative part of this standard.

6.3.9 MPL: MaximumPowerLimit

The maximum power limit field is a 16-bit field that indicates the maximum power that the load may draw, in watts. Calculation of this field is identical to that of the TransferAmount field (see 6.3.6). See also note in 8.6 for functional requirements of the MeterApplication Process.

6.3.10 MPPUL: MaximumPhasePowerUnbalanceLimit

The maximum phase power unbalance limit field is a 16-bit field that indicates the maximum allowable power difference between phase loads, in watts. Calculation of this field is identical to that of the TransferAmount field (see 6.3.6).

6.3.11 Rate: TariffRate

Reserved by the STS Association for future definition.

6.3.12 WMFactor: WaterMeterFactor

Reserved by the STS Association for water application

6.3.13 Register: RegisterToClear

A unique 16-bit binary value in the range 0 to FFFF hex; to select the particular register that should be cleared with the ClearCredit token. The defined values are given in Table 23.

Table 23 – Selection of register to clear

Value	Action
0	Clear Electricity Credit register
1	Reserved by STS Association for Clear Water Credit register
2	Reserved by STS Association for Clear Gas Credit register
3	Reserved by STS Association for Clear Time Credit register
4	Reserved by STS Association for Clear Currency Credit register
5 to FFFE hex	Reserved for future assignment
FFFF hex	Clear all Credit registers in the payment meter

6.3.14 NKHO: NewKeyHighOrder

The high order 32 bits of the new DecoderKey that has been generated (see 6.4.4) and which is to be transferred to the payment meter by means of the token.

6.3.15 NKLO: NewKeyLowOrder

The low order 32 bits of the new DecoderKey that has been generated (see 6.4.5) and which is to be transferred to the payment meter by means of the token.

6.3.16 KENHO: KeyExpiryNumberHighOrder

This is the high order 4 bits of the KEN (see 6.1.10).

6.4.3 TCDU Generation function for Class 0,1 and 2 tokens

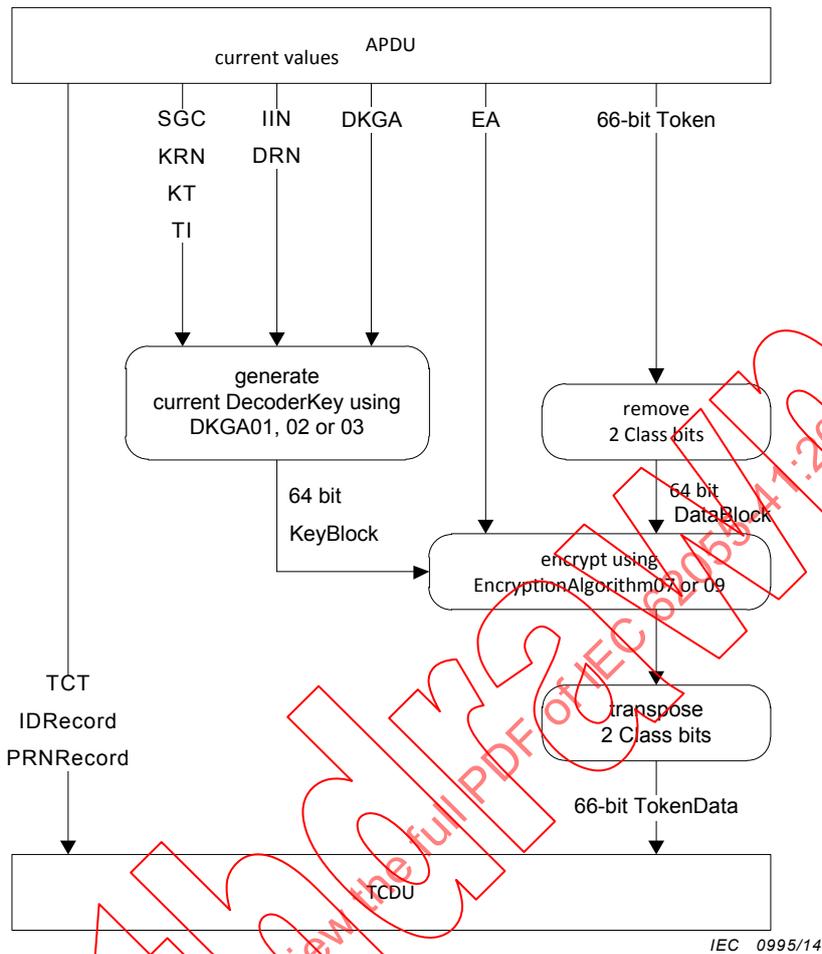


Figure 7 – TCDU Generation function for Class 0, 1 and 2 tokens

This is the transfer function from the APDU to the TCDU (see Figure 7) and is applicable to all Class 0, Class 1 and Class 2 tokens, except for the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens (see 6.4.4 and 6.4.5).

NOTE 1 The data elements in the APDU are defined in 6.1.1.

NOTE 2 The data elements in the TCDU are defined in part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function for Class 0 and Class 2 tokens is outlined as follows:

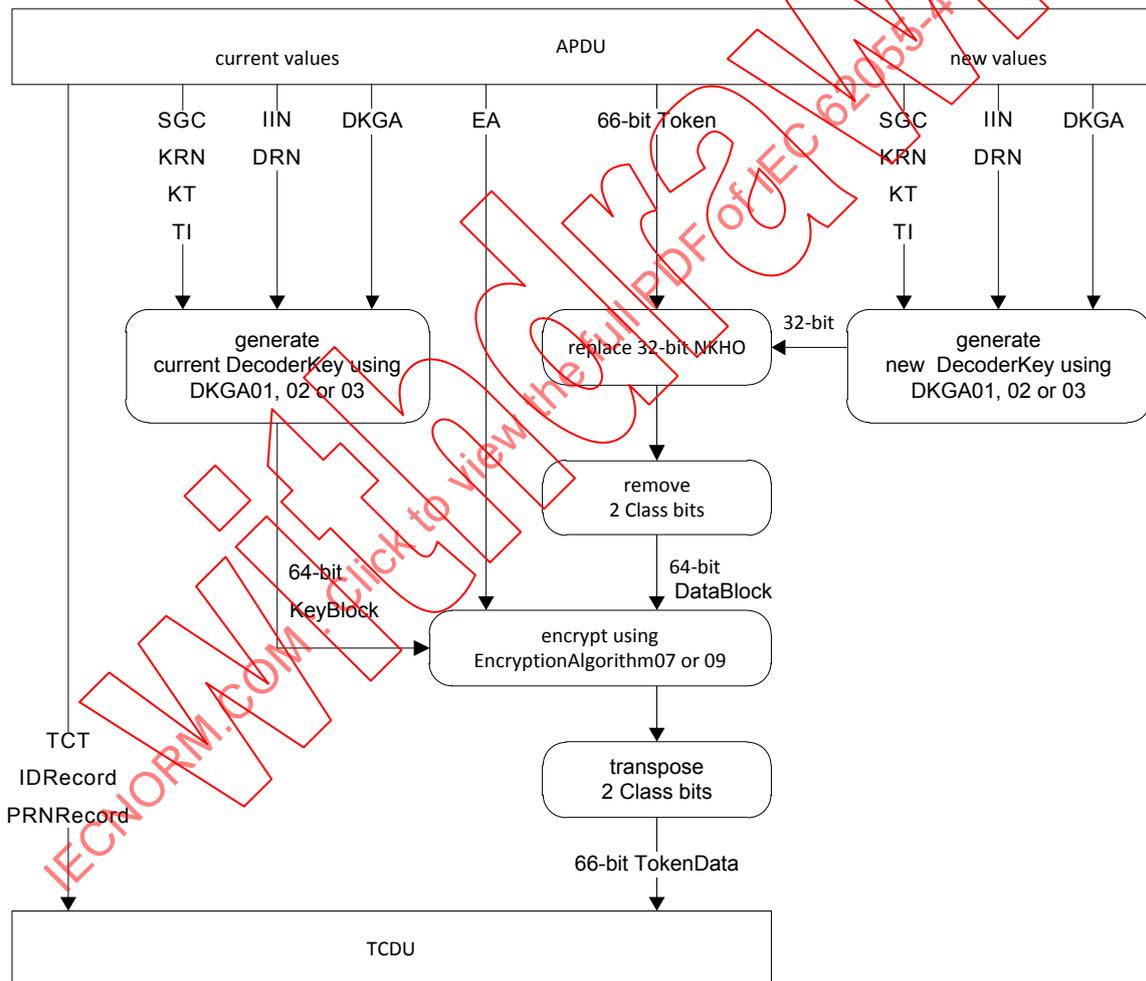
- The 2 Class bits are removed from the 66-bit token to yield a 64-bit result, which is then presented to the encryption algorithm as its DataBlock input. The specific algorithm to use is in accordance with the EA code in the APDU;
- The KeyBlock input for the encryption algorithm is obtained from the decoder key generation algorithm, which generates the current DecoderKey using the current values of SGC, KRN, KT, TI, IIN and DRN from the APDU as indicated. The specific decoder key generation algorithm to use is in accordance with the value of DKGGA in the APDU;
- After encryption the 2 Class bits are again re-inserted into the 64-bit number in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;

- Similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;

The transfer function for Class 1 tokens is identical to the TCDUGeneration function for Class 0 and Class 2 tokens, except that the token does not get encrypted. The function is outlined as follows:

- The 2 Class bits are removed from the 66-bit token and transposed in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;
- Similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard.

6.4.4 TCDUGeneration function for Set1stSectionDecoderKey token



IEC 0996/14

Figure 8 – TCDUGeneration function for Set1stSectionDecoderKey token

This is the transfer function from the APDU to the TCDU (see Figure 8) and is applicable only to the Set1stSectionDecoderKey token.

The Set1stSectionDecoderKey TCDUGeneration function is shown here as being separate from the Set2ndSectionDecoderKey TCDUGeneration function, but in practice the two may be merged into one in order to save on processing resource and for the sake of convenience. In such a case, the new DecoderKey generation only needs to happen once for example, although the final result is still the same. Thus two separate TCDU instances are always produced: one for the Set1stSectionDecoderKey token and a second for the Set2ndSectionDecoderKey token.

Note that the APDU ~~shall~~ **has to** present two sets of data for the PANBlock and CONTROLBlock: one set with the new data for the new DecoderKey and a second set with the current data for the current DecoderKey. The DKGA value is the same for both sets.

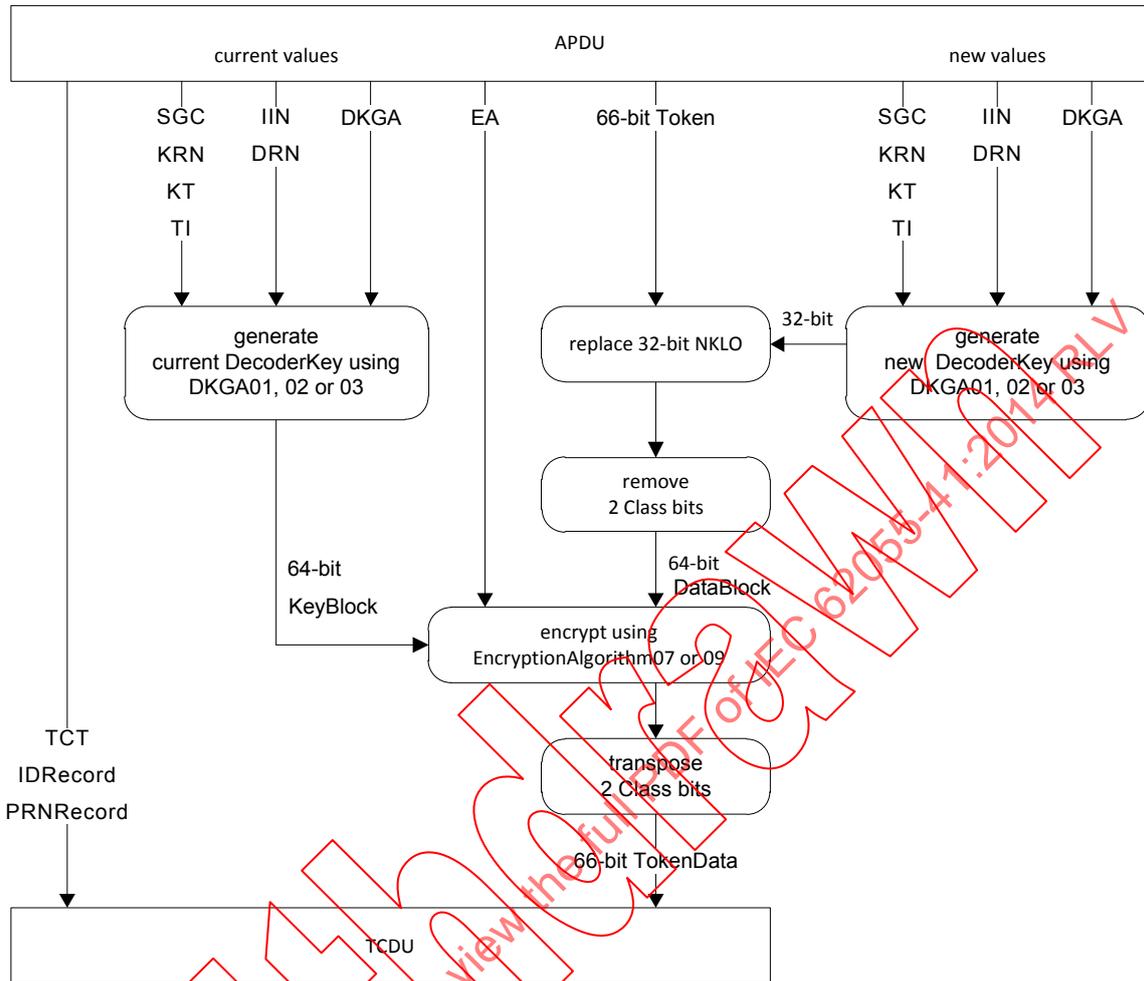
NOTE 1 The data elements in the APDU are defined in 6.1.1.

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function is outlined as follows:

- the new DecoderKey is generated using the new values of SGC, KRN, KT, TI, IIN and DRN. The specific algorithm to use is in accordance with the value of DKGA in the APDU;
- the resultant new DecoderKey value high order 32 bits are then used to replace the NKHO field of the Set1stSectionDecoderKey token (see 6.2.7) as presented by the APDU;
- the 2 Class bits are removed from the 66-bit token to yield a 64-bit result, which is then presented to the encryption algorithm as its DataBlock input. The specific encryption algorithm to use is in accordance with the EA code in the APDU;
- the KeyBlock input for the encryption algorithm is obtained from the decoder key generation algorithm, which generates the current DecoderKey using the current values of SGC, KRN, KT, TI, IIN and DRN from the APDU as indicated. The specific decoder key generation algorithm to use is in accordance with the value of DKGA in the APDU;
- after encryption, the 2 Class bits are again re-inserted into the 64-bit number in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;
- similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard.

6.4.5 TCDU Generation function for Set2ndSectionDecoderKey token



IEC 0997/14

Figure 9 – TCDU Generation function for Set2ndSectionDecoderKey token

This is the transfer function from the APDU to the TCDU (see Figure 9) and is applicable only to the Set2ndSectionDecoderKey token.

The Set2ndSectionDecoderKey TCDU Generation function is shown here as being separate from the Set1stSectionDecoderKey TCDU Generation function, but in practice the two may be merged into one in order to save on processing resource and for the sake of convenience. In such a case, the new DecoderKey generation only needs to happen once for example, although the final result is still the same. Thus two separate TCDU instances are always produced: one for the Set1stSectionDecoderKey token and a second for the Set2ndSectionDecoderKey token.

Note that the APDU ~~shall~~ has to present two sets of data for the PANBlock and CONTROLBlock: one set with the new data for the new DecoderKey and a second set with the current data for the current DecoderKey. The DKGGA value is the same for both sets.

NOTE 1 The data elements in the APDU are defined in 6.1.1

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function is outlined as follows:

- the new DecoderKey is generated using the new values of SGC, KRN, KT, TI, IIN and DRN. The specific decoder key generation algorithm to use is in accordance with the value of DKGA in the APDU;
- the resultant new DecoderKey value low order 32 bits are then used to replace the NKLO field of the Set2ndSectionDecoderKey token (see 6.2.8) as presented by the APDU;
- the 2 Class bits are removed from the 66-bit token to yield a 64-bit result, which is then presented to the encryption algorithm as its DataBlock input. The specific encryption algorithm to use is in accordance with the EA code in the APDU;
- the KeyBlock input for the encryption algorithm is obtained from the decoder key generation algorithm, which generates the current DecoderKey using the current values of SGC, KRN, KT, TI, IIN and DRN from the APDU as indicated. The specific decoder key generation algorithm to use is in accordance with the value of DKGA in the APDU;
- after encryption, the 2 Class bits are again re-inserted into the 64-bit number in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;
- similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard.

6.5 Security functions

6.5.1 General requirements

With the exception of DITK values, VendingKey and DecoderKey values shall only be generated by a device responsible for token generation, such as a POS that is certified as STS-compliant and which is subject to an STS-certified KeyManagementSystem (see Clause 9). This subclause describes the key generation methods used by such devices and is applicable to manufacturers of these devices.

6.5.2 Key attributes and key changes

6.5.2.1 Key change requirements

With the exception of DITK values, STS key values shall only be introduced or changed in a payment meter from a device responsible for key management, such as a POS that is certified as STS-compliant, and which is subject to STS key management. This subclause describes the STS key change method used between such devices and payment meters, and is applicable to manufacturers of these devices and payment meters.

An STS key change provides the mechanism for changing the DecoderKey present in a decoder from its current value to a new value. This process may be initiated by several events or circumstances, including the following:

- a new or repaired payment meter that contains a manufacturer's DITK value shall be changed before leaving the manufacturing or repair premises to contain the appropriate value of manufacturer's default (DDTK) or utility's DecoderKey (DUTK or DCTK) depending on the SupplyGroup to which the payment meter has been allocated;
- a SupplyGroup's VendingKey has either expired or been compromised, and is replaced by a new VendingKey revision and, as a result, each DecoderKey within the SupplyGroup **must shall** be changed from its current DecoderKey value to the DecoderKey value that corresponds to the new VendingKey value;
- a payment meter is re-allocated from one SupplyGroup to another SupplyGroup and, as a result, its DecoderKey shall be changed from its current value generated from the previous SupplyGroup VendingKey to the new value generated from its new SupplyGroup VendingKey; or

- the TI for a payment meter is changed and, as a result, its DecoderKey shall be changed from its current value (that corresponds to the previous TI) to the new value (that corresponds to the new TI).

The Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair effects an STS key change. This meter-specific management token pair transfers the following information from the POS to the payment meter, encrypted under the current DecoderKey:

- the value of the new DecoderKey;
- the KEN;
- the KRN;
- the KT;
- the TI.

An STS key change for a payment meter shall be initiated automatically whenever any one of the following attributes of the VendingKey change in value:

- the value of the VendingKey;
- the value of the SGC;
- the value of the TI;
- the value of the KEN;
- the value of the KRN;
- the value of the KT.

NOTE See 6.1.1 for detailed specifications on the data elements in the APDU.

6.5.2.2 VendingKey classification

6.5.2.2.1 Classification of vending keys

The VendingKey is a DES key value that is secretly generated, stored and distributed within the KeyManagementSystem (see Annex A). DES VendingKeys are the seed keys from which DecoderKeys are generated.

The VendingKey is classified according to its associated KT value, which is an attribute that defines the purpose for which the key can be used. Three KT values are defined for VendingKeys and correspond to three of the SupplyGroup types (see 6.1.6), namely Default, Unique and Common. The VendingKey for a given SupplyGroup is the seed key used to generate the DecoderKey values for all payment meters within the SupplyGroup.

STS VendingKeys are classified according to the KT values given in Table 24.

Table 24 – Classification of vending keys

KT	SGC type	VendingKey type	Context
0	Initialization	Not specified	Not applicable
1	Default	VDDK	VendingDefaultDESKey
2	Unique	VUDK	VendingUniqueDESKey
3	Common	VCDK	VendingCommonDESKey

At any given moment, a unique VDDK value exists for each Default SupplyGroup defined. Similarly, a unique VUDK value for each Unique SupplyGroup and a unique VCDK value for each Common SupplyGroup are defined.

6.5.2.2.2 VDDK: VendingDefaultDESKey

This type of key is used as the seed key for generation of DDTK values – it shall not be used to generate DITK, DUTK or DCTK values.

6.5.2.2.3 VUDK: VendingUniqueDESKey

This type of key is used as the seed key for generation of DUTK values – it shall not be used to generate DITK, DDTK or DCTK values.

6.5.2.2.4 VCDK: VendingCommonDESKey

This type of key is used as the seed key for generation of DCTK values – it shall not be used to generate DITK, DDTK or DUTK values.

6.5.2.3 DecoderKey classification

6.5.2.3.1 Classification of decoder keys

STS DecoderKeys are classified according to the KT values given in Table 25 and inherit their type from that of the VendingKey, from which they are derived.

Table 25 – Classification of decoder keys

KT	SGC type	DecoderKey type	Context
0	Initialization	DITK	DecoderInitialisationTransferKey
1	Default	DDTK	DecoderDefaultTransferKey
2	Unique	DUTK	DecoderUniqueTransferKey
3	Common	DCTK	DecoderCommonTransferKey

For further information regarding the rules for changing of a key from one type to another type, see Figure 10 and Table 26 in 6.5.2.4.

A payment meter shall be capable of storing at least one DecoderKey value and its associated KT value in its DecoderKeyRegister (see 7.3.2).

It shall not be possible for the DecoderKey value to be read or retrieved from a payment meter under any circumstances, whether encrypted or in the clear.

6.5.2.3.2 DITK: DecoderInitialisationTransferKey

DITK values are used to initialise the DecoderKeyRegister during production or repair at the manufacturer's premises. These keys are the property of the MeterManufacturer. As such, they are generated and managed by the manufacturer, and are unknown to the utility.

No payment meter purchased by the utility shall leave a manufacturer's premises with a DITK value in the DecoderKeyRegister. The DecoderKeyRegister shall contain either a DDTK, DUTK or DCTK value supplied by the KMC. A DITK is the only key type that can be introduced into a payment meter as a plaintext value. DDTK, DUTK or DCTK values can only be introduced into a payment meter as cipher text (encrypted) values.

A DITK shall only be used for the following key management functions:

- as the parent key for another DITK; in other words, to encrypt another DITK for the purpose of introducing it into the DecoderKeyRegister;
- as the parent key for a DDTK;
- as the parent key for a DUTK, and

- as the parent key for a DCTK, but only in a payment meter using an erasable magnetic card as a token carrier (for TCT value = 01).

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens or via a manufacturer proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. The payment meter should only accept the DDTK, DUTK or DCTK encrypted under the DITK supplied by the manufacturer in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token format.

It is the responsibility of the manufacturer to ensure that appropriate security measures are applied to any DITK so that DDTK, DUTK or DCTK values encrypted with a DITK cannot be compromised.

A DITK can also be used to decrypt other meter-specific management functions. It can be used to decrypt an STS credit transfer function; in other words, a valid STS TransferCredit token can be decrypted and applied by a payment meter that contains a DITK in its key register in order to facilitate testing of the payment meter during production or repair.

6.5.2.3.3 DDTK: DecoderDefaultTransferKey

DDTK values are used to support payment meters allocated to a default SupplyGroup. A payment meter that has not been allocated to a Common SupplyGroup or a Unique SupplyGroup at the time of manufacture or repair cannot be loaded with its corresponding DCTK or DUTK value. Instead it is allocated to a Default group unique to each manufacturer and loaded with its corresponding DDTK value. Each MeterManufacturer receives a unique VDDK, from which he generates all DDTK values for installation into payment meters during manufacture.

Subsequently, at the time of installation or operation, a payment meter that has now been re-allocated to another specific SupplyGroup can be loaded with the corresponding DUTK or DCTK value, encrypted under its parent DDTK. DDTK values are the property of the respective MeterManufacturer and are managed within the KeyManagementSystem.

A DDTK is a secret value, and shall not be accepted by a payment meter as a plaintext value. A payment meter shall only load a DDTK if it is encrypted under the parent DecoderKey present in the DecoderKeyRegister.

A DDTK shall only be used for the following key management functions:

- as the parent key for another DDTK; in other words, to encrypt another DDTK for the purpose of introducing it into the DecoderKeyRegister;
- as the parent key for a DUTK, and
- as the parent key for a DCTK, but only in a payment meter using an erasable magnetic card as a token carrier (for TCT value = 01).

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens, or via a manufacturer's proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. A DDTK shall not be used to decrypt a DITK for the purpose of introducing it into the DecoderKeyRegister.

A DDTK can also be used to decrypt other meter-specific management functions. It shall not be used to decrypt and accept an STS credit transfer function; in other words, a valid TransferCredit token shall not be accepted by a payment meter that contains a DDTK in its DKR, even if the TransferCredit token has been encrypted with the same DDTK value.

NOTE The emphasis is on the acceptance and not on the decryption of the TransferCredit token.

Similarly a POS device used for encrypting tokens shall not encrypt TransferCredit tokens using DDTK values (see also 6.5.2.4).

6.5.2.3.4 DUTK: DecoderUniqueTransferKey

DUTK values are used to support payment meters allocated to a unique SupplyGroup. A payment meter that has been allocated to a unique SupplyGroup at the time of manufacture or repair can be loaded with its DUTK value that corresponds to the unique group and that has been encrypted under a parent DITK. Subsequently, at the time of installation or operation, a payment meter, which has to be re-allocated to another unique group can be loaded with the corresponding DUTK value, encrypted under a parent DUTK.

A DUTK is a secret value, and shall not be accepted by a payment meter as a plaintext value. A payment meter shall only load a DUTK if it has been encrypted under the parent DecoderKey present in the DecoderKeyRegister. DUTK values are the property of the respective utility and are managed within the KeyManagementSystem.

A purchased or repaired payment meter that leaves the manufacturer's premises may contain a DUTK value supplied by the KMC in the DecoderKeyRegister.

A DUTK shall only be used for the following key management functions:

- as the parent key for another DUTK; in other words, to encrypt another DUTK for the purpose of introducing it into the DecoderKeyRegister; and
- as the parent key for a DDTK.

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens, or via a manufacturer's proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. A DUTK shall not be used to decrypt a DITK or a DCTK for the purpose of loading it into the DecoderKeyRegister. Similarly a DUTK shall not be used to encrypt a DITK or a DCTK for the purpose of transferring it to the payment meter in the form of a token.

A DUTK can also be used to encrypt or decrypt other meter-specific management functions. It can be used to encrypt or decrypt a STS credit transfer function; in other words, a valid TransferCredit token can be encrypted or decrypted and applied by a payment meter that contains a DUTK in its DKR.

6.5.2.3.5 DCTK: DecoderCommonTransferKey

DCTK values are used to support payment meters that use erasable magnetic card token carriers (i.e. TCT value = 01) and that are allocated to common SupplyGroups. A payment meter that has been allocated to a common SupplyGroup at the time of manufacture or repair can be loaded with the DCTK value that corresponds to the common SupplyGroup and that has been encrypted under a parent DITK. Subsequently, at the time of installation or operation, a payment meter that has to be re-allocated to another common SupplyGroup can be loaded with the corresponding DCTK value that has been encrypted under a parent DCTK.

A DCTK shall only be used with payment meters that use erasable magnetic card token carriers (TCT value = 01) and shall only be accepted by such payment meters. Payment meters with any other token carrier types (TCT value > 01) shall reject tokens encrypted under DCTK values.

POS encryption devices shall not encrypt tokens using DCTK values other than for erasable magnetic card token carriers (TCT value = 01).

A DCTK is a secret value, and shall not be accepted by a payment meter as a plaintext value. A payment meter shall only load a DCTK if it has been encrypted under the parent

DecoderKey present in the DecoderKeyRegister. DCTK values are the property of the respective utility and are managed within the KeyManagementSystem.

A purchased or repaired payment meter with an erasable magnetic card token carrier (TCT value = 01) that leaves the manufacturer's premises may contain a DCTK value supplied by the KMC in the DecoderKeyRegister.

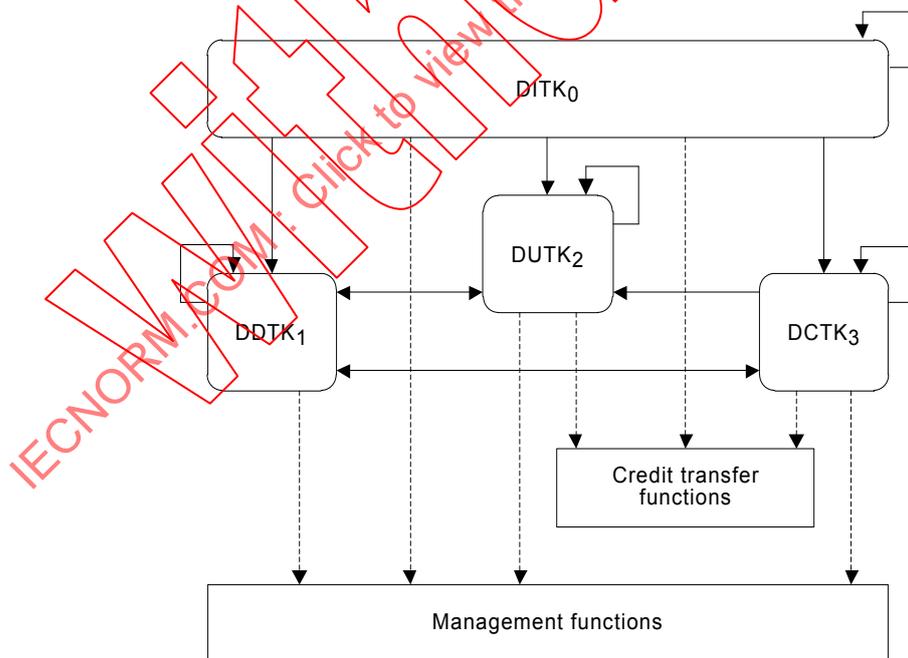
A DCTK shall only be used for the following key management functions:

- as the parent key for another DCTK; in other words, to encrypt another DCTK for the purpose of introducing it into the DecoderKeyRegister.
- as the parent key for a DDTK, and
- as the parent key for a DUTK.

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens, or via a manufacturer's proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. A DCTK shall not be used to decrypt a DITK for the purpose of introducing it into the DecoderKeyRegister. Similarly a DCTK shall not be used to encrypt a DITK for the purpose of transferring it to the payment meter in the form of a token.

A DCTK can also be used to encrypt or decrypt other meter-specific management functions. It can be used to encrypt or decrypt a STS credit transfer function; in other words, a valid TransferCredit token can be encrypted or decrypted and applied by a payment meter that contains a DCTK in its DKR and that uses a magnetic card token carrier (TCT value = 01).

6.5.2.4 State diagram for DecoderKey changes



IEC 0998/14

Figure 10 – DecoderKey changes – state diagram

Figure 10 illustrates the KT states that a DecoderKey may assume from time to time.

Where one key is used to encrypt another key (as in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair), the former is referred to as the parent key and the latter as the child key.

The solid line arrows indicate the direction in which a key may change from one type to another type. The type that it changes from is the parent key and the type that it changes to is the child key. To effect a change of the DecoderKey the new key (or child key) is encrypted with the parent key and then loaded into the payment meter by means of a Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair. The payment meter then replaces the parent key with the child key, which now becomes the new parent key.

The dotted line arrows indicate the function, for which a KT may be used, i.e. the values that it may encrypt or decrypt. For example, only a DITK, DUTK or DCTK can be used to encrypt or decrypt a credit transfer function, but all four types can be used to encrypt or decrypt meter-specific management functions.

Table 26 details the permitted key change state relationships and associated functions.

The child key rows refer to the permitted usage of decoder key types for encryption of DecoderKeys in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token management functions. Similarly, the management and credit rows detail the permitted usage of decoder key types for the encryption of the remaining meter-specific management functions and credit transfer functions respectively.

Table 26 – Permitted relationships between decoder key types

Child key	Permitted usage			
	Parent key			
	DITK ₀	DDTK ₁	DUTK ₂	DCTK ₃
DITK ₀	Yes	No	No	No
DDTK ₁	Yes	Yes	Yes	Yes ^a
DUTK ₂	Yes	Yes	Yes	Yes ^a
DCTK ₃	Yes ^a	Yes ^a	No	Yes ^a
Management function	Yes	Yes	Yes	Yes ^a
Credit function	Yes	No	Yes	Yes ^a

^a For payment meters with TCT = 01 only.

6.5.2.5 KeyRevisionNumber (KRN)

A KRN is associated with each VendingKey and a corresponding SGC by the KMS, and defines the revision or sequence of the VendingKey within the SupplyGroup to which it corresponds. It is a single decimal digit with a range of 1, 2..9. The KRN assigned to the first VendingKey for a SupplyGroup is 1. Successive VendingKeys are allocated successive revision numbers until revision number 9, at which stage the sequence begins at 1 again; in other words, at any given moment, there may be no more than 9 successive VendingKey revisions present for a given SupplyGroup. A KRN is also associated with each DecoderKey, and corresponds to that of the VendingKey from which it is generated.

The KRN is associated with each SupplyGroup by the KMS, and defines the current VendingKey revision and also the current DecoderKey revision, at which all payment meters within the SupplyGroup should be set. For any given payment meter, the SGC and KRN uniquely identify the revision of DecoderKey that it contains. This information is managed by

the management system and if for any reason the KRN in the payment meter is not the same as the vending KRN for the same SGC as recorded in the management system, this condition shall be corrected by means of an appropriate change of the DecoderKey.

A payment meter is required to store the KRN that corresponds to its current DecoderKey, as passed in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair (see also 7.3.2).

The concept of key revision only applies to vending key types and decoder key types. A DITK shall not be associated with a KRN, ~~and the KRN of a payment meter loaded with a DITK in the DecoderKeyRegister shall always be set to zero.~~

For a given SupplyGroup there shall be a maximum of two active VendingKeys in the POS namely the CurrentKey and the OldKey. The OldKey will only be used to encrypt key change tokens ~~to CurrentKey~~. The CurrentKey will be used to encrypt all tokens, apart from key change tokens ~~to OldKey~~.

6.5.2.6 KeyExpiryNumber (KEN)

A KEN is associated with each VendingKey by the KMS, and defines the following:

- the time-period, after which the VendingKey expires, and may no longer be used by a POS to generate DecoderKeys for the purpose of encrypting TransferCredit tokens, or meter-specific management tokens that incorporate the TID field;
- the time-period, after which any DecoderKey generated from the VendingKey expires, and may no longer be used by a payment meter to accept TransferCredit tokens, or meter-specific management tokens that incorporate the TID field. Implementation of this by a payment meter is optional.

The required value of the KEN shall be transferred to the payment meter in the KENHO and KENLO fields of the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens respectively (see 6.2.7 and 6.2.8).

The KEN is an 8-bit number (range 0 – 255) that expresses this period as a displacement relative to the STS base date token identifier time stamp (see 6.3.5.1). Each unit in the KEN corresponds to a period of duration $2^{16}-1$ (65535) min, and there are 2^8 (256) of these periods numbered 0, 1..255 before the current STS base date time stamp is replaced by the next STS base time stamp. Thus the KEN corresponds to the most significant 8 bits of the 24-bit TID. Any token identifier whose most significant 8 bits are greater than a given key's KEN shall not be encrypted or decrypted with that key.

A POS may not issue a TransferCredit token encrypted under a DecoderKey whose corresponding VendingKey has expired. This is simple to verify by comparing the most significant 8 bits of the TID with the KEN corresponding to the VendingKey; if it is greater, the VendingKey has expired and may no longer be used to generate a DecoderKey to encrypt the TransferCredit token. It also cannot be used to generate a DecoderKey to encrypt any meter-specific management tokens that utilize the TID field. This does not apply to the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair that does not utilize the TID field. Hence, an expired DecoderKey can still be used to encrypt its replacement DecoderKey for the purpose of a DecoderKey change.

A payment meter can optionally implement key expiry and store the KEN that corresponds to its current DecoderKey, as passed in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair. All tokens that are entered into the payment meter, and that incorporate a token identifier field, are validated against this KEN. If the most significant 8 bits of the TID are greater than this KEN, the token shall be rejected.

Where implemented, the concept of key expiry only applies to VendingKey values of type VDDK, VUDK and VCDK, and DecoderKey values of type DDTK, DUTK and DCTK that can be generated from the corresponding vending key types. A DITK shall not be associated with a KEN, ~~and the KEN of a payment meter loaded with a DITK in the DecoderKeyRegister shall always be set to 255.~~

The management of the KEN by the KMS shall comply with the relevant Code of practice.

See also C.2.4 for Code of practice on managing this data element.

6.5.3 DecoderKey generation

6.5.3.1 PANBlock construction

The 64-bit PANBlock is constructed from data elements extracted from the MeterPAN in the APDU as defined in Table 27 and Table 28.

The most significant digit is in position 15 and the least significant digit in position 0.

Table 27 – Definition of the PANBlock

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Value	I	I	I	I/D	I/D	D	D	D	D	D	D	D	D	D	D	D

Table 28 – Data elements in the PANBlock

Digit	Name	Format	Reference
I	IIN	Range 0 to 9 hex per digit	6.1.2.2
D	DRN	Range 0 to 9 hex per digit	6.1.2.3

~~Each digit is in hexadecimal format.~~

~~Where the IIN is 6 digits long, the PANBlock is made up of the 5 least significant digits of the IIN and the 11 digits of the DRN. The 11 digits of the DRN take up positions 10 to 0 in the PANBlock and the 5 least significant digits of the IIN take up positions 15 to 11 in the PANBlock.~~

~~Where the IIN is 4 digits long, the PANBlock is made up of the 3 least significant digits of the IIN and the 13 digits of the DRN. The 13 digits of the DRN take up positions 12 to 0 in the PANBlock and the 3 least significant digits of the IIN take up positions 15 to 13 in the PANBlock.~~

If the IIN is of insufficient length to make up the 16 digits, the digits extracted are right justified within the block and padded on the left with zeroes (for example, for an IIN of 600727 and a DRN of 12345678903, the PANBlock is 0072712345678903).

For a DDTK or DUTK the actual designated DRN is used, but for a DCTK the DRN digits are set to zeros in the PANBlock (for example, for a IIN of 600727, the PANBlock is 0072700000000000).

6.5.3.2 CONTROLBlock construction

The 64-bit CONTROLBlock is constructed from the data elements in the APDU as defined in Table 29 and Table 30.

The most significant digit is in position 15 and the least significant digit in position 0.

Table 29 – Definition of the CONTROLBlock

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Value	C	S	S	S	S	S	S	T	T	R	F	F	F	F	F	F

Table 30 – Data elements in the CONTROLBlock

Digit	Name	Format	Reference
C	KT digit	Range 0 to 3 hex per digit, 4 to F hex = reserved	6.1.9
S	SGC digit	Range 0 to 9 hex per digit	6.1.6
T	TariffIndex digit	Range 0 to 9 hex per digit	6.1.7
R	KRN digit	Range 01 to 9 hex per digit	6.1.8
F	Pad value digit	Always F hex per digit	x

~~Each digit is in hexadecimal format.~~

6.5.3.3 DKGA01: DecoderKeyGenerationAlgorithm01

This DecoderKeyGenerationAlgorithm01 is to be used on a small limited set of defined DRN values only. It is included in this standard to maintain backward compatibility with a limited number of legacy STS-compliant payment meters of an early generation also using the STA (EA code 07). The POSApplicationProcess gives the appropriate directive by means of the DKGA code in the APDU.

The DecoderKey is diversified from a 64-bit single DES VendingKey value.

This DecoderKeyGenerationAlgorithm01 is applicable to all payment meters that meet all of the following criteria:

- using IIN = 600727;
- and the KRN = 1;
- and the KT = 1 or 2 (default or unique);
- and the EA code 07 (STA)
- and the DRN falls within the ranges listed in Table 31.

Table 31 – Range of applicable decoder reference numbers

Decoder reference numbers		
0109000000X	to	0109000499X
0100000000X	to	0100499999X
0300000000X	to	0311400000X
0400000000X	to	0405999999X
0601000000X	to	0603999999X
0640000000X	to	0641999999X
0666000000X	to	0669999999X
0699000001X	to	0699000999X
0700000000X	to	0702099999X
NOTE X is a check digit, the value of which varies in accordance with the value of the preceding 10 digits (see 6.1.2.3)		

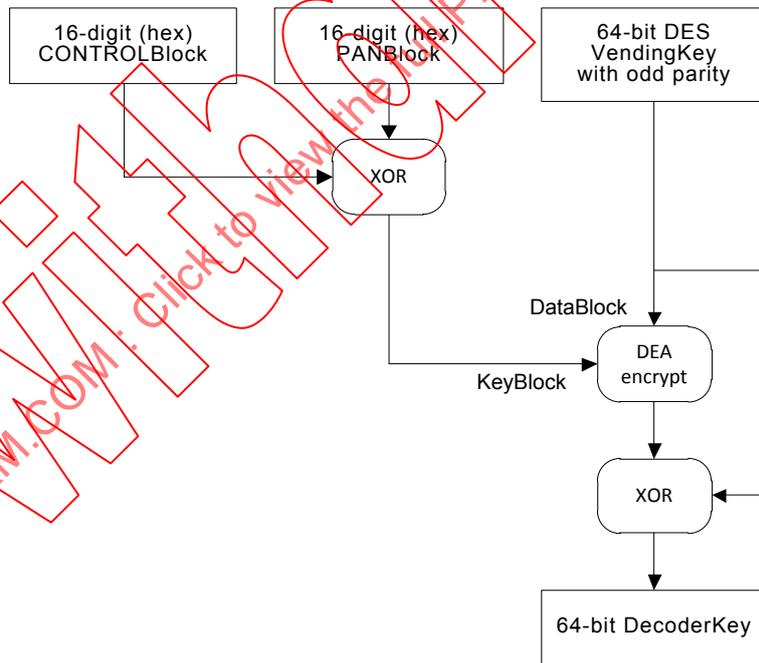
This DecoderKeyGenerationAlgorithm01 is also applicable to all payment meters that meet all of the following criteria:

- using IIN = 600727;
- and the KRN = 1;
- and the KT = 3 (common);
- and the EA code 07 (STA);
- and coded with one of the SGC values listed in Table 32.

Table 32 – List of applicable supply group codes

Supply group code
100702
990400
990401
990402
990403
990404
990405

The process flow for the DKGA01 is shown in Figure 11.



IEC 0999/14

Figure 11 – DecoderKeyGenerationAlgorithm01

Construct the 64-bit PANBlock and the 64-bit CONTROLBlock as defined in 6.5.3.1 and 6.5.3.2.

The encryption algorithm is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a a single 64-bit DES VendingKey with odd parity.

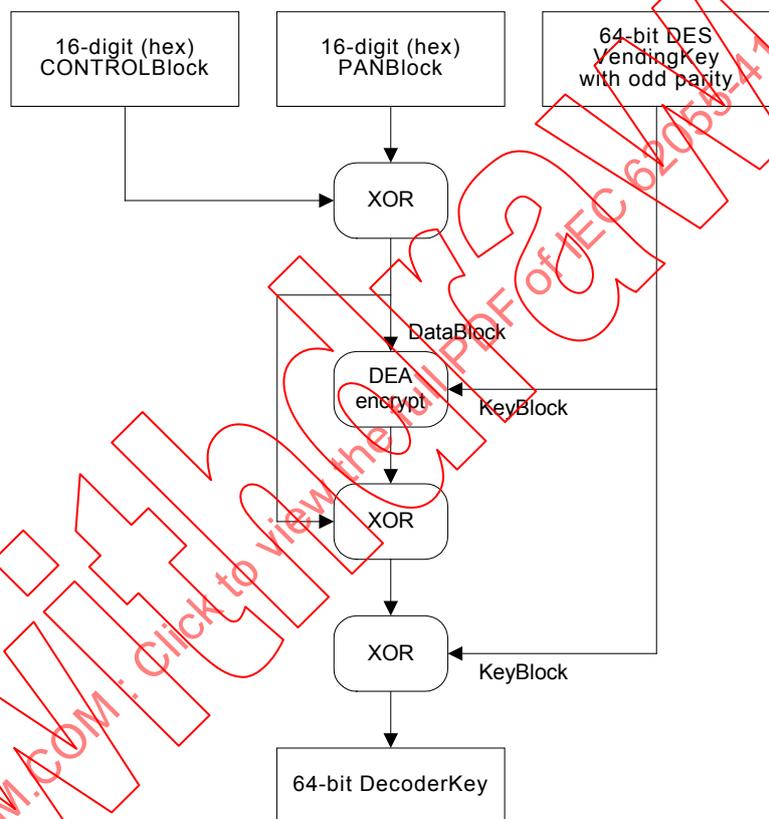
In this instance the 64-bit DES VendingKey is used as the conventional DataBlock input to the DEA, while the resultant XOR of the CONTROLBlock with the PANBlock is used as the conventional KeyBlock input to the DEA. In other words, the data and key input blocks are swapped with respect to the conventional configuration.

6.5.3.4 DKGA02: DecoderKeyGenerationAlgorithm02

The DecoderKeyGenerationAlgorithm02 may be used for all payment meters that do not meet the criteria for selecting DecoderKeyGenerationAlgorithm01. The POS ApplicationProcess gives the appropriate directive by means of the DKGA code in the APDU.

The DecoderKey is diversified from a 64-bit single DES VendingKey value.

The process flow for the DKGA02 is shown in Figure 12.



IEC 1000/14

Figure 12 – DecoderKeyGenerationAlgorithm02

Construct the 64-bit PANBlock and the 64-bit CONTROLBlock as defined in 6.5.3.1 and 6.5.3.2.

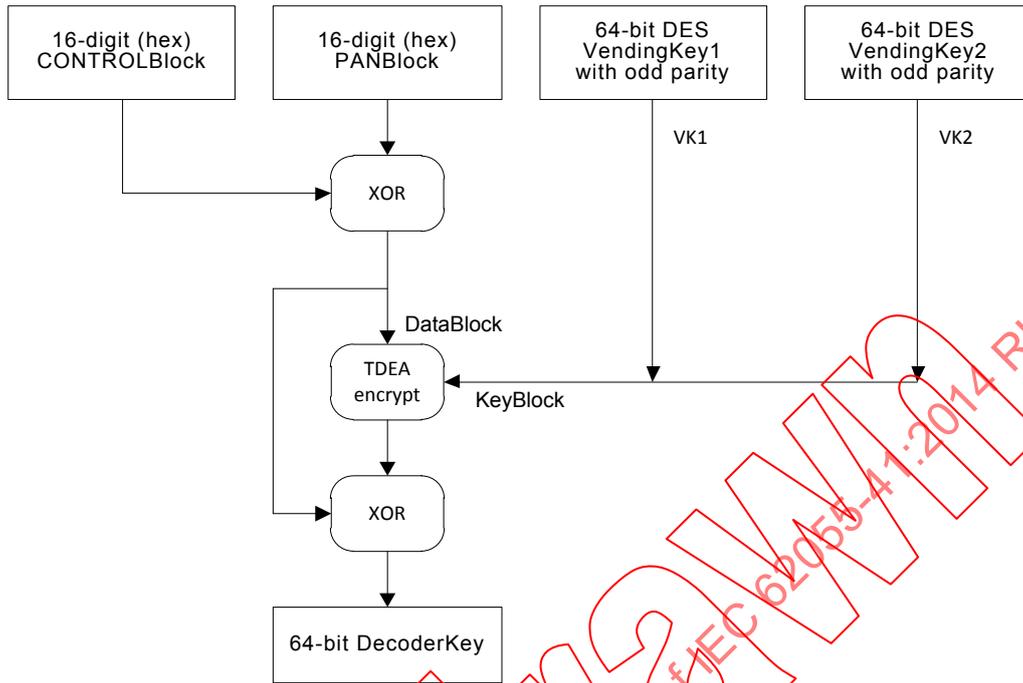
Encryption is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES VendingKey with odd parity.

6.5.3.5 DKGA03: DecoderKeyGenerationAlgorithm03

The DecoderKeyGenerationAlgorithm03 may be used for all payment meters that do not meet the criteria for selecting DecoderKeyGenerationAlgorithm01. The POSApplicationProcess gives the appropriate directive by means of the DKGA code in the APDU.

The DecoderKey is diversified from two 64-bit DES VendingKey values.

The process flow for the DKGA03 is shown in Figure 13.



IEC 1001/14

Figure 13 – DecoderKeyGenerationAlgorithm03

Construct the 64-bit PANBlock and the 64-bit CONTROLBlock as defined in 6.5.3.1 and 6.5.3.2.

Encryption is TDEA in accordance with FIPS PUB 46-3, triple DES in ECB mode, using two 64-bit DES VendingKey values VK1 and VK2 with odd parity.

The operation is: encrypt with VK1, decrypt with VK2, encrypt with VK1.

6.5.4 STA: EncryptionAlgorithm07

6.5.4.1 Encryption process

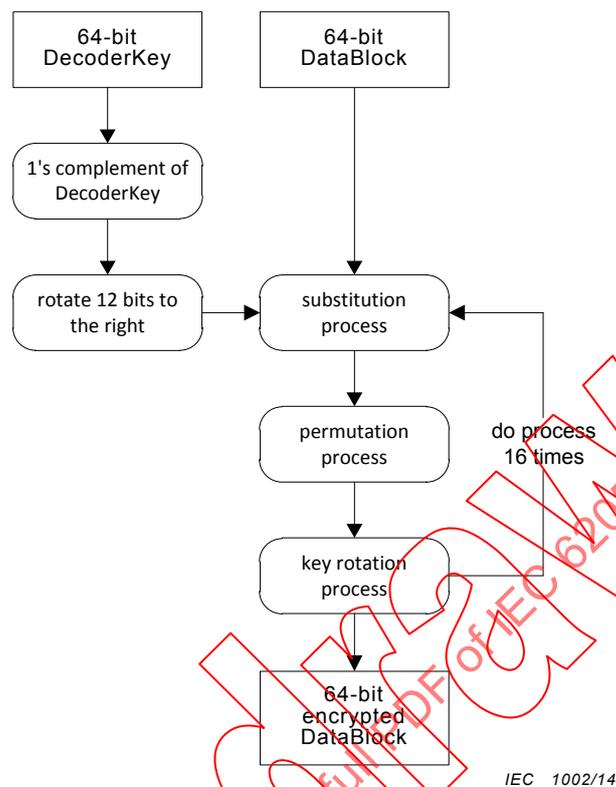


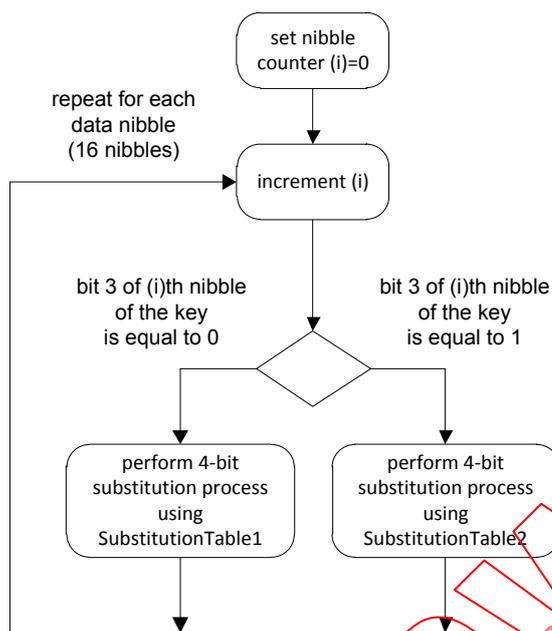
Figure 14 – STA: EncryptionAlgorithm07

The Standard Transfer Algorithm encryption process is shown in Figure 14, which comprises a key alignment process and 16 iterations of a substitution, permutation and key rotation process.

The POSApplicationProcess gives the appropriate directive by means of the EA code in the APDU.

6.5.4.2 Substitution process

The encryption substitution process is illustrated in Figure 15.



IEC 1003/14

Figure 15 – STA encryption substitution process

There is a 4-bit substitution process for each of the 16 nibbles in the data stream. The substitution table used is one of two 16-value substitution tables and is dependent on the most significant bit setting of the corresponding nibble in the key. A sample substitution table is given in Table 33.

Table 33 – Sample substitution tables

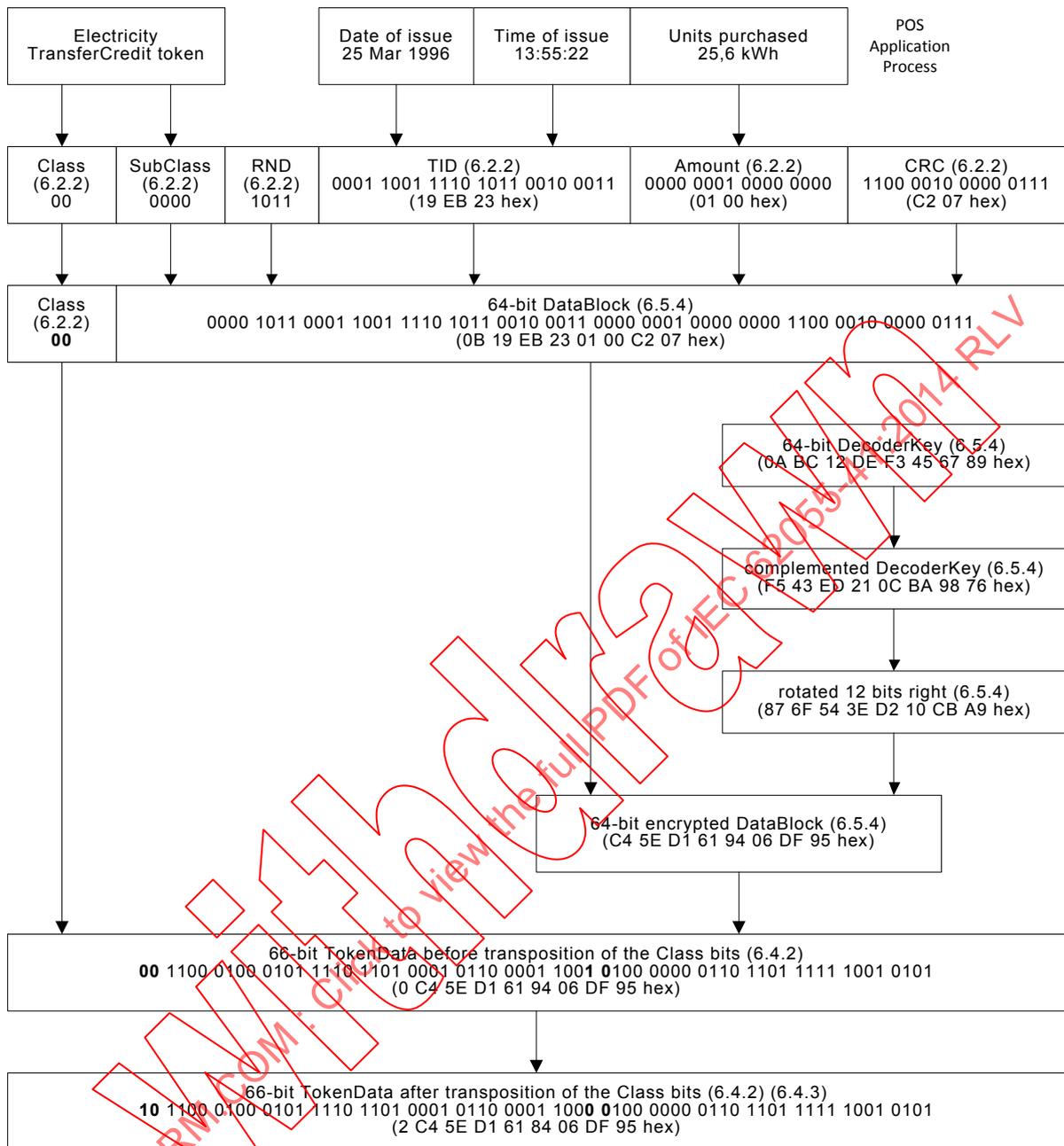
SubstitutionTable1	12, 10, 8, 4, 3, 15, 0, 2, 14, 1, 5, 13, 6, 9, 7, 11
SubstitutionTable2	6, 9, 7, 4, 3, 10, 12, 14, 2, 13, 1, 15, 0, 11, 8, 5
NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).	

The first entry in the substitution table corresponds to entry position 0 and the last to entry position 15.

Use the value of the data nibble as an index to an entry position in the substitution table; then replace the nibble value with the value from the substitution table found at that entry position. For example, if the value of the data nibble is 8 and we are using SubstitutionTable1, then the entry at position 8 is the value 14, thus replace the data nibble value with the value 14.

6.5.4.3 Permutation process

The encryption permutation process is illustrated in Figure 16.



IEC 1006/14

Figure 18 – STA encryption worked example for TransferCredit token

6.5.5 DEA: EncryptionAlgorithm09

The encryption process using the DEA is shown in Figure 19.

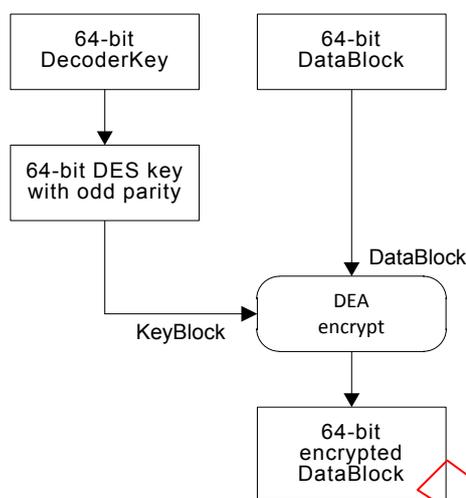


Figure 19 – DEA: EncryptionAlgorithm09

The DEA is a 64-bit block cipher in accordance with FIPS PUB 46-3 operating in ECB mode. The POSApplicationProcess gives the appropriate directive by means of the EA code in the APDU.

The 64-bit DecoderKey is produced with DecoderKeyGenerationAlgorithm02 or with DecoderKeyGenerationAlgorithm03 (see 6.5.3.4 and 6.5.3.5).

The DecoderKey is converted into a 64-bit DES Key with odd parity in accordance with FIPS PUB 46-3 by changing every eighth bit into a parity bit, starting with the least significant bit. Thus, bit 0, bit 8, bit 16, bit 24, bit 32, bit 40, bit 48 and bit 56 are converted into parity bits, where bit 0 is the least significant bit.

Encryption is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES Key with odd parity.

7 TokenCarrierToMeterInterface application layer protocol

7.1 APDU: ApplicationProtocolDataUnit

7.1.1 Data elements in the APDU

The APDU is the data interface between the MeterApplicationProcess and the application layer protocol and comprises the data elements given in Table 35.

Table 35 – Data elements in the APDU

Element	Context	Format	Reference
Token	The TokenData from the TCDU after decryption and processing; now presented to the MeterApplicationProcess in the APDU	66 bits	7.1.2
AuthenticationResult	Status indicator to the MeterApplicationProcess to convey the result from the initial authentication checks		7.1.3
ValidationResult	Status indicator to the MeterApplicationProcess to convey the result from the initial validation checks		7.1.4
TokenResult	Status indicator from the MeterApplicationProcess to convey the result after processing the token so that the application layer protocol can take the appropriate action		7.1.5

7.1.2 Token

The TokenData from the TCDU after decryption and processing, now presented to the MeterApplicationProcess in the APDU.

The actual 66-bit token as originally entered into the APDU by the MeterApplicationProcess. The MeterApplicationProcess is now able to process it further. See 6.2.1 for the detailed definition of this data element.

7.1.3 AuthenticationResult

A status indicator to tell the MeterApplicationProcess that the initial authentication checks (see 7.3.5) passed or failed, in order that the MeterApplicationProcess can respond appropriately. Possible values are given in Table 36.

Table 36 – Possible values for the AuthenticationResult

Value	Context	Format	Reference
Authentic	The authentication test passed or failed False if any one of the below error codes is indicated True if none of the below error codes is indicated	boolean	7.3.5
CRCErrror	The CRC value in the token is different to the CRC value as calculated from the data in the token	boolean	7.3.5
MfrCodeError	The MfrCode value in the Class 1 token does not match the MfrCode value for the Decoder	boolean	7.3.5

7.1.4 ValidationResult

A status indicator to tell the MeterApplicationProcess that the initial validation checks (see 7.3.6) passed or failed, in order that the MeterApplicationProcess can respond appropriately. Possible values are given in Table 37.

Table 37 – Possible values for the ValidationResult

Value	Context	Format	Reference
Valid	The Validation test passed or failed False if any one of the below error codes is indicated True if none of the below error codes is indicated	boolean	7.3.6
OldError	The TID value as recorded in the token is older than the oldest value of recorded values recorded in the memory store of the payment meter	boolean	7.3.6
UsedError	The TID value as recorded in the token is already recorded in the memory store of the payment meter	boolean	7.3.6
KeyExpiredError	The TID value as recorded in the token is larger than the KEN stored in the payment meter memory	boolean	7.3.6
DDTKError	The Decoder has a DDTK value in the DKR; a TransferCredit token may not be processed by the MeterApplicationProcess in accordance with the rules given in 6.5.2.3.3	boolean	7.3.6

7.1.5 TokenResult

After the MeterApplicationProcess has executed the instruction contained in the token, the TokenResult value reflects the outcome. The application layer protocol may then take the appropriate action to complete the token reading process, which may include accepting the token (and storing of the TID), rejection of the token, erasure of token data from the TokenCarrier, etc. Possible values are given in Table 38.

Table 38 – Possible values for the TokenResult

Value	Context	Format	Reference
Accept	The token was successfully processed False if any one of the below error codes is indicated True if none of the below error codes is indicated	boolean	8.2
1stKCT	The MeterApplicationProcess indicates that this is the Set1stSectionDecoderKey token of the pair of key change tokens being read; the token is provisionally accepted	boolean	8.2
2ndKCT	The MeterApplicationProcess indicates that this is the Set2ndSectionDecoderKey token of the pair of key change tokens being read; the token is provisionally accepted	boolean	8.2
OverflowError	The credit register in the payment meter would overflow if the token were to be accepted; the token is not accepted	boolean	8.2
KeyTypeError	The key may not be changed to this type in accordance with the key change rules given in 6.5.2.4.	boolean	8.2
FormatError	One or more data elements in the token does not comply with the required format for that element	boolean	8.2
RangeError	One or more data elements in the token have a value that is outside of the defined range of values defined in the application for that element	boolean	6.3
FunctionError	The particular function to execute the token is not implemented	boolean	8.2

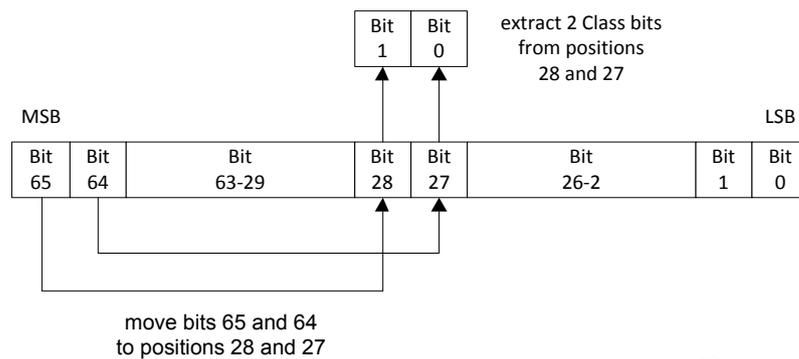


Figure 21 – Extraction of the 2 Class bits

Example: Extraction of the token Class = 01 (binary)

Extract the 2 Class bits from bit positions 28 and 27 (in bold):

```
00 0110 0101 0100 0011 0010 0001 0000 1001 1000 1111 0110 0101 0100 0011 0010 0001
```

Move bits 65 and 64 into bit positions 28 and 27 (in bold):

```
00 0110 0101 0100 0011 0010 0001 0000 1001 1000 0111 0110 0101 0100 0011 0010 0001
```

The resultant 64-bit binary number grouped in nibble (Bits 27 and 28 highlighted in bold):

```
0110 0101 0100 0011 0010 0001 0000 1001 1000 0111 0110 0101 0100 0011 0010 0001
```

7.2.3 APDU Extraction function for Class 0 and Class 2 tokens

This is the transfer function from the TCDU to the APDU and is applicable to all Class 0 and 2 tokens, except for the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens (see 7.2.5).

NOTE 1 The data elements in the APDU are defined in 7.1.1.

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function for Class 0 and Class 2 tokens is outlined as follows:

- the 2 Class bits are extracted from the 66-bit TokenData using the method in 7.2.2 to yield a 64-bit result, which is then presented to the decryption algorithm as its DataBlock input. Note that it is the responsibility of the POS to keep record of which specific decryption algorithm is in use in each particular payment meter (see 6.1.5 EA). The decryption algorithm and encryption algorithm are complementary and thus share the same EA code;
- the KeyBlock input for the decryption algorithm contains the current value of the DecoderKey, which is obtained from the DecoderKeyRegister in the payment meter secure memory;
- after decryption the 2 Class bits are again re-inserted into the 64-bit number to make a 66-bit number. The most significant bit of the 2 Class bits goes into bit position 65 and the least significant Class bit goes into bit position 64;
- the 66-bit token is authenticated in accordance with 7.3.5 and the result is indicated in the AuthenticationResult field of the APDU;
- the 66-bit token is validated in accordance with 7.3.6 and the result is indicated in the ValidationResult field of the APDU and the 66-bit token is placed in the Token field of the APDU;

- the MeterApplicationProcess processes the Token from the APDU and indicates the result in the TokenResult field of the APDU (see also 8.2). It is the responsibility of the MeterApplicationProcess to deal with display messages and indicators (see also 8.3) to the user and not the application layer protocol;
- if the TokenResult indicates Accept (see 7.1.5 and 8.2), then the Token is cancelled in accordance with 7.3.7 and the instruction is given in the TokenErase field of the TCDU to erase the data from the TokenCarrier.

NOTE 3 It is the responsibility of the physical layer protocol to decide whether the erase instruction is applicable or not in accordance with its specific implementation and TCT (see for example Clause 6 of IEC 62055-51:2007).

7.2.4 APDUExtraction function for Class 1 tokens

The APDUExtraction function for Class 1 tokens is identical to that of the Class 0 and Class 2 tokens, except that the decryption step is not performed.

7.2.5 APDUExtraction function for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens

This is the transfer function from the TCDU to the APDU and is applicable to the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

NOTE 1 The data elements in the APDU are defined in 7.1.1.

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens is outlined as follows:

- the 2 Class bits are extracted from the 66-bit TokenData using the method in 7.2.2 to yield a 64-bit result, which is then presented to the decryption algorithm as its DataBlock input. Note that it is the responsibility of the POS to keep record of which specific decryption algorithm is in use in each particular payment meter (see 6.1.5 EA). The decryption algorithm and encryption algorithm are complementary and thus share the same EA code;
- the KeyBlock input for the decryption algorithm contains the current value of the DecoderKey, which is obtained from the DecoderKeyRegister in the payment meter secure memory;
- after decryption, the 2 Class bits are again re-inserted into the 64-bit number to make a 66-bit number. The most significant bit of the 2 Class bits goes into bit position 65 and the least significant Class bit goes into bit position 64;
- the 66-bit token is authenticated in accordance with 7.3.5 and the result is indicated in the AuthenticationResult field of the APDU;
- the 66-bit token is not validated in the application layer protocol, but only in the MeterApplicationProcess. The 66-bit token is placed in the Token field of the APDU;
- the MeterApplicationProcess processes the Token from the APDU and indicates the result in the TokenResult field of the APDU (see also 8.2). It is the responsibility of the MeterApplicationProcess to deal with display messages and indicators (see also 8.3) to the user and not the application layer protocol;
- If the TokenResult indicates 1stKCT or 2ndKCT (see 7.1.5 and 8.2) then the instruction to erase the data from the TokenCarrier is not given in the TokenErase field of the TCDU;
- if the TokenResult indicates Accept (see 7.1.5 and 8.2) then the instruction to erase the data from the TokenCarrier is given in the TokenErase field of the TCDU.

The Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens may be entered in any order (see 8.9), but only the last one shall be erased.

NOTE 3 It is the responsibility of the physical layer protocol to decide whether the erase instruction is applicable or not, in accordance with its specific implementation and TCT (see for example Clause 6 of IEC 62055-51:2007).

7.3 Security functions

7.3.1 Key attributes and key changes

7.3.1.1 Key change requirements

The payment meter shall comply with the relevant requirements of 6.5.2, 7.3.1.2 and 7.3.1.3.

7.3.1.2 Key change processing without key expiry

The following defines the key change processing required if key expiry is not implemented in the payment meter:

- compare the KT value on the token against the KT value in the payment meter:
 - if KT values are equal, change the DecoderKeyRegister content, decoder KRN and payment meter TI to the corresponding new values on the token;
 - if KT values are not equal, validate KT rules (see 6.5.2.4):
 - a) if key change is allowed, change the DecoderKeyRegister content, decoder KRN, decoder KT and payment meter TI to the corresponding new values on the token;
 - b) if key change is not allowed, reject the key change operation.

7.3.1.3 Key change processing with key expiry

The following defines the key change processing required if key expiry is implemented in the payment meter:

- compare the token KT value against the decoder KT value:
 - if KT values are equal, change the DecoderKeyRegister content, decoder KEN, decoder KRN and payment meter TI to the corresponding token values;
 - if KT values are not equal, validate KT rules (see 6.5.2.4):
 - a) if key change is allowed, change the DecoderKeyRegister content, decoder KEN, decoder KRN, decoder KT and payment meter TI to the corresponding token values;
 - b) if key change is not allowed, reject the key change operation.

7.3.2 DKR: DecoderKeyRegister

The payment meter shall store the values given in Table 39 in secure non-volatile memory.

Table 39 – Values stored in the DKR

Value	Reference
DecoderKey	6.5.2.3 6.5.2.3.3, 6.5.3
TI	6.1.7
KRN	6.1.8
KT	6.1.9
KEN (optional)	6.1.10
<p>NOTE—The TI may be associated with a Tariff table that is managed outside of the domain of the payment meter. This implies that should a utility make use of the association, then the payment meter would require a key change each time that the customer is associated with a different tariff structure.</p>	

In all cases where the payment meter provides configuration information, the KT shall be considered part of the KeyRevisionNumber information. The payment meter shall therefore always provide the KT information together with, or else directly after, the KRN information.

7.3.3 STA: DecryptionAlgorithm07

7.3.3.1 Decryption process

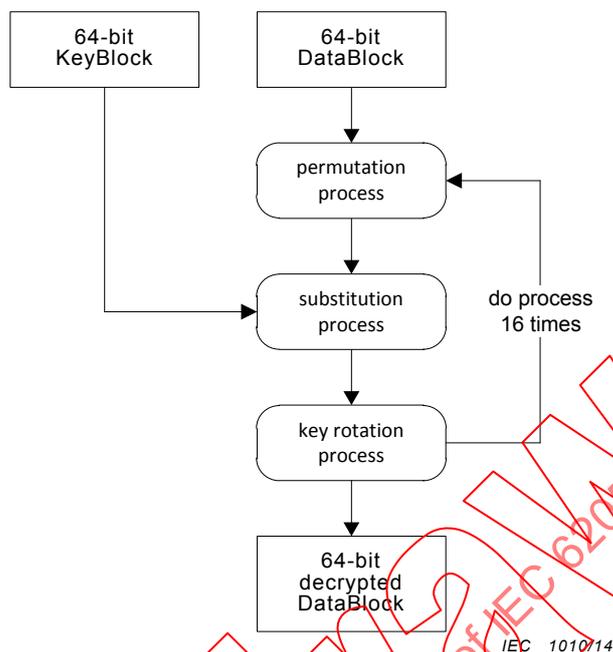


Figure 22 – STA DecryptionAlgorithm07

The Standard Transfer Algorithm decryption process is shown in Figure 22, which comprises a key alignment process and 16 iterations of a permutation, substitution and key rotation process.

The decryption algorithm and encryption algorithm are complementary and thus share the same EA code.

7.3.3.2 Permutation process

The decryption permutation process is illustrated in Figure 23.

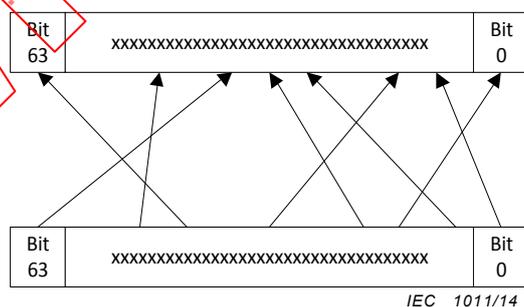


Figure 23 – STA decryption permutation process

A sample permutation table is given in Table 40.

Table 40 – Sample permutation table

PermutationTable4	44, 16, 7, 32, 51, 22, 49, 52, 63, 3, 42, 36, 39, 56, 35, 21, 4, 27, 57, 24, 62, 18, 26, 15, 30, 11, 43, 1, 29, 0, 14, 40, 58, 12, 2, 53, 34, 46, 10, 31, 8, 17, 20, 47, 48, 45, 60, 59, 28, 9, 55, 41, 37, 25, 38, 6, 54, 19, 23, 50, 33, 13, 5, 61
NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).	

The first entry in the permutation table corresponds to the least significant bit position 0 in the DataBlock and the last entry to the most significant bit position 63 in the DataBlock.

Use the bit position of the source DataBlock as an index into the permutation table; then use the value found in the permutation table at that entry position as a pointer to the bit position in the destination DataBlock. For example: for the source DataBlock bit position 7 corresponds to the value 52 in the permutation table, thus the value of bit 7 from the source DataBlock is placed in bit position 52 in the destination DataBlock.

It can be seen that this gives the inverse result of the process in 6.5.4.3.

7.3.3.3 Substitution process

The decryption substitution process is illustrated in Figure 24.

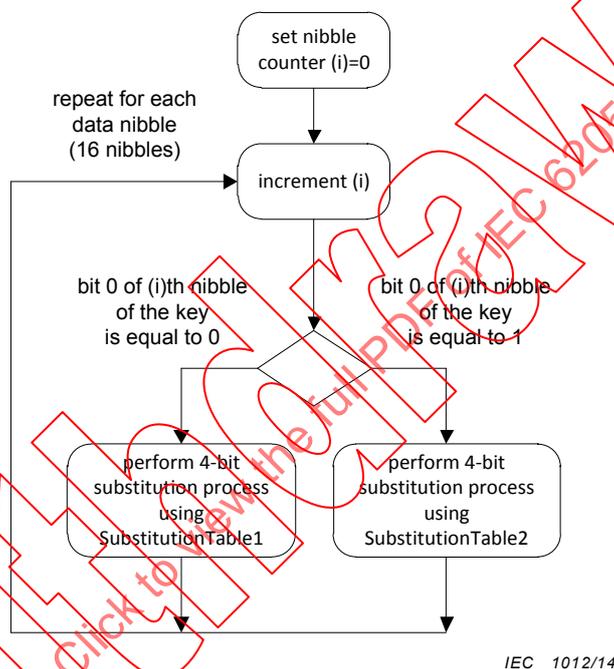


Figure 24 – STA decryption substitution process

There is a 4-bit substitution process for each of the 16 nibbles in the data stream. The substitution table used is one of two 16-value substitution tables and is dependent on the least significant bit setting of the corresponding nibble in the key. A sample substitution table is given in Table 41.

Table 41 – Sample substitution tables

SubstitutionTable1	12, 10, 8, 4, 3, 15, 0, 2, 14, 1, 5, 13, 6, 9, 7, 11
SubstitutionTable2	6, 9, 7, 4, 3, 10, 12, 14, 2, 13, 1, 15, 0, 11, 8, 5
NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).	

The first entry in the substitution table corresponds to entry position 0 and the last to entry position 15.

Use the value of the data nibble as an index to an entry position in the substitution table; then replace the nibble value with the value from the substitution table found at that entry position.

For example: if the value of the data nibble is 8 and we are using SubstitutionTable1, then the entry at position 8 is the value 14, thus replace the data nibble value with the value 14.

It can be seen that this gives the inverse result of the process in 6.5.4.2.

7.3.3.4 Key rotation process

The entire key is rotated one bit position to the right as illustrated in Figure 25.

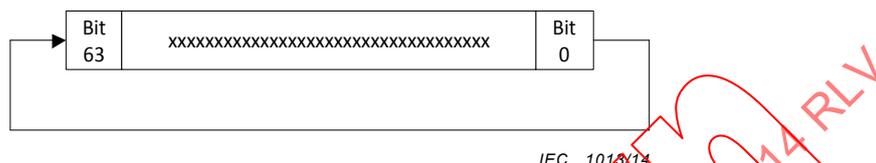
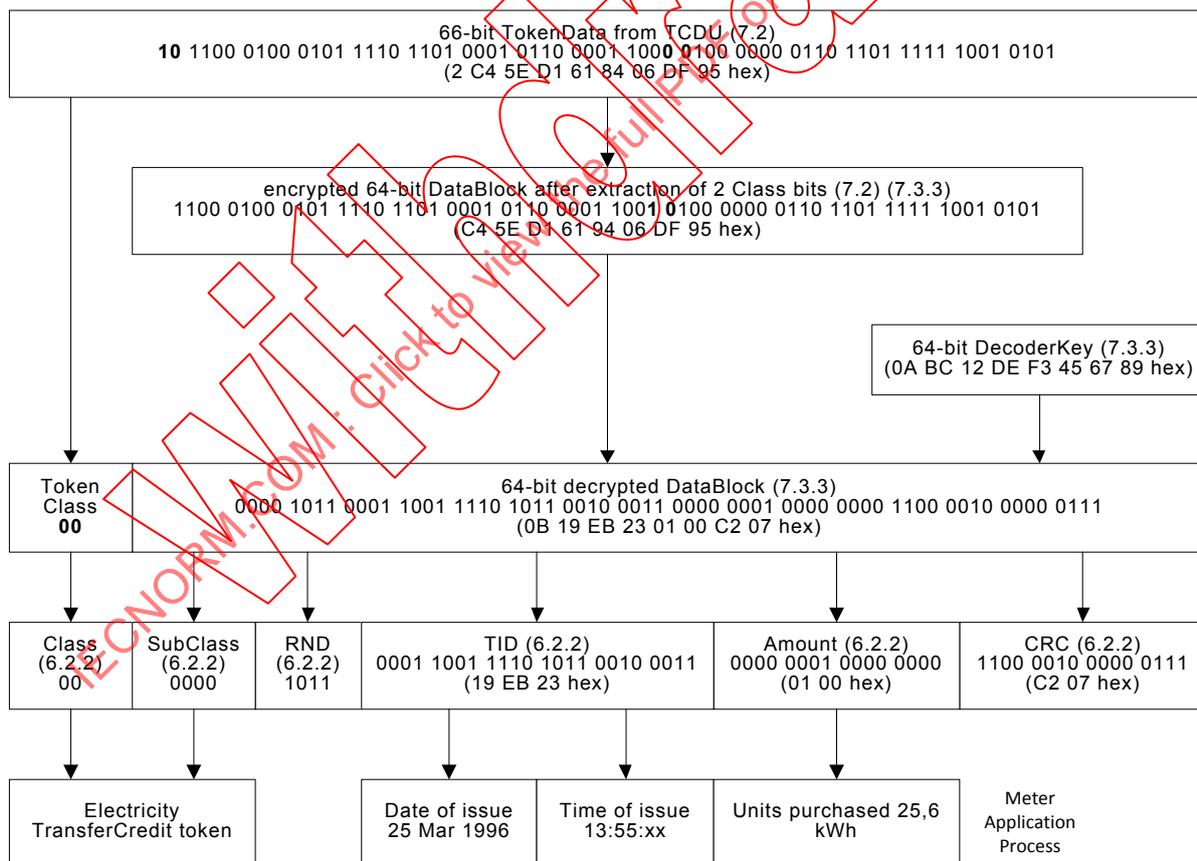


Figure 25 – STA decryption DecoderKey rotation process

7.3.3.5 Worked example to decrypt a TransferCredit token using the STA

A worked example using the sample substitution and permutation tables is illustrated in Figure 26.



IEC 1014/14

Figure 26 – STA decryption worked example for TransferCredit token

7.3.4 DEA: DecryptionAlgorithm09

The decryption process using the DEA is shown in Figure 27.

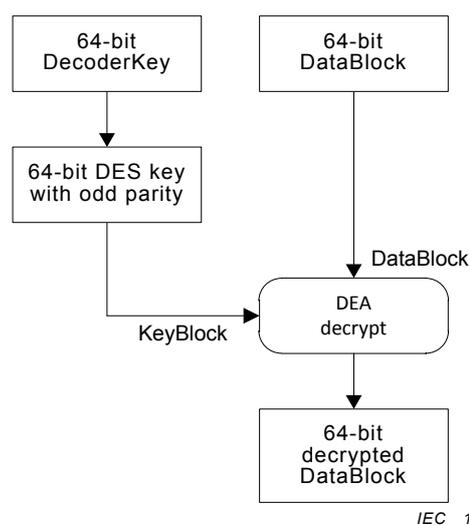


Figure 27 – DEA Decryption Algorithm 09

The DEA is a 64-bit block cipher in accordance with FIPS PUB 46-3 operating in ECB mode.

The DecoderKey is converted into a 64-bit DES Key with odd parity in accordance with FIPS PUB 46-3 by changing every eighth bit into a parity bit, starting with the least significant bit. Thus, bit 0, bit 8, bit 16, bit 24, bit 32, bit 40, bit 48 and bit 56 are converted into parity bits, where bit 0 is the least significant bit.

The decryption algorithm and encryption algorithm are complementary and thus share the same EA code.

Decryption is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES Key with odd parity.

7.3.5 Token Authentication

Validating the CRC checksum after decryption shall authenticate Class 0 and Class 2 tokens.

Validating the CRC and the MfrCode shall authenticate Class 1 tokens.

In the case of a Class 0 or a Class 2 token the AuthenticationResult status shall indicate Authentic when the following condition is met:

- the CRC checksum in the token has the same value as that calculated from the data elements in the token.

If the above condition is not met, then the AuthenticationResult status shall indicate CRCError.

In the case of a Class 1 token the AuthenticationResult status shall indicate Authentic when both of the following conditions are met:

- the CRC checksum in the token has the same value as that calculated from the data elements in the token;
- The MfrCode value in the token is the same as the MfrCode as defined in 6.2.3 for the Decoder.

If any of the above conditions are not met, then the AuthenticationResult status shall indicate CRCError, or MfrCodeError, or both.

If the token cannot be authenticated, it shall be rejected in accordance with the requirements given in 8.2 and 8.3.

7.3.6 TokenValidation

Class 0 and Class 2 tokens shall primarily be validated against the TID encoded in the token, except for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens are validated by the MeterApplicationProcess once the payment meter has read both tokens and combined them into the new DecoderKey. See 8.2 for ~~validation~~ acceptance and rejection requirements of the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

If key expiry is implemented in the payment meter, then the KEN stored in the payment meter shall also be used to validate tokens of Class 0 and Class 2 (see 6.5.2.6), except for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

A status of Valid shall be indicated if none of the following conditions are true:

- If a TID is received that has a value smaller than the smallest value of TID stored in the memory store (in other words, that was issued by a POS on a date before the earliest TID stored in the memory store), then such token containing this TID shall be rejected and indicate such condition as an OldError status (see 7.1.4);
- If a TID is received that is already stored in the memory store (see 7.3.7), the token shall be rejected and indicate such condition as a UsedError status (see 7.1.4);
- If key expiry is implemented in the payment meter and a TID is received that is greater than the KEN in the Decoder, the token shall be rejected and indicate such condition as a KeyExpiredError status (see 7.1.4);
- If a Class 0 token is presented to the Decoder with a DDTK value in the DKR, the token shall be rejected (see 6.5.2.3.3) and indicate such condition as a DDTKError status (see 7.1.4).

See also 8.2 and 8.3 for acceptance, rejection and indication requirements in the MeterApplicationProcess.

A payment meter loaded with a DDTK value shall accept all the relevant "non-meter-specific management tokens" (Class 1 tokens) as well as Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens encrypted under a DDTK.

7.3.7 TokenCancellation

Cancellation of a token shall be by means of storing the TID associated with that token in a secure non-volatile memory store in addition to erasure of the token data record from magnetic card token carriers (see 6.1.3 and 6.2.5 of IEC 62055-51:2007).

A time-based TID is used to uniquely identify each Class 0 and Class 2 token (except for the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens). The payment meter shall store, in a secure non-volatile memory store, at least the last 50 TID values received.

If a valid token is received with a TID that has a value greater than the smallest value of TID value in the memory store and there is no available space in the memory store to store the received TID value, the payment meter shall accept this token, remove the smallest TID value (in other words, the oldest TID) from the memory store, and replace it with the new TID value.

If the payment meter accepts a Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair, the TID memory store shall remain unchanged, unless the RolloverKeyChange (see 6.3.18) field specifies that the memory store shall be cleared.

The payment meter shall not accept tokens that were created prior to the date of manufacture or repair of the payment meter.

NOTE A suggested method is for the manufacturer to fill the TID memory store with values that indicate the date and time of manufacture or repair.

The payment meter shall read and process a token (as well as erase it when required) on a single insertion of the TokenCarrier without further action from the user.

All payment meters operating with a DCTK (see 6.5.2.3.1) shall erase token data (Class 0 and Class 2 tokens) from the TokenCarrier after successful transfer of the token data from the TokenCarrier to the payment meter, with the exception of the Set1stSectionDecoderKey token data and Set2ndSectionDecoderKey token data.

The following tokens shall not be erased:

- any token carrying a TID which is judged by the payment meter as being old;
- "non-meter-specific management tokens" of Class 1;
- the Set1stSectionDecoderKey or a Set2ndSectionDecoderKey token, whichever is inserted first.

The Set1stSectionDecoderKey or a Set2ndSectionDecoderKey token, whichever is inserted last, shall be erased upon successful completion of the key change operation.

8 MeterApplicationProcess requirements

8.1 General requirements

In addition to the requirements given in Clause 8, the MeterApplicationProcess shall execute tokens in accordance with the definitions given in Clause 6 and Clause 7, and shall be further subject to the requirements given in IEC 62055-31 at all times, in particular the action of the load switch in response to remote replenishment of credit and the closing of the load switch from a remote location.

8.2 Token acceptance/rejection

An STS-compliant payment meter shall be capable of reading, interpreting and executing all of the categories of tokens successfully.

The payment meter shall still accept tokens when in the power limiting or tampered state.

Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens are validated by the MeterApplicationProcess once the payment meter has read both tokens and combined them into the new DecoderKey.

A token shall be accepted when all of the following conditions are true:

- AuthenticationResult indicates a status value of Authentic in the APDU (see 7.1.3);
- ValidationResult indicates a status value of Valid in the APDU (see 7.1.4);
- the token can be correctly interpreted and the instruction executed by the MeterApplicationProcess.

If all the above conditions are met, TokenResult (see 7.1.5) shall indicate Accept with the following exceptions:

- successful processing of the first entered token of a key change token pair shall not indicate Accept, but it shall indicate 1stKCT if it is a Set1stSectionDecoderKey or 2ndKCT if it is a Set2ndSectionDecoderKey token; this indicates provisional acceptance until the second token of the key change token pair is also accepted;
- successful processing of the second entered token of a key change token pair shall indicate Accept.

The token shall be rejected and TokenResult shall not indicate Accept if any of the following conditions are true:

- AuthenticationResult does not indicate a status value of Authentic in the APDU (see 7.1.3);
- AuthenticationResult indicates a status value of CRCError in the APDU (see 7.1.3);
- AuthenticationResult indicates a status value of MfrCodeError in the APDU (see 7.1.3);
- ValidationResult does not indicate a status value of Valid in the APDU (see 7.1.4);
- ValidationResult indicates a status value of OldError in the APDU (see 7.1.4);
- ValidationResult indicates a status value of UsedError in the APDU (see 7.1.4);
- ValidationResult indicates a status value of KeyExpiredError in the APDU (see 7.1.4);
- ValidationResult indicates a status value of DDTKError in the APDU (see 7.1.4);
- In the case where completing the transaction execution of a TransferCredit token would cause the credit register in the payment meter to overflow the TokenResult shall indicate OverflowError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed;
- In the case where execution of a key change token would violate the key change rules as given in 6.5.2.4, the TokenResult shall indicate KeyTypeError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed. See also 7.3.1 for further key change processing requirements;
- In the case where the structure of the token does not comply with the definitions given in 6.2, 6.3 or in the application for that token, the TokenResult shall indicate FormatError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed;
- In the case where one or more data elements in the token have a value that is outside of the defined range of values defined in 6.2, 6.3 or in the the application for that element, the TokenResult shall indicate RangeError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed;
- In the case where the particular function to execute the token is not implemented, the TokenResult shall indicate FunctionError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed.

8.3 Display indicators and markings

The payment meter shall uniquely indicate the following conditions:

- the acceptance of a token (see 8.2);
- the rejection of a token (see 8.2);
- when a token is old (see 7.1.4);
- when a token has already been used i.e. duplicate token (see 7.1.4);
- when the DecoderKey has expired (see 7.1.4);
- when a TransferCredit token is presented with a DDTK in the DKR (See 7.1.4);
- when the MeterApplicationProcess cannot execute the token (see 8.2);
- after a successful completion of a key change operation (see 8.2 and 8.9);

- whether accepting the credit on a token would cause the credit register to overflow (see 8.2).

The DRN and the EA code shall be marked on the part of the payment meter that contains the decoder part (see Clause 3) and shall be legible from the outside of the decoder.

In the case where the decoder part is separate from the TokenCarrier interface where the user presents the TokenCarrier to the payment meter, then it shall be possible for the user to determine the DRN and the EA code from the user interface on demand.

Indicators relating to the result of token entry shall only be displayed on the same user interface where the token was entered. In the case of a virtual token carrier for example, it is the task of the application layer protocol and the relevant physical layer protocol to feed back the ValidationResult, AuthenticationResult and TokenResult values.

8.4 TransferCredit tokens

See 6.2.2 for more detail on the structure of this token.

The credit value in the Amount field in the token shall be added to the available credit in the Accounting function in accordance with the specific implementation of the Accounting function and the service type as indicated by the SubClass field in the token.

8.5 InitiateMeterTest/Display tokens

See 6.2.3 for more detail on the structure of this token.

All payment meters shall support test number 0, if any of the incorporated tests are not supported the payment meter ~~must~~ shall perform the subset of tests that are supported.

The relevant test shall be executed or the relevant information shall be displayed in accordance with the bit pattern in the Control field of the token.

When more than one output is required, for example for test number 0, the outputs shall be initiated in the order in which they are defined in 6.3.8. An optional test may be omitted if it is not implemented. A single test, for example test number 3, may provide more than one field of information.

Any optional tests not supported by the payment meter shall result in the rejection of the optional test token by the payment meter.

In the case where the SubClass value is in the range ~~11~~ 6 to 15, the relevant test or display function shall be executed according to the manufacturer's specification, but the payment meter shall verify the MfrCode field value before such a token is accepted.

In the case where a payment meter has zero available credit which causes the load switch to be open, and the InitiateMeterTest/Display token may cause the load switch to operate into the closed state for the duration of the test. Some utilities may not want this condition to be allowed, while other utilities may want it. The action of the payment meter in response to this token shall be as agreed between the utility and the supplier and shall not form a normative part of this standard.

8.6 SetMaximumPowerLimit tokens

See 6.2.4 for more detail on the structure of this token.

The present value of the maximum power limit register shall be replaced with the new limit.

The action of this function shall be agreed between the utility and the payment meter supplier.

NOTE 1 In a poly-phase payment meter this value is per phase.

NOTE 2 This function is not intended to be used as an overcurrent protection mechanism, which requires adherence to other relevant standards.

8.7 ClearCredit tokens

See 6.2.5 for more detail on the structure of this token.

The available credit in the Accounting function shall be cleared to zero in accordance with the indicated value in the Register field of the token.

8.8 SetTariffRate tokens

See 6.2.6 for more detail on the structure of this token.

The present value in the Tariff Rate Register shall be replaced with the new rate.

8.9 Set1stSectionDecoderKey tokens

See 6.2.7 for more detail on the structure of this token.

The present value of the DecoderKey shall be replaced with the new DecoderKey. The DecoderKey includes its associated attributes like KRN, KT, KEN and TI as defined in 7.3.2.

This action is subject to the successful receipt of both the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

The payment meter shall have only one active DecoderKey at any stage of its operation. Dual DecoderKeys shall not be used.

It shall be possible to enter the Set1stSectionDecoderKey and Set2ndSection DecoderKey tokens in any order to affect a successful key change.

It shall be possible to enter at least two other invalid tokens of any type and in any order, along with any one of a Set1stSectionDecoderKey and Set2ndSectionDecoderKey token and still perform a successful key change.

It shall be possible to enter the same Set1stSectionDecoderKey and Set2ndSectionDecoderKey token more than once, if the key has not been changed already, and still perform a successful key change.

A time-out function shall be used to cancel a partially completed key change procedure after a duration of between ~~30 s~~ 3 min and 10 min.

8.10 Set2ndSectionDecoderKey tokens

See 6.2.8 for more detail on the structure of this token.

The requirements for the processing of the Set2ndSectionDecoderKey tokens are the same as 8.9 above.

8.11 ClearTamperCondition tokens

See 6.2.9 for more detail on the structure of this token.

The control status and indicator that indicates a tamper condition shall be reset to indicate a non-tamper condition. Any internal payment meter control process resultant from such a tamper condition shall also be cancelled.

8.12 SetMaximumPhasePowerUnbalanceLimit tokens

See 6.2.10 for more detail on the structure of this token.

The present value of the maximum phase unbalance power limit register shall be replaced with the new limit.

The action of this function shall be agreed between the utility and the payment meter supplier.

NOTE This function is only applicable to poly-phase payment meters.

8.13 SetWaterMeterFactor

See 6.2.11 for more detail on the structure of this token.

The action of this token is reserved for future definition by the STS Association.

8.14 Class 2: Reserved for STS use tokens

See 6.2.12 for more detail on the structure of this token.

The payment meter shall reject these token types.

8.15 Class 2: Reserved for Proprietary use tokens

See 6.2.13 for more detail on the structure of this token.

The actions performed in the payment meter shall be in accordance with the manufacturer's specifications.

NOTE This standard does not provide protection against collision between manufacturer uses of this token space (see also note to 6.2.13).

8.16 Class 3: Reserved for STS use tokens

See 6.2.14 for more detail on the structure of this token.

The payment meter shall reject these token types.

9 KMS: KeyManagementSystem generic requirements

It is recognised that KMS requirements are essentially outside the scope of this standard and the reader is therefore referred to relevant industry standards, some of which are listed in the Bibliography.

The STS Association has established well-proven codes of practice for the management of cryptographic keys within STS-compliant systems, utilising those industry standards, and it is therefore recommended that new systems implementing this standard should follow the STS Association codes of practice.

By virtue of its Registration Authority status with IEC TC 13, the STS Association has undertaken to provide such certification services that are deemed necessary to ensure that key management systems comply with the relevant parts of this standard (see Clause C.1)

For further guidelines on the functioning of a KeyManagementSystem as envisaged in this standard, see Annex A.

10 Maintenance of STS entities and related services

10.1 General

See also Clause C.1 for more information relating to maintenance and support services.

The maintenance activity on certain STS entities requires a revision/amendment of this standard. Where this is the case, it is explicitly indicated as such.

Annex B and Annex C are not normative and any changes in these clauses due to maintenance activities would not require revision/amendment of this standard, but may require appropriate amendments to other relevant specifications or COP.

The STS entities and services that require maintenance are given in Table 42.

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Table 42 – Entities/services requiring maintenance service

Entity/service	Definition origin	Responsible maintenance body	Reference
Product certification	Clause C.10	STSA/CA	10.2.1
DSN	6.1.2.3.3 C.3.4	Mfr	10.2.2
RO	6.3.18	utility	10.2.3
TI	6.1.7	utility	10.2.4
TID	6.3.5.1	utility	10.2.5
SpecialReservedTokenId entifier	6.3.5.2 Clause C.4	utility	10.2.6
MfrCode	6.1.2.3.2 C.3.3	STSA	10.2.7
Substitution tables	6.5.4.2 7.3.3.3 Clause C.5	STSA	10.2.8
Permutation tables	6.5.4.3 7.3.3.2 Clause C.5	STSA	10.2.9
SGC	6.1.6 C.2.2	STSA/KMC	10.2.10
VendingKey	6.5.2.2 Clause 9 C.2.2	STSA/KMC	10.2.11
KRN	6.1.8 6.5.2.5	STSA/KMC	10.2.12
KT	6.1.9 6.5.2 Table 30	STSA/KMC	10.2.13
KEN	6.1.10 6.5.2.6 C.2.4	STSA/KMC	10.2.14
KEK	Annex B Table B.1	STSA/KMC	10.2.15
CC	Annex B Table B.2	STSA/KMC	10.2.16
UC	Annex B Table B.2	STSA/KMC	10.2.17
KMCID	Annex B Table B.2	STSA/KMC	10.2.18
CMID	Annex B Table B.2	Mfr/KMC	10.2.19
CMAC	Annex B Table B.2	Mfr/KMC	10.2.20

Entity/service	Definition origin	Responsible maintenance body	Reference
IIN	6.1.2.2 C.3.2	ISO/IEC	10.3.1
TCT	6.1.3 Table 5	STSA/IEC	10.3.2
DKGA	6.1.4 Table 6	STSA/IEC	10.3.3
EA	6.1.5 Table 7	STSA/IEC	10.3.4
TokenClass	6.3.2 Table 13 Table 14	STSA/IEC	10.3.5
TokenSubClass	6.3.3 Table 14	STSA/IEC	10.3.6
InitiateMeterTest/Display ControlField	6.3.8 Table 22	STSA/IEC	10.3.7
RegisterToClear	6.3.13 Table 23	STSA/IEC	10.3.8
STS base date	6.3.5.1	STSA/IEC	10.3.9
Rate	6.3.11	STSA/IEC	10.3.10
WMFactor	6.3.12	STSA/IEC	10.3.11
MFO	5.5	STSA/(IEC)	10.3.12
FOIN	5.5 Clause C.8	STSA/(IEC)	10.3.13
Companion Specification	5.5 Clause C.8	STSA/(IEC)	10.3.14

10.2 Operations

10.2.1 Product certification maintenance

The STS Association, as a registered Registration Authority with the IEC, shall assure access to product certification services to users of the STS.

It shall also assure that such service providers are duly accredited and authorized to provide this service and that they comply with the requirements of this standard and any other relevant COP or specification.

10.2.2 DSN maintenance

The payment meter manufacturer is in complete control of his allocated range of DSN values (within his allocated MfrCode domain) and it thus requires no further maintenance.

10.2.3 RO maintenance

The utility shall manage the operational use of this data element in conjunction with the STS base date.

10.2.4 TI maintenance

The utility shall manage the operational use of this element.

10.2.5 TID maintenance

The utility shall manage the operational use of this data element by means of appropriate programming of the token vending or POS systems.

10.2.6 SpecialReservedTokenIdentifier maintenance

The utility shall manage the operational use of this data element by means of appropriate programming of the token vending or POS systems.

10.2.7 MfrCode maintenance

The STS Association, as a registered Registration Authority with the IEC, shall provide the service of allocating MfrCode values to payment meter manufacturers and making the list of allocated MfrCode values available to users of the STS upon request.

10.2.8 Substitution tables maintenance

The STS Association, as a registered Registration Authority with the IEC, shall provide the service of making the actual values for Table 33 and Table 41 available to users of the STS upon request.

10.2.9 Permutation tables maintenance

The STS Association, as a registered Registration Authority with the IEC, shall provide the service of making the actual values for Table 34 and Table 40 available to users of the STS upon request.

10.2.10 SGC maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to SGC allocation services to users of the STS and that SGC values are globally unique. Such services are typically provided by a KMC.

10.2.11 VendingKey maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to VendingKey allocation services to users of the STS, that VendingKey values are globally unique and that VendingKey values are made available between KMC service providers. Such services are typically provided by a KMC.

The STS Association shall also ensure the compliance of such service providers to the requirements and recommendations given in this International Standard and any other relevant COP or specification.

10.2.12 KRN maintenance

This element is intrinsically coupled to the VendingKey and is managed by the KMC service provider, subject to the same conditions as for VendingKey maintenance.

10.2.13 KT maintenance

This element is intrinsically coupled to the VendingKey and is managed by the KMC service provider, subject to the same conditions as for VendingKey maintenance.

The STS Association in liaison **partnership** with Working Group 15 of IEC TC 13 shall administer any further additions to the range of KeyType values as given in Table 30.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional KeyType definition shall require a revision/amendment of this standard.

10.2.14 KEN maintenance

This element is intrinsically coupled to the VendingKey and is managed by the KMC service provider, subject to the same conditions as for VendingKey maintenance.

10.2.15 KEK maintenance

The KMC service provider is exclusively in control of this data element as it forms an intrinsic part of its key management operations.

The STS Association, as a registered Registration Authority with the IEC, shall ensure that KMC service providers comply with the requirements of this standard and any other relevant COP.

10.2.16 CC maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to CC allocation services to users of the STS and that CC values are globally unique. Such services are typically provided by a KMC.

10.2.17 UC maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to UC allocation services to users of the STS and that UC values are globally unique. A KMC typically provides such services.

10.2.18 KMCID maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to KMCID allocation services to users of the STS and that KMCID values are globally unique. The STS Association typically provides such services.

10.2.19 CMID maintenance

The CM manufacturer is in complete control of allocating CMID values to his manufactured CM devices and there is no service in place to ensure uniqueness of this data element.

Once a particular CM is registered in an STS system (typically with a KMC service provider), then the CMID is simply recorded for reference purposes and no further maintenance service on this data element is required.

10.2.20 CMAC maintenance

The CM manufacturer is in complete control of allocating CMAC values to his manufactured CM devices and there is no service in place to ensure uniqueness of this data element.

The registration transaction of a CMAC value is typically conducted between the CM manufacturer and the KMC service provider, and then it remains in the operations domain of the two parties.

The STS Association, as a registered Registration Authority with the IEC, shall ensure the compliance of such manufacturers and service providers to the requirements and recommendations given in this standard and any other relevant COP.

10.3 Standardisation

10.3.1 IIN maintenance

This standard defines a constant value for electricity payment meters worldwide.

ISO may issue different values for other services upon application by service providers.

Any changes to the rules as defined in this standard would require a revision/amendment of this standard.

10.3.2 TCT maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of TCT values given in Table 5.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional entry to Table 5 shall require a revision/amendment of this standard and a new part in the IEC 62055-5x series.

10.3.3 DKGA maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of DKGA values given in Table 6.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional entry to Table 6 shall require a revision/amendment of ~~IEC 62055-41 and a new part in the IEC 62055-5x series~~ this standard.

10.3.4 EA maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of ~~DKGA~~ EA values given in Table 7.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional entry to Table 7 shall require a revision/amendment of this standard.

10.3.5 TokenClass maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of TokenClass values as given in Table 13 and Table 14.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional TokenClass definition shall require a revision/amendment of this standard.

10.3.6 TokenSubClass maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of TokenSubClass values as given in Table 14.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional TokenSubClass definition shall require a revision/amendment of this standard.

10.3.7 InitiateMeterTest/DisplayControlField maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of InitiateMeterTest/DisplayControlField values given in Table 22.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional InitiateMeterTest/DisplayControlField value shall require a revision/amendment of this standard.

10.3.8 RegisterToClear maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of RegisterToClear values given in Table 23.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional RegisterToClear value shall require a revision/amendment of this standard.

10.3.9 STS base date maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any changes to the STS base date.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

A change in the STS base date value shall require a revision/amendment of this standard.

10.3.10 Rate maintenance

This data element is presently reserved for future definition.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any changes to the definition of the Rate data element.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

A change in definition of the Rate data element shall require a revision/amendment of this standard.

10.3.11 WMFactor maintenance

This data element is presently reserved for future definition.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any changes to the definition of the WMFactor data element.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

A change in definition of the WMFactor data element shall require a revision/amendment of this standard.

10.3.12 MFO maintenance

Definitions of MFO instances are presently outside the normative domain of this standard and are mentioned purely on an informative basis.

The STS Association exclusively administers the definition of MFO instances following its own internal standard procedures for submission of new work item proposals.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 may in the future propose these MFO instances to the IEC for development into international standards, which shall follow the standard procedures for submission of new work item proposals, as instituted by the IEC.

10.3.13 FOIN maintenance

Allocation and assignment of FOIN values are presently outside the normative domain of this standard and are mentioned purely on an informative basis.

The STS Association exclusively administers the allocation and assignment of FOIN values in conjunction with the registration of MFO instances as companion specifications.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 may in the future propose these FOIN values to the IEC for development into international standards, which shall follow the standard procedures for submission of new work item proposals, as instituted by the IEC.

10.3.14 Companion specification maintenance

Development of companion specifications is presently outside the normative domain of this standard and is mentioned purely on an informative basis.

The STS Association exclusively administers the development of companion specifications in conjunction with registration of MFO instances and assignment of FOIN values.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 may in the future propose these companion specifications to the IEC for development into international standards, which shall follow the standard procedures for submission of new work item proposals, as instituted by the IEC.

Annex A (informative)

Guidelines for a KeyManagementSystem (KMS)

An entity relation and interaction diagram is shown in Figure A.1.

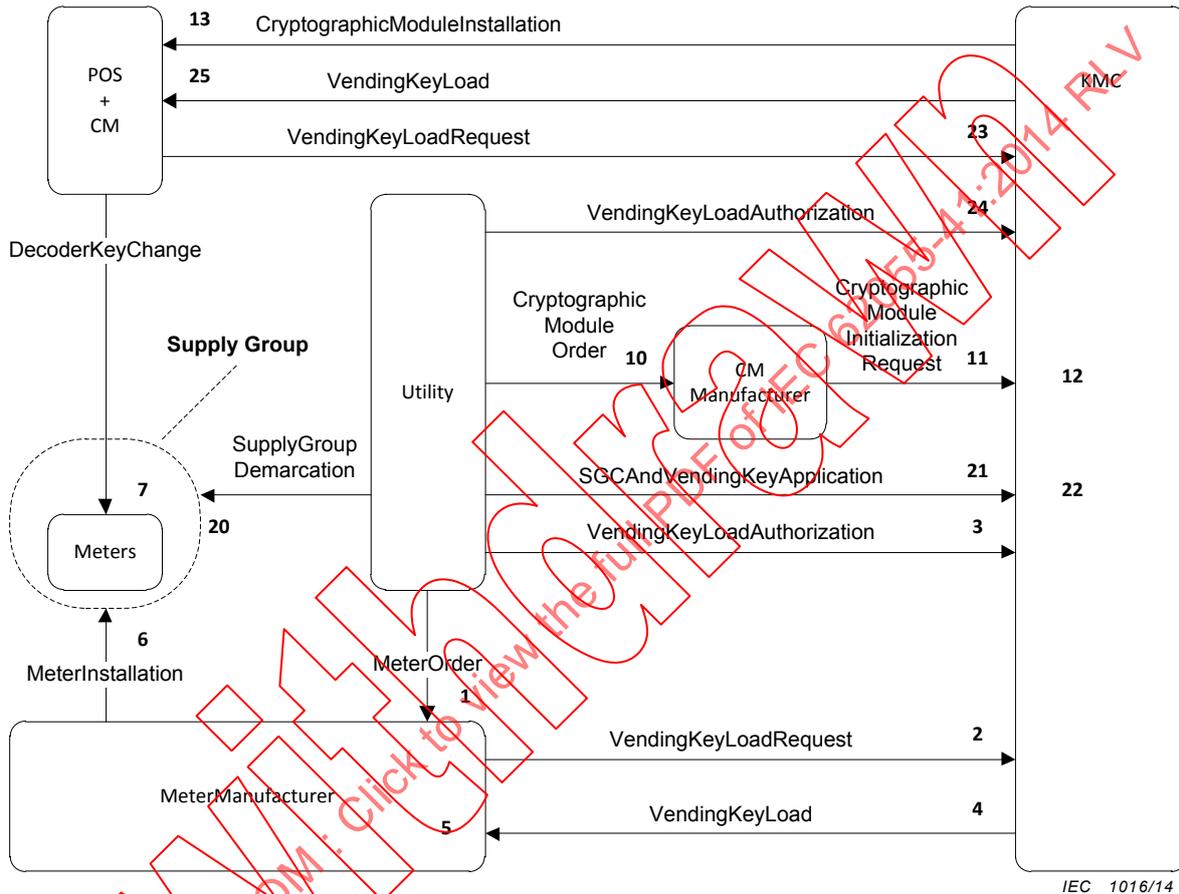


Figure A.1 – KeyManagementSystem and interactive relationships between entities

The entities that play a role in the KMS processes are given in Table A.1.

Table A.1 – Entities that participate in KMS processes

Entity	Role / Name
Utility	Supplier of a service such as electricity
MeterManufacturer	Manufacturer of payment meters/ decoder devices
CMManufacturer	Manufacturer of cryptographic modules
KMC	KeyManagementCentre
CM	CryptographicModule
POS	PointOfSale
Meter	Payment meter

The payment meter processes and DecoderKey processes are given in Table A.2.

Table A.2 – Processes surrounding the payment meter and DecoderKey

Process Number	Context
1	MeterOrder Utility places an order for payment meters with the MeterManufacturer. The order will stipulate that the payment meters are loaded with DDTK, DUTK or DCTK values for the specified SGC
2	VendingKeyLoadRequest MeterManufacturer requests the VendingKey (VUDK or VCDK) for the specific SGC, if required, from the KMC, else he uses his own allocated VDDK (see 6.5.2.2)
3	VendingKeyLoadAuthorization The Utility authorizes the KMC to load the requested VendingKey values down to the MeterManufacturer
4	VendingKeyLoad The requested VendingKey values are loaded into the MeterManufacturer's STS-certified secure manufacturing equipment
5	DecoderKeyLoad The MeterManufacturer generates the DDTK, DUTK or DCTK values from the VDDK, VUDK or VCDK values in accordance with the payment meter order and loads these into the payment meter (see 6.5.3)
6	MeterInstallation The payment meters are delivered to the Utility and installed in the demarcated SupplyGroup
7	DecoderKeyChange If so required the DecoderKey value may be changed by vending KeyChangeTokens from the POS equipment (see 6.2.7 and 6.2.8 Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens). See also process 23 to 25 below regarding VendingKey loading

The CryptographicModule processes are given in Table A. 3.

Table A.3 – Processes surrounding the CryptographicModule

Process Number	Context
10	CryptographicModuleOrder The Utility (or POS manufacturer) places an order for a cryptographic module with a cryptographic module manufacturer
11	CryptographicModuleInitialisationRequest The CryptographicModule is sent to the KMC to be initialised with secret key values, which will subsequently be utilized for securely distributing VendingKey values from the KMC to the CryptographicModule
12	CryptographicModuleAuthenticationAndInitialization The KMC checks that the CryptographicModule is authentic and then initialises it with secret key values, which will subsequently be utilized for securely distributing VendingKey values from the KMC to the CryptographicModule (see KEK in Annex B)
13	CryptographicModuleInstallation The CryptographicModule is installed and is ready for loading of VendingKey values from the KMC typically using KeyLoadFiles (see KLF in Annex B)

The SGC and VendingKey processes are given in Table A.4.

Table A.4 – Processes surrounding the SGC and VendingKey

Process Number	Context
20	<p>SupplyGroupDemarcation</p> <p>The Utility supplies electricity to a defined group of its customers. It decides the size and boundaries of the group based on security risk and revenue protection considerations, geographical location and network logistical characteristics</p>
21	<p>SGCAndVendingKeyApplication</p> <p>The Utility makes application to the KMC for a SGC of specified type (unique or common) and associated VendingKey of a specified type (VUDK or VCDK; see 6.5.3)</p>
22	<p>SGCAndVendingKeyAllocation</p> <p>The KMC allocates a SGC and an associated secret VendingKey of the required KT to the applicant and stores the elements in its records</p>
23	<p>VendingKeyLoadRequest</p> <p>POS operator requests the VendingKey value (VDDK, VUDK or VCDK) for the specific SGC from the KMC that will allow him to vend to payment meters loaded with the associated DecoderKey value (DDTK, DUTK or DCTK)</p>
24	<p>VendingKeyLoadAuthorization</p> <p>The Utility authorizes the KMC to load the requested VendingKey values (VUDK or VCDK). Alternatively the MeterManufacturer authorizes the KMC to load the requested VDDK value</p>
25	<p>VendingKeyLoad</p> <p>The requested VendingKey values are loaded into the CryptographicModule that will be used by the POS equipment to generate tokens for the payment meters in the SupplyGroup</p>

The mandatory requirements for a KeyManagementSystem are specified in Clause 9.

See also Clause C.2 Code of practice for more information regarding the management of VendingKeys.

See also C.2.2.1 Code of practice for more information regarding the SGC demarcation guidelines.

See also Annex B for more information regarding entities and identifiers in an STS-compliant system.

See also Clause 10 for the maintenance of the STS entities and related services.

Table B.1 – Typical entities deployed in an STS-compliant system

Entity	Context	Reference
Country	Geographical area with politically demarcated boundaries, which may change over time	x
Utility	Entity that supplies a service like electricity to its end customer by means of a payment meter. One or more utilities are operational in a country. utilities change their constitutional identities over time	x
SupplyGroup	A subgroup of payment meters within a distribution network. A Utility may supply to one or more SupplyGroups. A SupplyGroup may change its relationship to a Country and a Utility over time	6.1.6
Meter	The payment meter used to control the delivery or supply of the service to the end customer (see also IEC 62055-31). One or more payment meters are grouped in a SupplyGroup. A payment meter may change to a different SupplyGroup by means of a corresponding DecoderKey change	IEC 62055-31 IEC 62055-21
POS	PointOfSale device that is able to generate tokens for any payment meter in a SupplyGroup, by having access to the VendingKey value for the particular SupplyGroup. It is technically and practically feasible that a POS may have access to VendingKey values of more than one SupplyGroup, thus being able to also generate tokens for payment meters belonging to those SupplyGroups. VendingKeys may thus move to and from PointOfSale devices over time, depending on the commercial relationship between a vendor and a particular Utility	IEC 62055-21
TokenCarrier	The physical device, or medium onto which the token information is encoded and which is then used to transfer the token to the payment meter. This may be in the form of a printed numeric string or a magnetically encoded card, which is carried to the payment meter by hand and manually inserted into the reading device of the payment meter by the user (end customer), or it may be a virtual token carrier in the form of a direct communication connection to a remotely located client device	3.1
Token	Token as defined in this standard by means of which the POS device is able to transfer instructions and information to the payment meter, or retrieve information from the payment meter	3.1
Tariff	The formula used to calculate the charge per unit of service. In the case of the one-way payment meters the tariff is normally applied at the POS at the time when the end customer purchases a token. There are normally several tariff structures according to different customer categories and contracts. Each tariff is thus associated with a TI (see below) for ease of reference	6.1.7 6.2.6
STSA	Standard Transfer Specification Association that keeps a register of all KMCs, which are globally deployed	Clause C.1
KMC	KeyManagementCentre. The infrastructure that is used to manage and control the KeyManagementSystem	9 Annex A Clause C.2
KLF	KeyLoadFile. The secure mechanism used by the KMC to distribute VendingKey values to cryptographic modules	Annex A
CM	CryptographicModule. The secure device used by the POS to generate DecoderKey values from VendingKey values and to generate tokens from DecoderKey values	Annex A
KEK	KeyExchangeKey. A secret dual 64-bit DES key value shared between the KMC and the CM, which is used to encrypt VendingKey values that are distributed by means of the KLF (see KLF above)	x
VK	VendingKey. A 64-bit DES key value, generated, stored and distributed by the KMC to other cryptographic modules under controlled and authorised conditions when required. It is used to generate DecoderKey values inside the CM	6.5.2.2
DK	DecoderKey. A 64-bit STA key value or 64-bit DES key value generated as a function of several values: DK = f (VK, SGC, KRN, KT, TI, MeterPAN). It is shared between the CM and the payment meter and is used to encrypt and decrypt tokens that are sent from the POS to payment meter or from the payment meter to the POS	6.5.2.3

The identifiers that are associated with the above entities are given in Table B.2.

Table B.2 – Identifiers associated with the entities in an STS-compliant system

Identifier	Context	Reference
CC	CountryCode A code uniquely identifying the country in which the Utility is operative and where the payment meters are installed. It is registered in the KMC and associated with VK at the KMC and the CM	x
UC	UtilityCode A code allocated by the KMC to uniquely identify the specific Utility to which VK and the SGC is allocated. It is registered in the KMC and is associated with VK at the CM	x
KMCID	KeyManagementCentreIdentifier Unique identifier for each KMC in the world. Each KMCID is registered with the STSA	x
CMID	CryptographicModuleIdentifier Unique identifier for each cryptographic module in the system	x
CMAC	CryptographicModuleAuthenticationCode A set of secret codes that the KMC and the POS may use to authenticate the CM before entrusting it with other secret values. Typical examples are DeviceAuthenticationCode and FirmwareAuthenticationCode	x
TID	TokenIdentifier Unique time-based identifier for each token. It is shared between the POS, the token and the payment meter	6.3.5.1
MeterPAN	MeterPrimaryAccountNumber A unique identification number for each STS-compliant payment meter. It is shared between the payment meter and the POS. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.2
DRN	DecoderReferenceNumber The unique number as it appears in the MeterPAN. It is shared between the POS and the payment meter	6.1.2.3
TCT	TokenCarrierType The type of medium that is used onto which the token is encoded for transfer to the payment meter	6.1.3
SGC	SupplyGroupCode Unique number allocated by the KMC to identify a SupplyGroup of the Utility. It is shared between the SupplyGroup, the KMC and the POS. It is associated with the VendingKey value and recorded in the KMC and also in the CM. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.6
TI	TariffIndex The index number to a register of tariffs associated with a particular Tariff for each customer. It is shared between the Tariff and the POS. Encoding it into the DecoderKey enforces the association with the payment meter. This means that the DecoderKey must shall change if the customer is moved onto a different tariff structure	6.1.7
KRN	KeyRevisionNumber Revision of the VendingKey as allocated by the KMC. It is associated with the VendingKey value at the KMC and at the CM. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.8
KT	KeyType The type of the VendingKey as allocated by the KMC. It is associated with the VendingKey value at the KMC and at the CM. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.9

Identifier	Context	Reference
KEN	<p data-bbox="357 277 552 304">KeyExpiryNumber</p> <p data-bbox="357 318 1232 416">A number that is associated with a validity period for the VendingKey. It is associated with the VendingKey value at the KMC and at the CM. It is not encoded in the DecoderKey, but is transferred to the DecoderKeyRegister by means of the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens</p>	6.1.10

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Annex C (informative)

Code of practice for the implementation of STS-compliant systems

C.1 Maintenance and support services provided by the STS Association

The STS Association is a not-for-gain company incorporated in South Africa with members comprising of manufacturers of payment meters and associated vending systems and of utilities. The object of the STS Association is to promote the use of the STS, develop the functionality further and maintain the required infrastructure to provide supporting services like key management, product certification and standardisation to users of the STS.

See also Clause 10 for more details on the maintenance of STS entities and related services.

The General Secretary can be contacted at the following address given in the introduction to this standard. E-mail is the preferred mechanism for correspondence with the Association.

C.2 Key management

C.2.1 Key management services

(See also Annex A.)

The STS Association operates a KMC and provides key management services to utilities and STS-compliant product manufacturers worldwide in accordance with this standard.

C.2.2 SupplyGroupCode and VendingKey distribution

C.2.2.1 Data elements associated with a SGC

(See also 6.1.6).

The KMC ensures unique allocation of SGC values in accordance with this standard.

The KMC generates, stores and distributes VDDK, VUDK and VCDK values with the associated KRN, KT and KEN in accordance with this standard.

The KMC ensures that VendingKey values are available to all manufacturers of STS-certified products in accordance with this standard.

In order to effectively manage the generation, storage and distribution of SGC and associated VendingKey values, it is recommended that the data elements given in Table C.1 be recorded and be uniquely associated with an SGC.

Table C.1 – Data elements associated with a SGC

Element	Context	Reference
SGC	Actual value of the SupplyGroupCode as registered in the KMC	6.1.6
Country	CountryCode as the country where the SGC and VendingKey is to be used	Annex B
Location	Place associated with the SupplyGroup demarcation (Country, State, Province, City, Town, Suburb)	x
Network	Network associated with the SupplyGroup demarcation (name, ID)	x
Owner	To whom this SGC is allocated: UtilityCode (if applicable) Name of Organization (utility) Address (postal, physical, website) Contact person and details (name, postal, email, tel, fax) Authorization signatory (name, contact details)	x
OwnerHistory	Record of changes to ownership association of the SGC over time	X
LocationHistory	Record of changes to location association of the SGC over time	X
NetworkHistory	Record of changes to network association of the SGC over time	X
KMC	KMCID and country of origin of the KMC as the source of the SGC and VendingKey	Clause 9 Annex A
VendingKey	VendingKey plus attributes (KRN, KT, KEN). These values are in encrypted format	6.5.2 6.1.8 6.1.9 6.1.10
SGCDistribution Register	Register of SGC v/s CM ID (i.e. to which cryptographic modules a particular SGC has been distributed over time)	x

C.2.2.2 SupplyGroupCode demarcation guidelines

This topic is dealt with comprehensively in the STS Association Code of practice (see Bibliography). For the sake of providing some indicators here, some factors to be taken into consideration are given below.

Factors to consider in deciding the SGC demarcations:

- security risk in terms of compromising a VendingKey;
- security risk in terms of stolen POS devices;
- logistics for payment meter spares;
- control of POS vending agents in authorizing them to vend to the group;
- logistics for separating collected revenue from POS vending agents;
- particular business logic around distribution network maintenance and supply logistics,
- cross-vending rules on SGC boundaries;
- change of payment meter ownership over time (deregulated markets),
- change of supplier over time (deregulated markets).

C.2.3 CryptographicModule distribution

(See also Annex A.)

In order to effectively manage the distribution of SGC and VendingKey values to cryptographic modules, it is recommended that the data elements given in Table C.2 be recorded.

Table C.2 – Data elements associated with the CryptographicModule

Element	Context	Reference
CM	Attributes of the CryptographicModule (CMID, CMType, HardwareVersion, Softwareversion, KEK, FAC, DAC).	Annex A Annex B
CMManufacturer	Name and contact details of organization	Annex A
CMOwner	To whom this CM belongs: UtilityCode (if applicable) Name of Organization (utility) Address (postal, physical, website) Contact person and details (name, postal, email, tel, fax) Responsible person (name, contact details)	Annex A
CMLocation	Details of intended destination of CM where it is going to be used (country, state, province, city, town, suburb)	x
KMC	KMCID and country of origin which initialised the particular CM	Clause 9 Annex A
CMOwnerHistory	Historical register of ownership changes to cryptographic modules over time	x
CMLocationHistory	Historical register of location changes to cryptographic modules over time	x

C.2.4 Key expiry

(See also 6.1.10, 6.5.2.6, 7.3.1.1).

In the case where key expiry for VendingKeys is not dynamically implemented in an STS-compliant installation, then it is the recommended practice to set the KEN to 255.

At the date of publication of this standard the key expiry option for DecoderKeys in payment meters had not been implemented in any STS-compliant installation.

C.3 MeterPAN

C.3.1 General practice

(See also 6.1.2).

The MeterPAN serves to uniquely identify each payment meter in the STS-compliant installation worldwide, thus being able to tag and route transactions accordingly. All users of the STS are thus encouraged to follow this practice, which is in line with that of the banking and financial transaction management (see also ISO 4909).

C.3.2 IssuerIdentificationNumbers

As clarified in 6.1.2.2, the IIN for ~~electricity payment meters worldwide~~ 2-digit Manufacturer Codes shall be 600727. For 4-digit Manufacturer Codes the IIN shall be 0000.

~~In the case where the STS is implemented in systems for use in other industries and where it may well be appropriate to re-introduce the original notions of the IIN being allocated by, and registered with, the ISO, the following will give the reader a rough idea of the contextual requirements.~~

- ~~• A supplier of services may apply to ISO or its Registration Management Group for a unique 6-digit number (IIN), so designating the supplier as an issuer of further identification numbers (see ISO/IEC 7812-1 and ISO/IEC 7812-2) for use within this registered domain.~~
- ~~• Previously this number was termed ISO BIN, which is now replaced by the IIN serving the same function and having the same specification (see ISO/IEC 7812-1).~~
- ~~• The IIN is a 6-digit number that comprises two parts: MajorIndustryIdentifier (MII) number (1 digit) plus Issuer Identifier (5 digits).~~
- ~~• The IIN is allocated by ISO to uniquely identify the category of business transaction in a country.~~

~~The IIN should be communicated to the KMC and to the MeterManufacturer when ordering products and when making application for SGC values and VendingKeys so that the correct associations can be made from the start and also that DecoderKey values are generated with the correct IIN value from the start, as it is almost impossible to make any corrections afterwards, particularly once payment meters have had their keys loaded and have left the manufacturer's premises.~~

C.3.3 ManufacturerCodes

(See also 6.1.2.3.2.)

MfrCode values are allocated and managed by the STS Association to ensure uniqueness of the series globally, thus ensuring uniqueness of the MeterPAN globally. **Note that both 2-digit and 4-digit Manufacturer Codes may exist.**

The current list of MfrCode values can be viewed on the STS web site or obtained from the STS Association via any of the contact routes listed above.

C.3.4 DecoderSerialNumbers

(See also 6.1.2.3.3.)

Each MeterManufacturer manages his 8-digit range of numbers as he sees fit, as long as it complies with the requirements of this standard.

C.4 SpecialReservedTokenIdentifier

(See also 6.3.5.2).

Each utility is free to determine the rules for how this SpecialReservedTokenIdentifier is to be used as a special application to satisfy his special needs.

An example of using this SpecialReservedTokenIdentifier in a special application is as follows: Each household in an installation may collect a government grant in the form of a free token to the value of 50 kWh per month. Such a token may be collected on any day of the month and as many times as is desired, but the payment meter should only accept the first token of such a type in each month. A solution to this problem is to rule that the SpecialReservedTokenIdentifier is to be used for this token type in this particular installation. Such a token may then be generated at any time during the month, because it will always use the 1st day 00h01 time stamp and the payment meter will only accept the first token so generated and reject any subsequent copies as "Used".

C.5 Permutation and substitution tables for the STA

The STS Association is registered with the IEC as a Registration Authority to provide maintenance services in support of the IEC 62055-4x and 62055-5x series of standards. As part of this service, the STS Association provides the actual values for the permutation and substitution tables (Table 33, Table 34, Table 40 and Table 41) required in 6.5.4.2, 6.5.4.3, 7.3.3.2 and 7.3.3.3 to users of the standard upon request. The contact details for the STS Association are given in Clause C.1 or may be obtained from the IEC website.

C.6 EA codes

(See also 6.1.5).

As this standard evolves there will be more EA codes required. This should take place through the normal route via National Committees to the IEC TC 13 as New Work Item Proposals.

C.7 TokenCarrierType codes

(See also 6.1.3).

As this standard evolves there will be more TCT values required. This should take place through the normal route via National Committees to the IEC TC 13 as New Work Item Proposals.

C.8 MeterFunctionObject instances / companion specifications

A MeterFunctionObject (MFO) is an object-oriented specification that encapsulates a certain functionality of a payment meter. Each MFO is defined in a companion specification and allocated a unique FunctionObjectIdentificationNumber (FOIN).

The STS Association administers the registration of MFO instances and reserves the exclusive rights to allocate FOIN values in the form of companion specifications.

An MFO instance is proposed to the STS Association as a NWIP, after which it is assigned a unique FOIN. The STS Association then publishes the MFO in the form of a companion specification.

See also ~~STS 31-001~~ STS 200-1 (see Bibliography) for more information on function object classes and ~~STS 15-001~~ STS 201-15.1.0 (see Bibliography) for an example of a companion specification.

C.9 TariffIndex

(See also 6.1.7).

The utility has the choice of 2 options:

- link the TI to his list of tariff structures and thus link each customer to a TI. This means the DecoderKey ~~must~~ shall change if the customer is changed from one tariff structure to another, because the associated TI will change;

- fix the TI to a constant value of say = 01 for the life time duration of the payment meter installation and then link each customer to the list of tariff structures in the management system, independent from the TI. This means that the DecoderKey does not have to change when moving a customer from one tariff structure to another.

At the date of publication of this standard, most utilities preferred to follow option 2. The main consideration is that it is a major logistical operation to do a key change to a payment meter that is already installed, so this tends to be avoided where possible.

C.10 STS-compliance certification

C.10.1 IEC certification services

The IEC does not provide certification services for products as such and is thus reliant on outside facilities to do this.

C.10.2 Products

The STS Association provides the service to manufacturers of products to facilitate the testing and will provide STS-certification on the basis of the test results.

C.10.3 Certification authority

In due course the STS Association will be in a position to authorize agents that may provide STS-certification services on its behalf.

C.11 Procurement options for users of STS-compliant systems

This standard provides for a variety of options, the details of which need to be specified at the time when products and systems are purchased from manufacturers and suppliers.

As a general guide to purchase orders or tender specifications, the items given in Table C.3 are noted.

Table C.3 – Items that should be noted in purchase orders and tenders

Item	Context	Reference
HN	<p>Which IssuerIdentificationNumber is to be used by the MeterManufacturer and the vending system</p> <p>Options:</p> <ul style="list-style-type: none"> • 600727 for electricity STS-compliant systems; optionally also for other utilities • xxxxxx for other utilities 	6.1.2.2
EA	<p>Which algorithm is be used for token encryption in the vending system and for decryption in payment meter.</p> <p>Options:</p> <ul style="list-style-type: none"> • STA code 07; • DEA code 09. <p>The purchaser should ensure that the tender specification for the payment meters requires that the payment meter labelling shall include the appropriate EA code</p>	6.1.5

Item	Context	Reference
TCT	Which TokenCarrierType the payment meter or the vending system should support. Options: <ul style="list-style-type: none"> • magnetic card type 01; • numeric type 02 	6.1.3
DKGA	Which algorithm the MeterManufacturer or the vending system should use for generating the DecoderKey; Options: <ul style="list-style-type: none"> • DEA (DKGA01); only for vending systems serving legacy payment meters; • DEA (DKGA02); current systems; • TDEA (DKGA03); future systems 	6.1.4
CC	Which destination CountryCode the SGC is to be associated with at the KMC. Options: <ul style="list-style-type: none"> • one of the standard set of ISO Country Codes 	Annex B
UC	Which UtilityCode the SGC is to be associated with at the KMC. Options: <ul style="list-style-type: none"> • existing UC as allocated by KMC; • new UC as allocated by KMC 	Annex B
KMCID	Which KMC is to be used for obtaining the VendingKey and the SGC. The MeterManufacturer and the vending system need the specific VendingKey to generate DecoderKeys. Options: <ul style="list-style-type: none"> • 001; South African KMC currently in operation; • xxx; future possible KMC of choice or relevance 	Annex B
SGC	Which SGC should the MeterManufacturer or the vending system use for generating the DecoderKeys? Options: <ul style="list-style-type: none"> • xxxxx existing SGC; obtained from KMC; • new SGC; for new projects, apply to KMC. Which KT is, or should be, associated with this SGC? Options: <ul style="list-style-type: none"> • default; MeterManufacturer key; • unique; utility key; • common; utility key 	6.1.6

Item	Context	Reference
TI	<p>Which TariffIndex is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>Options:</p> <ul style="list-style-type: none"> • 00-99; (new); • 00-99; (existing); • link TI to the tariff table in the vending system; (NOTE 1); • don't link TI to the tariff table in the vending system. (NOTE 2). <p>NOTE 1 When the TI is linked to the tariff table in the vending system database then the consumer may be moved to a different tariff structure only by allocation of another associated TI. This means that that the DecoderKey needs to be changed accordingly.</p> <p>NOTE 2 When the TI is not linked to the tariff table in the vending system database then the consumer may be moved to a different tariff structure without being allocated to another associated TI. This means that that the DecoderKey does not need to be changed</p>	6.1.7
KRN	<p>Which KeyRevisionNumber is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>This information is associated with the SGC VendingKey and is under the control of the KMC from where it should be obtained</p>	6.1.8
KT	<p>Which KT is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>This information is associated with the SGC VendingKey and is under the control of the KMC from where it should be obtained</p>	6.1.9
KEN	<p>Which KeyExpiryNumber is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>This information is associated with the SGC VendingKey and is under the control of the KMC from where it should be obtained</p>	6.1.10
DecoderKey expiry	<p>Whether the DecoderKeys should expire or not.</p> <p>Options:</p> <ul style="list-style-type: none"> • shall not expire (this is the current recommended practice); • shall expire (this implies periodic DecoderKey changes) 	6.1.10
VendingKey expiry	<p>Whether the VendingKeys should expire or not.</p> <p>Options:</p> <ul style="list-style-type: none"> • shall not expire (this is the current recommended practice); • shall expire (this is not currently supported) 	6.1.10
Meter dispatching key	<p>Which DecoderKey type the MeterManufacturer should load into the payment meter.</p> <p>Options:</p> <ul style="list-style-type: none"> • DDTK (manufacturer Default key); • DUTK (utility Unique key); • DCTK (utility Common key) 	6.1.6

Item	Context	Reference
Tokens	<p>Which tokens the payment meter or vending system should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • TransferCredit; • InitiateMeterTest/Display; • SetMaximumPowerLimit; (optional) • ClearCredit; • SetTariffRate; (currency-based accounting payment meters only) • Set1stSectionDecoderKey; • Set2ndSectionDecoderKey; • ClearTamperCondition; (optional) • SetMaximumPhasePowerUnbalanceLimit; (optional for poly phase) • SetWaterMeterFactor. (water payment meters only) 	6.2.1
Vending classification	<p>Which functions the vending systems should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • vending; (vending of credit tokens) (signified by "V"); • engineering; (vending of management tokens) (signified by "E"); • key change. (vending of key change tokens) (signified by "K"). <p>An STS-compliant vending system may provide any combination of one or all of the options listed. If approved by the STS Association, then the corresponding letters may be displayed on the STS logo</p>	x
Credit transfer	<p>Which types of TransferCredit tokens the payment meters or vending system should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • electricity; • water; • gas; • time; • currency 	6.2.2
Test/display options	<p>Which types of test and display tokens the payment meters or vending system should support.</p> <p>Options:</p> <p>A list of mandatory and optional tokens are given in 6.3.8</p>	6.3.8
Power limit	<p>Whether the payment meters should provide power limiting and whether the vending system should provide the relevant tokens.</p> <p>Options:</p> <ul style="list-style-type: none"> • power limit should be implemented or not; • the power limit setting; • how the payment meter should react when the power limit is reached 	6.2.4 6.3.9 8.6
Tariff rate	<p>What the tariff rate values are for the payment meters registered in the vending system database and whether the vending system should support the relevant tokens.</p> <p>Options:</p> <ul style="list-style-type: none"> • preset by manufacturer; • variable and set with token from vending system; • tariff rate per payment meter 	6.2.6 6.3.11

Item	Context	Reference
Tamper detection	Whether the payment meters should provide tamper detection and the vending system should support the relevant tokens. Options: <ul style="list-style-type: none"> • tamper detection should be implemented; • tamper detection should not be implemented; • payment meter should support display tamper status token; • vending system should support display tamper status token. NOTE 3 Clear tamper token support is mandatory with option 1	6.2.9
Phase power unbalance	Whether the payment meters should provide phase power unbalance limiting and the vending system should provide the relevant tokens. Options: <ul style="list-style-type: none"> • phase power unbalance limiting should be implemented; • phase power unbalance limiting should not be implemented; • preset by manufacturer; • variable and set with token from vending system; • the phase power unbalance limit value; • how the payment meter should react when the phase power unbalance limit is reached 	6.2.10 6.3.10 8.12
Initial credit	What the initial value of the credit register of the payment meters should be when it leaves the manufacturer's premises. Options: <ul style="list-style-type: none"> • cleared to zero; • preset to initial value; • the initial value 	x
Special reserved TID	Whether the vending system should implement any special reserved token identifiers. Options: <ul style="list-style-type: none"> • special reserved token identifiers should not implemented; • special reserved token identifiers should be implemented; • specified details of special reserved token identifiers 	6.3.5.2
STS Certificate of Compliance	The STS-compliant product supplier shall provide a copy of the particular product's STS certificate of compliance as issued by the relevant Certification Authority	C.10

C.12 Management of TID Rollover

C.12.1 Introduction

The Token Identifier is a 24 bit field, contained in STS compliant tokens, that identifies the date and time of the token generation. It is used to determine if a token has already been used in a payment meter. The TID represents the minutes elapsed since the 1st January 1993. The incrementing of the 24 bit field means that at some point in time, the TID value will roll over to a zero value.

All STS prepayment meters will be affected by TID roll over on the 24/11/2024. Any tokens generated after this date and utilizing the 24 bit TID will be rejected by the meters as being old tokens as the TID value embedded in the token will have reset back to 0.

In order to overcome this problem all meters will require key change tokens with the roll over bit set. In addition to this, the base date of 01/01/1993 will be required to be changed to a later date. This process will force the meters to reset the TID stack to 0. To avoid previously played tokens from being accepted by the meter due to the TID stack reset, the key change process shall introduce into the meter, a new decoder key.

A process is therefore required to allow for the management of this change with the least impact to the Utilities and equipment suppliers.

To allow for easier management of large installed bases it is proposed that the following solution manages the change per meter and not per supply group code (SGC) as some Utilities may have a large installed base under a single SGC.

C.12.2 Overview

C.12.2.1 General

Users responsible for the management of payment meters shall ensure adherence to this procedure by all parties involved.

The current problem is that the TID is generated using a base date of 01/01/1993. The 24 bit value will reach a roll over point in 24/11/2024. In order to manage this, a new base date will need to be created for which all tokens generated will restart with a TID value of 0. Although this, in effect, shifts the problem, more than one base date in staggered intervals can be used.

This Code of Practice defines a process for managing vending keys and decoder keys based on different base dates. The following elements, shown in Figure C.1, have been included:

- Key management centre;
- Security modules;
- Vending systems;
- Meter upload files;
- Meter manufacturing equipment;
- Meters.

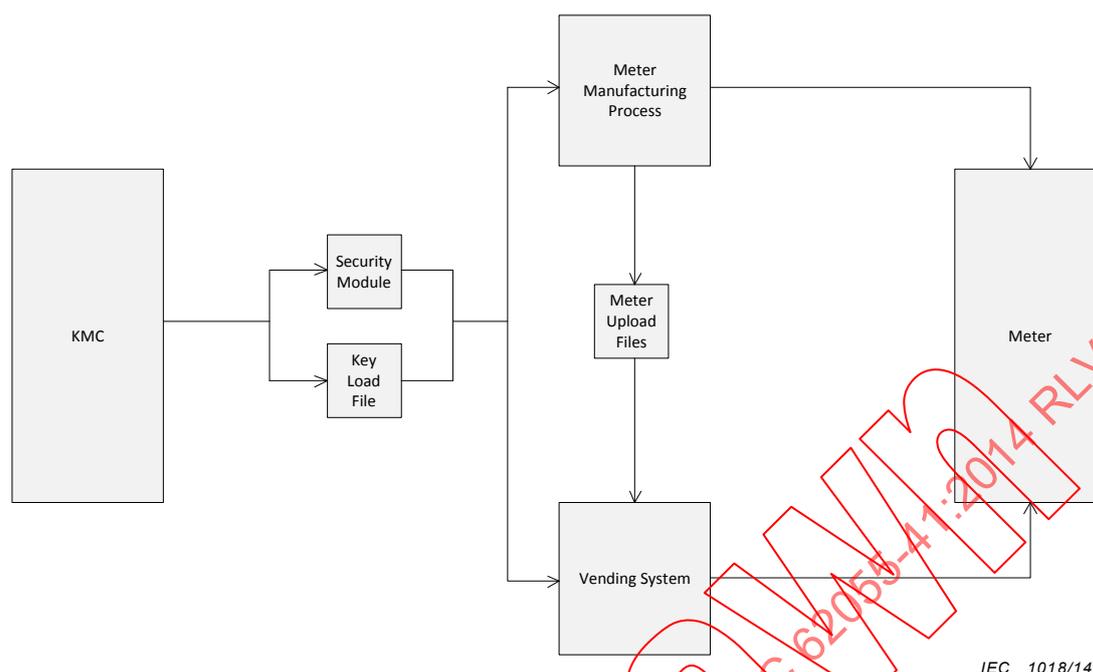


Figure C.1 – System overview

C.12.2.2 Key management centre (KMC)

The KMC is used to generate and load vending keys (Vk) into a security module. The KMC also generates a Key Load File (KLF) which contains the key load data for a specific security module to allow a vending system to load Vk into the security module attached to the system. Currently the Key Revision Number (KRN) for any Vk is 1.

In order to manage the generation of tokens for a specific base date, the vending system requires the KMC to create a new Vk for each base date interval. The new Vk will be created by incrementing the KRN. Associated with each Vk in the KLF will be the selected base date. Two base dates are supported, namely 01/01/2014 and 01/01/2035. Only two are required as it is not envisaged that current technology STS meters will still be in operation by the time the 2035 Vk TID rolls over in 2066.

C.12.2.3 Security Module

The security module will be required to generate key change tokens from a Vk on one base date to a Vk on a new base date. The firmware of the SM will be changed to allow implementation of the rollover functionality.

C.12.2.4 Vending System

The vending system will be required to manage, with each Vk loaded into a security module, an associated base date. This base date will be retrieved from the key load file generated at the KMC. In addition the vending system shall associate each meter registered, with the Vk base date from which it was coded.

Once a new Vk is made available, the vending system shall allow for the management of the change process whereby a meter or group of meters can be scheduled for key roll over. In doing so, the affected meters will undergo a key change with roll over thus resetting the meter TID stack and generating a new decoder key based on the new Vk. From this point forward all tokens generated for the meter(s) will be encrypted using the new Vk with a TID value calculated from the corresponding base date.

With this process, meters can be scheduled for key change based on the requirements of the Utility. At any one point in time there will be two active vending keys for each SGC as not all meters will be key changed to the new Vk at the same time.

C.12.2.5 Meter upload files

New meters received from the manufacturers can be loaded into the vending system using a meter upload file import process. These meters will be coded by the manufacturers using a Vk with the latest base date and therefore each meter record in the meter upload file will be required to include the base date for which it was coded.

C.12.2.6 Manufacturers equipment

All meters leaving the factory shall be coded using a Vk with the current (latest) base date unless otherwise agreed between the utility and the manufacturer. With the two base dates chosen, namely 2014 and 2035, all meters coded before 2014 will be coded using the base date of 1993. All meters coded between 2014 and 2035 shall be coded with the base date of 2014 and all meters coded after 2035 will utilize a base date of 2035, unless otherwise agreed between the utility and the manufacturer.

C.12.2.7 Meters

All STS compliant meters shall support key roll over.

C.12.3 Impact analysis

C.12.3.1 General

The following areas which will be affected by the above process are listed below:

C.12.3.2 Key management centre

- Need to include a base date in the key load file for each Vk;
- Support the selection of predefined base dates when generating Vk;
- Security Modules shall support the key roll over flag.

C.12.3.3 Vending systems

- Associate each Vk for a SGC with a base date as derived from the key load file generated by the KMC;
- Associate each meter with a base date for which the meter is coded. This shall include the extraction of the base date from the Meter Upload File import;
- Allow meters associated with a previous base date to be scheduled individually, in groups or by SGC for a key change to Vk on a new base date and include the key roll over flag.

C.12.3.4 Meter upload files

- Manufacturers to include a base date with each meter record in the file;
- Meter Upload File specifications will need to be updated to reflect the addition of the base date.

C.12.3.5 Manufacturing equipment

- Shall automatically code all meters using the Vk with the latest active base date as agreed with the utility;
- Meters;
- Shall support key roll over.

C.12.4 Base dates

See 6.3.5 above.

C.12.5 Implementation

C.12.5.1 General

Implementation details for manufacturers of meters and vending systems have been outlined in the document body. The sections that follow give basic guidelines for Utilities to follow in the successful implementation of the TID key-change program. Note that Utilities may elect to follow alternative methods of implementation.

C.12.5.2 Assumptions

Prior to starting the implementation of the key-changes in the field, the following are assumed to have been completed by manufacturers of meters, vending systems, and security modules:

- a) Secure module firmware has been changed to support the rollover functionality.
- b) Vending software suppliers have modified the vending software to recognise the base dates as described in this standard. Once a meter has been key-changed with rollover, this fact shall then be recorded into the vending database.
- c) All manufactured meters support the rollover functionality as specified in IEC 62055-41. Where this is not the case, the meters will have to be changed out with meters that do support the rollover functionality. It is envisaged that the current installed base will no longer be in service by the time key rollover is required, and that all meters manufactured after the first base date change of 2014, will support the rollover functionality.

C.12.5.3 Process for utilities

A guideline to the process to follow is presented below:

- a) Plan the TID rollover program so as to complete the installed base of meters at least 1 year before the critical date of 24/11/2024.
- b) Communicate the plan, and reasons for the program, to all regions within the utility.
- c) Upgrade all vending installations to software that supports the rollover functionality and relevant database changes.
- d) Upgrade utility software to ensure that it supports new Meter Upload file formats, where these are used as an import tool.
- e) Upgrade/purchase secure modules with rollover functionality through the secure module supplier.
- f) Upgrade KMC software, where this is owned by a utility, to cater for multiple base dates.
- g) Contact the manufacturer of your meters to confirm whether their meters support key-change with rollover. If not, these meters will have to be replaced in the field with meters that do.
- h) Start the key-change process.

C.12.5.4 Key-change process

Various options exist for the physical key-change process:

- a) Generate key-change tokens (two tokens) for a region and send out technicians to the field to systematically insert these tokens into each meter visited.
- b) Generate (automatically) the key-change tokens when a credit purchase is made by the user. Explain to the user that the credit token will not function unless the key-change tokens have been entered into the meter first. This is typically the standard practice for key-changes already.

- c) Communicate the program to the end users and ask them to come in to fetch their key-change tokens by certain deadlines.

All the above options have advantages and disadvantages.

Option a) ensures that the key-changes are done systematically by area, which can then be 'ticked' off as completed. This is controllable but expensive in manpower.

Option b) is far less expensive, but does not allow for regions or areas to be done in a controlled fashion since one cannot be sure that tokens have been entered until a new purchase is made. This option also opens the possibility that many complaints will be received regarding non-functional credit tokens if these tokens are entered without the key-change tokens being entered first.

Option c) is the least desirable since communication of the issue goes right to the end user and may cause unnecessary concerns.

C.12.5.5 Communication of the program

Below is a guideline showing the possible form that the communication to the Utilities regional offices could take. Note that this is a guideline only and may be changed to suit individual utility preferences as required.

Appropriate addresses and headings

Subject: Field meter key-change program

As you may be aware, all prepayment meters store tokens entered as a means to stop a meter from accepting a token that has already been used. In addition to this storage, each token also has, embedded into the 20 digits, the date and time that the token was generated. The meter then compares this date and time to the oldest token in its memory, and rejects the token if it is older than the oldest token in this memory.

The token date and time field has a maximum range of 31 years. This means that after 31 years of incrementing this date and time field, the value stored will 'roll over' back to zero – much like an odometer in a car going 'round the clock'.

The current tokens will 'roll over' in November 2024 to the current starting date of 1993. At this time, the date and time on the tokens will revert back to its zero date (1993), at which point the meters will no longer accept tokens generated with this base date.

While the date of 2024 may seem like a long time into the future, we need to start making plans to change this base date of 1993 to a later base date. To this end, manufacturers have been made aware that changes will have to be made to the meters, Secure Modules, vending systems and Key Management Centres to accommodate this change.

The change consists of changing the key in each meter in the field, which can be done by issuing a set of key-change tokens to the user, or implementing a program whereby each meter is visited by technical staff to enter these tokens.

In order to reduce the number of meters that will have to be visited, or key-changed, in the field, manufacturers will be instructed that all meters made from 2014 onwards, shall be coded the new base date of 2014. This means that the actual number of meters with a base date of 1993 should be dramatically reduced by the time 2024 is upon us, and not many meters will require key-changes.

With the systems currently envisaged by the STS Association, this process should never have to be repeated since the base date of the meters will change every 21 years.

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NOTE STS documents are available from the STS Association world wide web www.sts.org.za

IECNORM.COM: Click to view the full PDF of IEC 62053-41:2014 RLV

Withdrawn

INTERNATIONAL STANDARD

NORME INTERNATIONALE

**Electricity metering – Payment systems –
Part 41: Standard transfer specification (STS) – Application layer protocol for
one-way token carrier systems**

**Comptage de l'électricité – Systèmes de paiement –
Partie 41: Spécification de transfert normalisé (STS) – Protocole de couche
application pour les systèmes de supports de jeton unidirectionnel**

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

ELECTRICITY METERING – PAYMENT SYSTEMS –**Part 41: Standard transfer specification (STS) –
Application layer protocol for one-way token carrier systems**

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.

International Standard IEC 62055-41 has been prepared by IEC technical committee 13: Electrical energy measurement and control.

This second edition cancels and replaces the first edition issued in 2007. It constitutes a technical revision. The main technical changes with regard to the previous edition are as follows:

- Class 2 token is extended to include credit transfer for gas and water with associated extensions in the display/test tokens.
- MfrCode is extended from 2 to 4 digits.
- Three token identifier base dates are defined to provide for more frequent key changes with TID roll-over procedures.
- A code of practice for the management of TID roll-over key changes in association with the revised set of base dates.
- Some clarifications and additional examples have been added.

The text of this standard is based on the following documents:

CDV	Report on voting
13/1530/CDV	13/1553/RVC

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

A list of all parts in the IEC 62055 series, published under the general title *Electricity metering – Payment systems*, can be found on the IEC website.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

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Withdrawn

INTRODUCTION

The IEC 62055 series covers payment systems, encompassing the customer information systems, point of sale systems, token carriers, payment meters and the respective interfaces that exist between these entities. At the time of preparation of this standard, IEC 62055 comprised the following parts, under the general title, *Electricity metering – Payment systems*:

Part 21: Framework for standardization

Part 31: Particular requirements – Static payment meters for active energy (classes 1 and 2)

Part 41: Standard transfer specification – Application layer protocol for one-way token carrier systems

Part 51: Standard transfer specification – Physical layer protocol for one-way numeric and magnetic card token carriers

Part 52: Standard transfer specification – Physical layer protocol for a two-way virtual token carrier for direct local connection

Part 4x series specify application layer protocols and Part 5x series specify physical layer protocols.

The standard transfer specification (STS) is a secure message protocol that allows information to be carried between point of sale (POS) equipment and payment meters and it caters for several message types such as credit, configuration control, display and test instructions. It further specifies devices and codes of practice that allow for the secure management (generation, storage, retrieval and transportation) of cryptographic keys used within the system.

The token carrier, which is not specified in this part of IEC 62055, is the physical device or medium used to transport the information from the POS equipment to the payment meter. Three types of token carriers are currently specified in IEC 62055-51 and IEC 62055-52; the magnetic card, the numeric token carrier and a virtual token carrier, which have been approved by the STS Association. New token carriers can be proposed as new work items through the National Committees or through the STS Association.

Although the main implementation of the STS is in the electricity supply industry, it inherently provides for the management of other utility services such as water and gas. It should be noted that certain functionalities may not apply across all utility services, for example, MaximumPowerLimit in the case of a water meter. Similarly, certain terminology may not be appropriate in non-electrical applications, for example, Load Switch in the case of a gas meter. Future revisions of the STS may allow for other token carrier technologies like smart cards and memory keys with two-way functionality and to cater for a real-time clock and complex tariffs in the payment meter.

Not all the requirements specified in this standard are compulsory for implementation in a particular system configuration and as a guideline, a selection of optional configuration parameters are listed in Clause C.11.

The STS Association is registered with the IEC as a Registration Authority for providing maintenance services in support of the STS (see Clause C.1 for more information).

Publication of IEC 62055-41 Ed 1 in May 2007 resulted in its rapid adoption as the preferred global standard for prepayment meters in many IEC member countries and a majority of IEC affiliate member countries. Prepayment electricity meters and their associated Payment Systems are now produced, operated and maintained by an ecosystem of utilities, meter manufacturers, meter operators, vending system providers, vending agents, banking institutions and adjacent industries. Multi-stakeholder interests are served by the STS Association comprising of more than 130 organisations located in over 24 countries. Interoperability and conformance to the Standard Transfer System (STS) are guaranteed by

Conformance test specifications developed and administered by the STS Association. A full list of the STS Association services can be found at <http://www.sts.org.za>.

Developed originally for prepayment electricity meters in Africa – via an IEC TC13 WG15 D-type liaison with the STS Association – this IEC standard now serves more users in Asia than Africa, with a total of approximately 35 million meters operated by 400 utilities in 30 countries. Management of the technology has been administered by the STS Association in fulfilment of its role as the IEC appointed Registration Authority.

Global success has brought about an urgent need to extend the range of the numerical elements contained in IEC 62055-41 tables. In particular, the range of manufacturer numbers need to be extended beyond the 99 numbers originally provided. Also, application of the standard has been extended to cater for multi-energy systems including gas and water meters. Accordingly, there is a need to ensure that the content of IEC 62055-41 is maintained to cater for this market growth and multi-energy extensions.

Several corrections and clarifications are also required to bring Ed 1 up to date with current practice. This was considered by TC13 WG15 at its meeting on the 20 September 2012 in London, where it was agreed that IEC 62055-41 should be revised.

Only the most urgently required revisions have been incorporated in Edition 2 due to timing constraints, but it is anticipated that Edition 3 will consider further revisions to incorporate the following functionalities:

- Currency transfer
- Enhanced security on par with contemporary industry practice
- Complex functions fully harmonized with DLMS/COSEM suite
- Decentralized key management system with distributed architecture
- Conformance certification test suite in conjunction with IEC CB scheme

The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent concerning special reserved token identifier given in 6.3.5.2.

IEC takes no position concerning the evidence, validity and scope of this patent right.

The holder of this patent right has assured the IEC that he/she is willing to negotiate licences either free of charge or under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the holder of this patent right is registered with IEC. Information may be obtained from:

Address: Itron Measurement and Systems, P.O. Box 4059, TygerValley 7536, Republic of South Africa

Tel: +27 21 928 1700

Fax: +27 21 928 1701

Website: <http://www.itron.com>

Address: Conlog (Pty) Ltd, P.O. Box 2332, Durban 4000, Republic of South Africa

Tel: +27 31 2681141

Fax: +27 31 2087790

Website: <http://www.conlog.co.za>

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those identified above. IEC shall not be held responsible for identifying any or all such patent rights.

ISO (www.iso.org/patents) and IEC (<http://patents.iec.ch>) maintain on-line data bases of patents relevant to their standards. Users are encouraged to consult the data bases for the most up to date information concerning patents.

The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this International Standard may involve the use of a maintenance service concerning encryption key management and the stack of protocols on which the present International Standard IEC 62055-41 is based [see Clause C.1.] The IEC takes no position concerning the evidence, validity and scope of this maintenance service.

The provider of the maintenance service has assured the IEC that he is willing to provide services under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the provider of the maintenance service is registered with the IEC. Information may be obtained from:

Address: The STS Association, P.O. Box 868, Ferndale 2160, Republic of South Africa
Tel: +27 11 061 5000
Fax: +27 86 679 4500
Email: sts@vdw.co.za
Website: <http://www.sts.org.za>

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Withdrawn

ELECTRICITY METERING – PAYMENT SYSTEMS –

Part 41: Standard transfer specification (STS) – Application layer protocol for one-way token carrier systems

1 Scope

This part of IEC 62055 specifies the application layer protocol of the STS for transferring units of credit and other management information from a point of sale (POS) system to an STS-compliant payment meter in a one-way token carrier system. It is primarily intended for application with electricity payment meters without a tariff employing energy-based tokens, but may also have application with currency-based token systems and for services other than electricity.

It specifies:

- a POS to token carrier interface structured with an application layer protocol and a physical layer protocol using the OSI model as reference;
- tokens for the application layer protocol to transfer the various messages from the POS to the payment meter;
- security functions and processes in the application layer protocol such as the Standard Transfer Algorithm and the Data Encryption Algorithm, including the generation and distribution of the associated cryptographic keys;
- security functions and processes in the application layer protocol at the payment meter such as decryption algorithms, token authentication, validation and cancellation;
- specific requirements for the meter application process in response to tokens received;
- a scheme for dealing with payment meter functionality in the meter application process and associated companion specifications;
- generic requirements for an STS-compliant key management system;
- guidelines for a key management system;
- entities and identifiers used in an STS system;
- code of practice for the management of TID roll-over key changes in association with the revised set of base dates;
- code of practice and maintenance support services from the STS Association.

It is intended for use by manufacturers of payment meters that have to accept tokens that comply with the STS and also by manufacturers of POS systems that have to produce STS-compliant tokens and is to be read in conjunction with IEC 62055-5x series.

STS-compliant products are required to comply with selective parts of this International Standard only, which is the subject of the purchase contract (see also Clause C.11).

NOTE Although developed for payment systems for electricity, the standard also makes provision for tokens used in other utility services, such as water and gas.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050 (all parts), *International Electrotechnical Vocabulary* (available at <<http://www.electropedia.org>>)

IEC 62051:1999, *Electricity metering – Glossary of terms*

IEC 62055-21:2005, *Electricity metering – Payment systems – Part 21: Framework for standardization*

IEC 62055-31:2005, *Electricity metering – Payment systems – Part 31: Particular requirements – Static payment meters for active energy (classes 1 and 2)*

IEC 62055-51:2007, *Electricity metering – Payment systems – Part 51: Standard transfer specification (STS) – Physical layer protocol for one-way numeric and magnetic card token carriers*

IEC 62055-52:2008, *Electricity metering – Payment systems – Part 52: Standard transfer specification (STS) – Physical layer protocol for a two-way virtual token carrier for direct local connection*

ISO/IEC 7812-1:2006, *Identification cards – Identification of issuers – Part 1: Numbering system*

ISO/IEC 7812-2:2007, *Identification cards – Identification of issuers – Part 2: Application and registration procedures*

ANSI X3.92-1981, *American National Standard Data Encryption Algorithm, American National Standards Institute – Data Encryption Algorithm*

FIPS PUB 46-3:1999, *Federal Information Processing Standards Publication – Data Encryption Standard*

3 Terms, definitions and abbreviations

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60050, IEC 62051, IEC 62055-31 as well as the following apply.

NOTE Where there is a difference between the definitions in this standard and those contained in other referenced IEC standards, then those defined in this standard take precedence.

The term “meter” is used interchangeably with “payment meter”, “prepayment meter” and “decoder”, where the decoder is a sub-part of an electricity payment meter or a multi-part payment meter.

The term “POS” is used synonymously with “CIS”, “MIS” and “HHU” in the sense that tokens may also be generated by, and transferred between these entities and the payment meter.

The term “utility” is used to signify the supplier of the service in a general sense. In the liberalized markets the actual contracting party acting as the “supplier” of the service to the consumer may not be the traditional utility as such, but may be a third service provider party.

3.1.1

companion specification

specification managed by the STS Association, which defines a specific instance of a MeterFunctionObject (see 5.5 and Clause C.8)

3.1.2

decoder

part of the TokenCarrierToMeterInterface of a payment meter that performs the functions of the application layer protocol and, which allows token-based transactions to take place between a POS and the payment meter

3.1.3

meter serial number

number that is associated with the metrological part of the payment meter

Note 1 to entry: In a single-part payment meter the DRN and meter serial number may be synonymous, while in a multi-part payment meter they may be different.

3.1.4

token

subset of data elements, containing an instruction and information that is present in the APDU of the Application Layer of the POSToTokenCarrierInterface, and which is also transferred to the payment meter by means of a token carrier (the converse is also true in the case of a token being sent from the payment meter to the POS)

3.1.5

token carrier

medium that is used in the Physical Layer of the POSToTokenCarrierInterface, onto which a token is modulated or encoded, and which serves to carry a token from the point where it is generated to the remote payment meter, where it is received

3.1.6

one-way token carrier system

payment metering system, which employs token carriers that transfer information in one direction only – from the POS to the payment meter

3.1.7

token-based transaction

processing of any token by the payment meter that has material effect on the amount, value or quality of service to be delivered to the consumer under control of the payment meter (in terms of current practice this means tokens of Class 0 and Class 2)

3.1.8

supported

the ability to perform a defined function

Note 1 to entry: If a supported function is disabled, it remains supported.

3.2 Abbreviations

ANSI	American National Standards Institute
APDU	ApplicationProtocolDataUnit
CA	CertificationAuthority
CC	CountryCode
CIS	Customer Information System
CM	CryptographicModule
CMAC	CryptographicModuleAuthenticationCode
CMID	CryptographicModuleIdentifier
COP	Code of practice
CRC	CyclicRedundancyCode
DAC	DeviceAuthenticationCode

DCTK	DecoderCommonTransferKey
DD	Discretionary Data
DDTK	DecoderDefaultTransferKey
DEA	Data Encryption Algorithm
DES	Data Encryption Standard
DITK	DecoderInitializationTransferKey
DK	DecoderKey
DKGA	DecoderKeyGenerationAlgorithm
DKR	DecoderKeyRegister
DOE	DateOfExpiry
DRN	DecoderReferenceNumber [known as a “meter number” in systems in use prior to the development of this standard]
DSN	DecoderSerialNumber
DUTK	DecoderUniqueTransferKey
EA	EncryptionAlgorithm
ECB	Electronic Code Book
ETX	ASCII End of Text character
FAC	FirmwareAuthenticationCode
FIPS	Federal Information Processing Standards
FOIN	FunctionObjectIdentificationNumber
FS	FieldSeparator
GPRS	General Packet Radio Service
GSM	Global System For Mobile Communications
HHU	HandHeldUnit
IAIN	IndividualAccountIdentificationNumber
ID	Identification; Identifier
IIN	IssuerIdentificationNumber
ISDN	Integrated Services Digital Network
ISO	International Standards Organisation
ISO BIN	Replaced by IIN
KCT	KeyChangeToken
KEK	KeyExchangeKey
KEN	KeyExpiryNumber
KLF	KeyLoadFile
KMC	KeyManagementCentre
KMI	KeyManagementInfrastructure
KMS	KeyManagementSystem
KRN	KeyRevisionNumber
KT	KeyType
LAN	Local Area Network
LRC	LongitudinalRedundancyCheck
MFO	MeterFunctionObject
Mfr	Manufacturer

MII	MajorIndustryIdentifier
MIS	Management Information System
MPL	MaximumPowerLimit
MPPUL	MaximumPhasePowerUnbalanceLimit
NIST	National Institute of Standards and Technology
NKHO	NewKeyHighOrder bits
NKLO	NewKeyLowOrder bits
NWIP	New Work Item Proposal
OSI	Open Systems Interconnection
PAN	PrimaryAccountNumber
PLC	Power Line Carrier
POS	PointOfSale
PRN	Printer
PSTN	Public Switched Telephone Network
RND	RandomNumber
RO	Rollover
SG	SupplyGroup
SGC	SupplyGroupCode
STA	Standard Transfer Algorithm
STS	Standard Transfer Specification
STSA	Standard Transfer Specification Association
STX	ASCII Start of Text character
TCDU	TokenCarrierDataUnit
TCT	TokenCarrierType
TDEA	Triple Data Encryption Algorithm
TI	TariffIndex
TID	TokenIdentifier
UC	UtilityCode
VCDK	VendingCommonDESKey
VDDK	VendingDefaultDESKey
VK	VendingKey
VUDK	VendingUniqueDESKey
WAN	Wide Area Network
XOR	Exclusive Or (logical)

3.3 Notation and terminology

Throughout this standard the following rules are observed regarding the naming of terms:

- entity names, data element names, function names and process names are treated as generic object classes and are given names in terms of phrases in which the words are capitalized and joined without spaces. Examples are: SupplyGroupCode as a data element name, EncryptionAlgorithm07 as a function name and TransferCredit as a process name (see note);
- direct (specific) reference to a named class of object uses the capitalized form, while general (non-specific) reference uses the conventional text i.e. lower case form with spaces. An example of a direct reference is: "The SupplyGroupCode is linked to a group of

meters”, while an example of a general reference is: “A supply group code links to a vending key”;

- other terms use the generally accepted abbreviated forms like PSTN for Public Switched Telephone Network.

NOTE The notation used for naming of objects has been aligned with the so called “camel-notation” used in the common information model (CIM) standards prepared by IEC TC 57, in order to facilitate future harmonization and integration of payment system standards with the CIM standards.

4 Numbering conventions

In this standard, the representation of numbers in binary strings uses the convention that the least significant bit is to the right, and the most significant bit is to the left.

Numbering of bit positions start with bit position 0, which corresponds to the least significant bit of a binary number.

Numbers are generally in decimal format, unless otherwise indicated. Any digit without an indicator signifies decimal format.

Binary digit values range from 0 to 1.

Decimal digit values range from 0 to 9.

Hexadecimal digit values range from 0 to 9, A to F and are indicated by “hex”.

5 Reference model for the standard transfer specification

5.1 Generic payment meter functional reference diagram

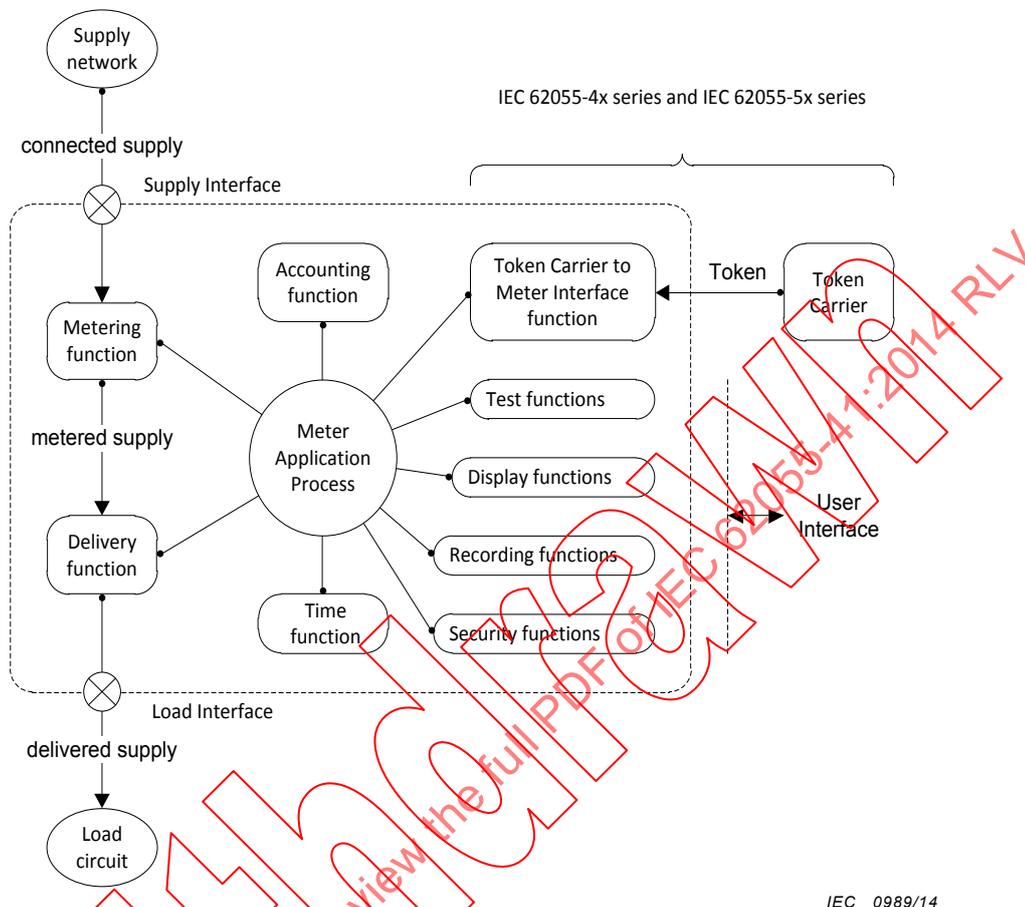


Figure 1 – Functional block diagram of a generic single-part payment meter

The IEC 62055-4x series primarily deals with the application layer protocol and IEC 62055-5x series with the physical layer protocol of the TokenCarrierToMeterInterface. The TokenCarrier is included in the Physical Layer. In this standard the Decoder (see Clause 3) is defined as that part of the payment meter where the Application Layer functions of the TokenCarrierToMeterInterface are located and it is thus allocated a DRN (see 6.1.2.3).

NOTE MeterFunctionObjects are further discussed in 5.5.

In a single-part payment meter all the essential functions are located in a single enclosure as depicted in Figure 1 above, in which case the decoder is integral with the metering function and the DRN could thus optionally be synonymous with the meter serial number.

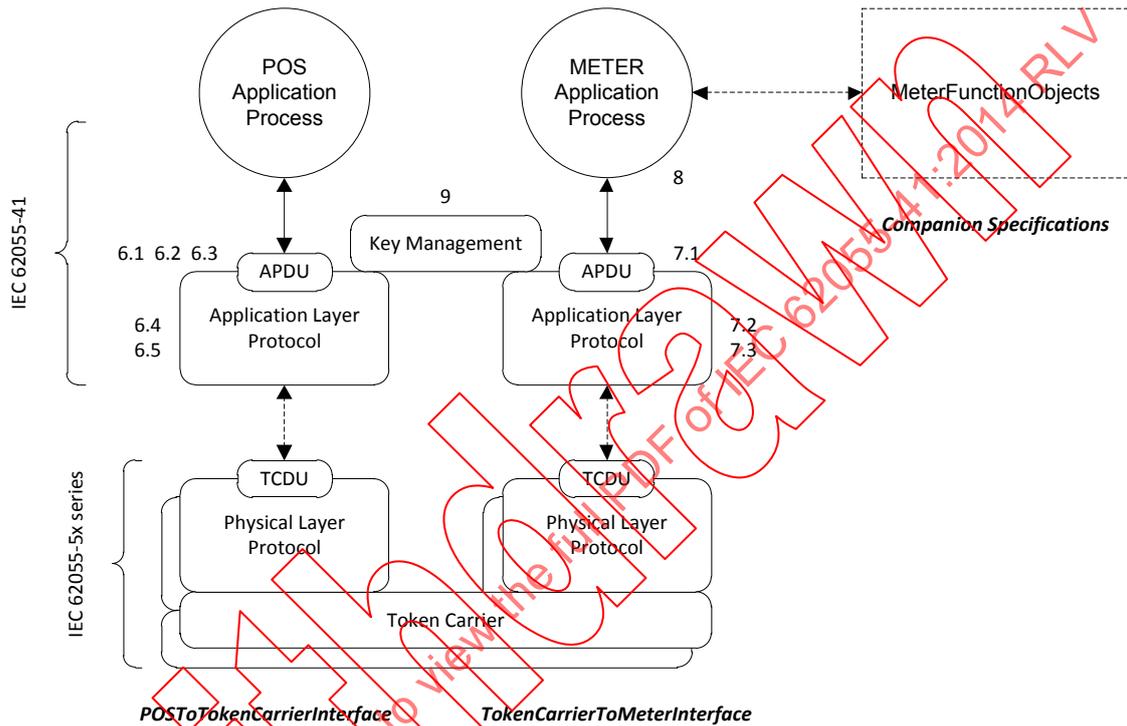
In a multi-part payment meter it is possible for the TokenCarrierToMeterInterface to be located in a separate enclosure from that of the metering function for example, which may well be a standalone meter in its own right and having its own meter serial number. In this case, the DRN would not be the same as the meter serial number, but would be distinctly different and would thus be marked on the enclosure containing the decoder.

In all cases, there shall only be one Application Layer implementation and thus there shall be only one DRN associated with a payment meter, whether it is single or multi-part, even though there may also be more than one Physical Layer implementation in the same payment meter.

It is also possible that the Application Layer functions and the Physical Layer functions are located in separate enclosures, in which case, the marking (see 8.3) of the DRN and the EA code is applied to that part that contains the physical TokenCarrier connection point. This may be a cable or modem connector for a virtual token carrier, a keypad for a numeric token carrier or a magnetic card reader for magnetic card token carrier for example (see also 5.2 for more examples of token carriers).

For a more complete description of payment meter function classes see IEC 62055-21.

5.2 STS protocol reference model



IEC 0990/14

Key

- APDU Application Protocol Data Unit; data interface to the application layer protocol
- TCDU Token Carrier Data Unit; data interface to the physical layer protocol
- Relevant clause number references in this standard are indicated adjacent to each box.

Figure 2 – STS modelled as a 2-layer collapsed OSI protocol stack

The STS is a secure data transfer protocol between a POS and a payment meter using a token carrier as the transfer medium. The application layer protocol deals with tokens and encryption processes and functions, while the physical layer protocol deals with the actual encoding of token data onto a token carrier (see Figure 2).

Examples of physically transportable token carrier devices are: numeric, magnetic cards, memory cards and memory keys. Examples of virtual token carriers are: PSTN modem, ISDN modem, GSM modem, GPRS modem, Radio modem, PLC modem, Infra-red, LAN and WAN connections and direct local connection. These are defined in the IEC 62055-5x series.

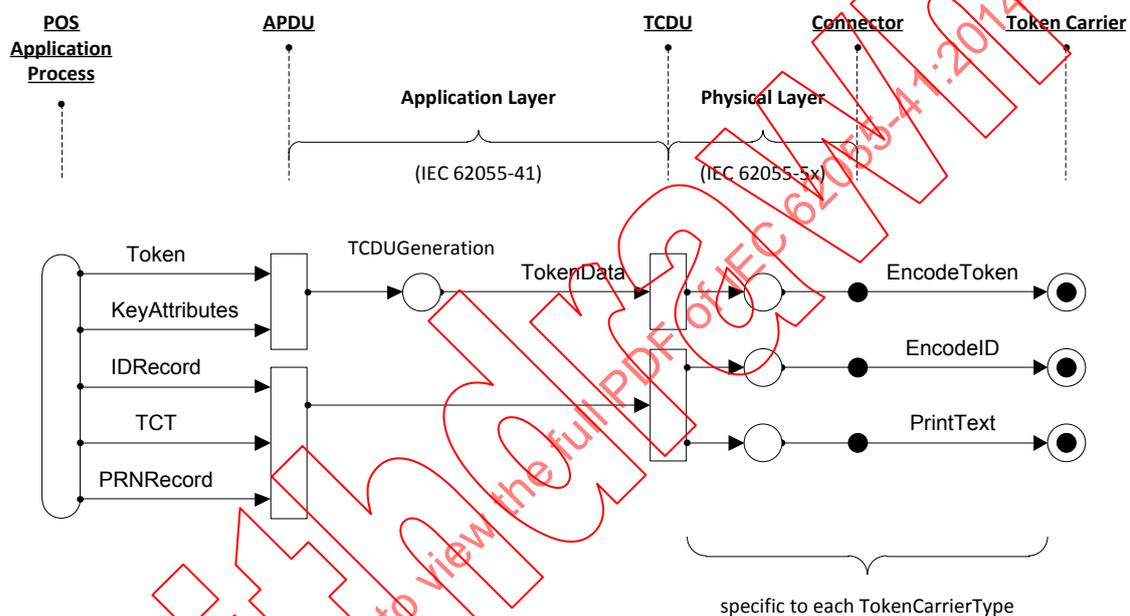
It shall be noted that although the model primarily depicts a POS to token carrier to payment meter protocol, the same protocol is equally applicable to any other device that requires communicating with the payment meter, for example CIS, MIS or portable HHU.

Although a collapsed 2-layered OSI architecture is followed in this standard, it does not preclude future expansion to include more layers should the need arise or for the implementer to interpose additional layers between the two shown in this model.

The APDU is the data interface to the application layer protocol, specified in IEC 62055-41 and the TCDU is the data interface to the physical layer protocol, specified in the IEC 62055-5x series.

The STS in this standard defines a one-way data transfer protocol (i.e. from POS to payment meter), although the reference model allows equally for a two-way transfer protocol, which may be a requirement in a future revision of this standard.

5.3 Dataflow from the POSApplicationProcess to the TokenCarrier



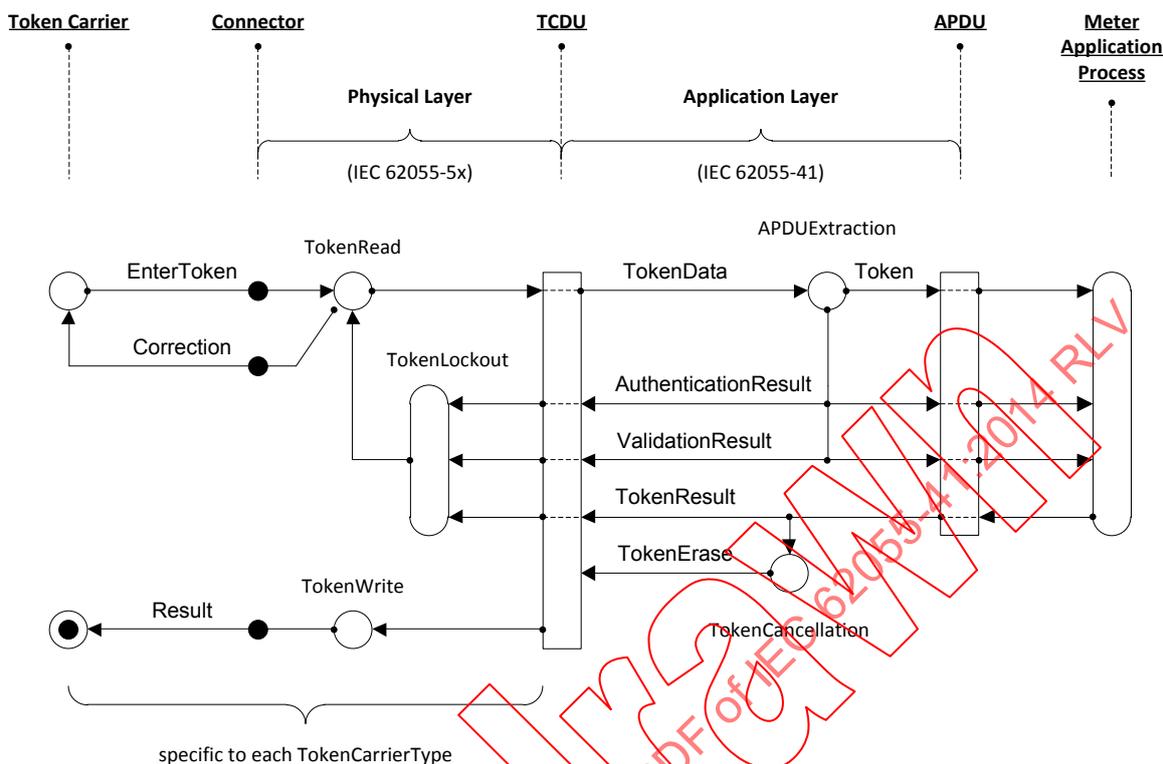
IEC 0991/14

Figure 3 – Dataflow from the POSApplicationProcess to the TokenCarrier

The flow of data from the POSApplicationProcess to the TokenCarrier is shown in Figure 3.

The POSApplicationProcess presents the token to the APDU together with the KeyAttributes of the DecoderKey that is to be used for encrypting the token. The application layer protocol generates the DecoderKey, encrypts the token and presents the resultant TokenData in the TCDU. The physical layer protocol encodes the TokenData onto the TokenCarrier. Optionally, payment meter identification data may also be encoded onto the TokenCarrier (see 5.2.4 in IEC 62055-51:2007 for example) as well as printed text onto the outside surface (see 5.1.5 in IEC 62055-51:2007 for example). This part of the process essentially ends with the encoding of data onto the TokenCarrier, after which the TokenCarrier is transported to the payment meter (usually by the customer), where it is entered into the payment meter via the TokenCarrierInterface.

5.4 Dataflow from the TokenCarrier to the MeterApplicationProcess



IEC 0992/14

Figure 4 – Dataflow from the TokenCarrier to the MeterApplicationProcess

The flow of data from the TokenCarrier to the MeterApplicationProcess is shown in Figure 4.

The token entry process from the TokenCarrier varies according to the TCT. The nature of the connector will similarly vary according to the TCT, an example of which may be a keypad or a magnetic card reader device supporting one-way token carriers as specified in IEC 62055-51.

NOTE Other types of connectors would be required to support other types of token carriers, such as a memory key reader device or a plug-in connector from a hand-held unit acting as a virtual token carrier. Such token carriers might be specified in additional parts of IEC 62055-5x in the future.

The physical layer protocol reads the token data being entered and provides immediate corrective feedback to the user (see 6.3 in IEC 62055-51:2007 for example). The entered token data is presented in the TCDU, from where the application layer protocol extracts the token by appropriate decryption, validation and authentication, the results of which are presented to the MeterApplicationProcess in the APDU. After processing and executing the instruction from the token, the MeterApplicationProcess indicates the result in the APDU for the application layer protocol to take further action. This normally causes the cancellation of the TID and the giving of the instruction, via the TCDU, to the physical layer protocol to complete the token entry process by erasure of the token data (if appropriate) or by writing of other relevant data back onto the TokenCarrier as may be appropriate.

For certain TokenCarrier types (for example a high speed virtual token carrier) the physical layer protocol may employ a token entry lockout function to protect the payment meter from fraud attempts. Typically, such a lockout function would slow down the effective rate, at which tokens may be entered via the particular token carrier interface (see 6.6.7 of IEC 62055-52:2008 for example).

5.5 MeterFunctionObjects / companion specifications

With reference to Figure 1 it can be seen that the TokenCarrierToMeterInterface, which also includes the TokenCarrier, is dealt with in the IEC 62055-4x and IEC 62055-5x series. The remaining MeterFunctionObjects shown in the diagram are defined in companion specifications and are not normative to this standard.

Companion specifications (see Figure 2) are under the administrative control (see Clause C.8) of the STS Association and serve the purpose of defining functionality of a payment meter in a standardized way, using an object-oriented approach.

5.6 ISO transaction reference numbers

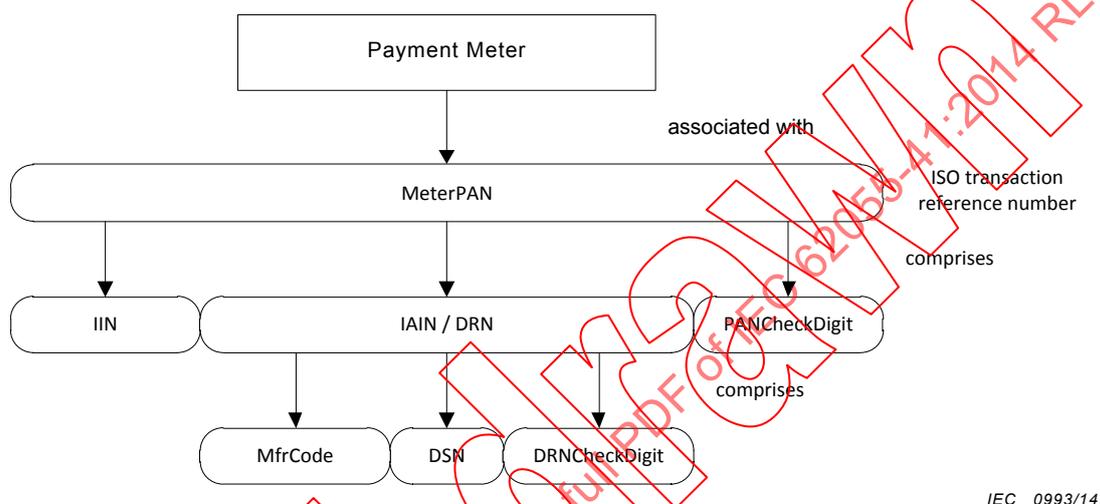


Figure 5 – Composition of ISO transaction reference number

The ISO transaction reference number comprises the data elements and their relationships as shown in Figure 5.

A token-based transaction (see Clause 3) constitutes a financial activity that needs to be dealt with in accordance with standard financial practices.

The PrimaryAccountNumber (PAN) as defined by ISO/IEC 7812-1 serves to tag transaction records, messages, requests, authorizations and notifications, in which both transacting parties are uniquely identifiable.

A payment meter is thus uniquely associated with a MeterPAN, being a composite number comprising of IIN and IAIN / DRN, which in turn comprises MfrCode and DSN (see 6.1.2).

6 POSToTokenCarrierInterface application layer protocol

6.1 APDU: ApplicationProtocolDataUnit

6.1.1 Data elements in the APDU

The APDU is the data interface between the POSApplicationProcess and the application layer protocol and comprises the data elements given in Table 1.

Table 1 – Data elements in the APDU

Element	Context	Format	Reference
MeterPAN	ISO compliant identification MeterPrimaryAccountNumber for the payment meter	18 digits	6.1.2
TCT	Directs which TokenCarrierType should be used in the physical layer protocol to carry the token to the payment meter	2 digits	6.1.3
DKGA	Directs which DecoderKeyGenerationAlgorithm is to be used for generating the DecoderKey	2 digits	6.1.4
EA	Directs which encryption algorithm is to be used for encrypting the token data	2 digits	6.1.5
SGC	Directs which SupplyGroupCode the payment meter is allocated to	6 digits	6.1.6
TI	Directs which TariffIndex the payment meter is linked to	2 digits	6.1.7
KRN	Directs which KeyRevisionNumber the DecoderKey is on	1 digit	6.1.8
KT	Directs which KeyType the DecoderKey is on	1 digit	6.1.9
KeyExpiryNumber	A number associated with the VendingKey and a DecoderKey that determines the time period, during which the key will remain valid	8 bits	6.1.10
Token	The actual token data that is to be transferred to the payment meter prior to encryption and processing	66 bits	6.2.1
IDRecord	Optional identification data intended to be encoded onto a payment meter ID card or onto a token carrier together with the token	35 digits	Table 2
PRNRecord	Optional print data intended to be printed at the same time as the coding of the token onto the TokenCarrier. Certain token carriers such as paper-based magnetic card devices allow printing to be done onto the card surface itself and this operation may be integrated with the magnetic card encoding device. The content and format is not specified and is left to each system to define according to its particular requirements	Undefined text	x

The optional IDRecord comprises the data elements given in Table 2.

Table 2 – Data elements in the IDRecord

Element	Context	Format	Reference
MeterPAN	ISO compliant identification MeterPrimaryAccountNumber for the payment meter	18 digits	6.1.2
DOE	Optional expiry date for the identification data as encoded onto a payment meter ID card or token carrier (as an example, see IEC 62055-51)	4 digits	6.1.11
TCT	Indicates which TokenCarrierType is associated with this MeterPAN	2 digits	6.1.3
EA	Indicates which encryption algorithm is associated with this MeterPAN	2 digits	6.1.5
SGC	Indicates which SupplyGroupCode is associated with this MeterPAN	6 digits	6.1.6
TI	Indicates which TariffIndex is associated with this MeterPAN	2 digits	6.1.7
KRN	Indicates which KeyRevisionNumber is associated with this MeterPAN	1 digit	6.1.8

6.1.2 MeterPAN: MeterPrimaryAccountNumber

6.1.2.1 Data elements in the MeterPAN

The MeterPAN is a unique identification number for each STS-compliant payment meter. It comprises the 3 parts given in Table 3 and is in accordance with the definition for the PAN (PrimaryAccountNumber) of ISO/IEC 7812-1.

Table 3 – Data elements in the MeterPAN

Element	Context	Format	Reference
IIN	IssuerIdentificationNumber	4/6 digits	6.1.2.2
IAIN / DRN	IndividualAccountIdentificationNumber / DecoderReferenceNumber	11/13 digits	6.1.2.3
PANCheckDigit	Formula to check the integrity of the IIN and the IAIN	1 digit	6.1.2.4
NOTE The first digit of the IIN is the most significant digit of the 18-digit MeterPAN and the PANCheckDigit is the least significant digit.			

See also Annex C for Code of practice on managing this data element.

6.1.2.2 IIN: IssuerIdentificationNumber

The IIN is a unique 6-digit number that defines a domain, under which further IAIN values (i.e. DRN values) may be issued for use within this defined domain.

The original intent and purpose of the IIN was to be able to tag financial transactions in order to uniquely identify them and to route them to the appropriate transacting financial accounts. It was thus intended that the IIN be issued by the ISO under the registration scheme given in ISO/IEC 7812-1 and ISO/IEC 7812-2. However, this has proven to be impractical and the value 600727 for IIN has since become the de-facto standard for legacy systems utilising an 11-digit DRN.

It has subsequently become necessary to also make provision for 13-digit DRNs (as defined in 6.1.2.3.1) in which case the IIN shall be 0000 (four zeroes).

See also C.3.2 on managing this data element.

**6.1.2.3 IAIN: IndividualAccountIdentificationNumber/
DRN: DecoderReferenceNumber**

6.1.2.3.1 Data elements in the IAIN / DRN

A unique DRN shall be allocated to the device that performs the application layer protocol in an STS-compliant payment meter.

NOTE In many systems, the decoder part is integral with the metering part and hence the DRN might be synonymous with the meter serial number.

This is an 11/13-digit number comprising of the data elements given in Table 4.

Table 4 – Data elements in the IAIN / DRN

Element	Context	Format	Reference
MfrCode	A number to uniquely identify a payment meter manufacturer	2/4 digits	6.1.2.3.2
DSN	An eight digit serial number allocated by the manufacturer	8 digits	6.1.2.3.3
DRNCheckDigit	Check Digit; formula to check the integrity of the MfrCode and the DSN	1 digit	6.1.2.3.4
NOTE The MfrCode is the 2/4 most significant digits of the 11/13-digit DRN and the DRNCheckDigit is the least significant digit.			

MfrCode values shall always be right justified and left padded with 0's.

The DSN shall be right justified and left padded with 0 to a full 8-digit string.

6.1.2.3.2 MfrCode: ManufacturerCode

The MfrCode is a 2/4-digit number that shall be used to uniquely identify the manufacturer of the payment meter.

The STS Association provides a service for the allocation of MfrCode values to uniquely identify manufacturers in order to ensure interoperability of STS-compliant equipment.

See also C.3.3 on managing this data element.

6.1.2.3.3 DSN: DecoderSerialNumber

The DSN is a unique 8-digit serial number that is generated internally by the manufacturer. Each manufacturer is responsible for the uniqueness of the DSN with respect to his MfrCode.

See also C.3.4 on managing this data element.

6.1.2.3.4 DRNCheckDigit

The DRNCheckDigit is a single digit used to validate the integrity of the MfrCode and DSN values when being entered by hand or being read by machine. This is a modulus 10 check digit, calculated using the Luhn formula, as illustrated in Annex B of ISO/IEC 7812-1:2000. It is calculated on the 10/12 preceding digits of the DRN generated through the concatenation of the MfrCode and the DSN values.

6.1.2.4 PANCheckDigit

The PANCheckDigit is a single digit used to validate the integrity of the IIN and the IAIN values when being entered by hand or being read by machine. The method used to calculate

the PANCheckDigit value is given in 4.4 of ISO/IEC 7812-1:2000 and is calculated on the preceding 17 digits of the MeterPAN generated through the concatenation of the IIN and the IAIN values.

6.1.3 TCT: TokenCarrierType

This is a 2-digit number used to uniquely identify the type of token carrier onto which the token should be encoded for transferring to the payment meter. The values for token carrier types are given in Table 5.

Table 5 – Token carrier types

Code	TokenCarrier	Comments
00	Reserved	For future assignment
01	Magnetic card	As defined in IEC 62055-51
02	Numeric	As defined in IEC 62055-51
03-06	Reserved	Legacy systems using proprietary token carrier technologies
07	Virtual Token Carrier (VTC07)	As defined in IEC 62055-52
08-99	Reserved	For future assignment

Values less than 10 shall be right justified and left padded with 0 (for example 01, 02-09).

6.1.4 DKGA: DecoderKeyGenerationAlgorithm

This is a 2-digit number used to uniquely identify which algorithm is to be used for generating the DecoderKey. The DKGA code values are given in Table 6.

Table 6 – DKGA codes

Code	DKG algorithm	Comments	Reference
00	Reserved	For future assignment	x
01	DKGA01	Limited number of early legacy STS-compliant payment meters. Superseded by DKGA02	6.5.3.3
02	DKGA02	System using 64-bit DES VendingKey diversification	6.5.3.4
03	DKGA03	System using dual 64-bit DES VendingKey diversification	6.5.3.5
04-99	Reserved	For future assignment	x

DKGA02 is the algorithm to be used for current systems, subject to the criteria for DKGA01.

DKGA03 is the algorithm to be used for future systems requiring a higher level of security regarding protection of the VendingKey by “brute-force” attack.

Introduction of DKGA03 should preferably coincide with the change from STA to DEA (EA code 07 to EA code 09). See also 6.1.5.

Values less than 10 shall be right justified and left padded with 0 (for example 01, 02-09).

6.1.5 EA: EncryptionAlgorithm

This is a 2-digit number used to uniquely identify which algorithm is to be used for encrypting the token data. The EA code values are given in Table 7.

Table 7 – EA codes

Code	EncryptionAlgorithm	Comments	Reference
00	Reserved	For future assignment	x
01-06	Reserved	Legacy proprietary systems	x
07	STA	Systems using the Standard Transfer Algorithm as defined in this standard	6.5.4.1
08	Reserved	Legacy proprietary systems	x
09	DEA	Systems using the Data Encryption Algorithm as defined in ANSI X3.92	6.5.5
10	Reserved	Legacy proprietary systems	x
11-99	Reserved	For future assignment	x

It is recommended that the choice of EA code 09 be co-ordinated with the choice of DKGA03 in order to minimize the effect on existing systems in the installed base (see 6.1.4).

Values less than 10 shall be right justified and left padded with 0. For example 01, 02-09.

6.1.6 SGC: SupplyGroupCode

This is a unique 6-digit number allocated to a utility, which is registered within the KMS. It is used to uniquely identify a sub-group of payment meters within the supply or distribution domain of the utility. Each SupplyGroup has a VendingKey associated with it and hence each payment meter in the SupplyGroup has a derived DecoderKey associated with it. Token sales authorisation is thus controlled by selective distribution of such VendingKey and SGC to authorised token vendor agents operating POS services on behalf of utilities.

SGC management and VendingKey management is completely under the control of the KMS and is subject to such Code of practice.

Values less than 6 decimal digits shall be right justified and left padded with 0. For example 000001, 000002.. 000009.

The SGC inherits its type from the KT attribute of the VendingKey (see 6.5.2.2.1), to which it is associated as shown in Table 8.

Table 8 – SGC types and key types

KT	SGC type	VendingKey type (see 6.5.2.2.1)	DecoderKey type (see 6.5.2.3.1)
0	Initialization	Not specified	DITK
1	Default	VDDK	DDTK
2	Unique	VUDK	DUTK
3	Common	VCDK	DCTK

See also C.2.2 for Code of practice on managing this data element.

6.1.7 TI: TariffIndex

A 2-digit number associated with a particular tariff that is allocated to a particular customer. The maintenance of and the content of the tariff tables are the responsibility of the utility.

Values less than 10 shall be right justified and left padded with 0 (for example 01, 02.. 09).

The TI is also encoded into the DecoderKey, which means that when a customer is moved from one TI to another, then his DecoderKey will also have to change (see 6.5.2.1).

NOTE The encoding of this value when used in the ControlBlock for Decoder Key Generation (see 6.5.3.2) is as two hexadecimal digits, whereas the encoding as used in the Set2ndSectionDecoderKey token (see 6.2.8) is as an 8 bit binary number. In these cases a tariff index of 99 decimal is encoded as binary string 10011001 and 0110 0011 respectively.

See also Clause C.9 for Code of practice on managing this data element.

6.1.8 KRN: KeyRevisionNumber

This is a 1-digit number in the range 1 to 9, which is associated with a version of the VendingKey and with the corresponding DecoderKey.

See 6.5.2.5 for a detailed definition of this data element.

6.1.9 KT: KeyType

This is a 1-digit number in the range 0 to 3 associated with a property of the VendingKey and thus also with the corresponding DecoderKey, which is derived from the VendingKey.

See 6.5.2 for a detailed definition of this data element.

6.1.10 KEN: KeyExpiryNumber

A KEN is associated with each VendingKey by the KMS, and defines the time when a VendingKey and any corresponding DecoderKey will expire, after which it becomes invalid for further use, subject to certain concessions.

The KEN corresponds to the most significant 8 bits of the 24-bit TID. Any token identifier whose most significant 8 bits are greater than a given key's KEN cannot be encrypted or decrypted with that key.

See 6.5.2.6 for a detailed definition of this data element.

See also C.2.4 for Code of practice on managing this data element.

6.1.11 DOE: DateOfExpiry

The use of this date is optional and is associated with a validity period for identity related data that gets encoded onto an identity-carrying device. For example: a payment meter ID card or a second record encoded onto the TokenCarrier together with the token data. In some implementations it is found to be useful to let the customer bring back a used token carrier to serve as his decoder identification to the POS when purchasing his next token. (See for example 5.1.4 and 5.2.4.9 of IEC 62055-51:2007).

This date may also be used, for example, in cases where a consumer has been granted a concessionary tariff for a limited period. The date encoded is the last month for which the card is valid.

DOE is in the format YYMM and shall always contain 4 digits.

Where YY or MM is less than 10, it shall be right justified and left padded with 0 (for example 01, 02, 09, etc).

When the DOE in the IDRecord is not used, then YYMM = 0000.

DOE code values for the year and month are given in Table 9 and Table 10.

Table 9 – DOE codes for the year

YY	Represents
00	2000 or DOE is not used (see also Table 10)
01 – 99	2001 – 2099

Table 10 – DOE codes for the month

MM	Represents
00	DOE is not used (see also Table 9)
01 – 12	Jan – Dec
13 – 99	Invalid

6.2 Tokens

6.2.1 Token definition format

The TokenData element in the APDU is a 66-bit binary number comprising of several fields of smaller data elements, in accordance with which various processes are initiated in the MeterApplicationProcess and various bits of information are transferred to the payment meter registers.

The definition format for the tokens in 6.2.2 to 6.2.14 is given in Table 11.

Table 11 –Token definition format

Name of data element	Example: Class, SubClass, RND, TID, Amount, CRC, etc.
Number of bits	Example: 2 bits, 4 bits, 24 bits, 16 bits, etc.
Range of values	Example: 1, 2, 5-15, etc.

6.2.2 Class 0: TransferCredit

Class	SubClass	RND	TID	Amount	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
0	0 = electricity 1 = water 2 = gas Reserved: 3 = time 4 = currency 5-15 = future assignment				

NOTE The SubClass values 3-4 are reserved by the STS Association for applications other than electricity, gas and water, and values 5-15 are reserved for future assignment.

Action: Transfer credit to the payment meter to the value as defined in the Amount field and for the service type as defined in the SubClass field.

6.2.3 Class 1: InitiateMeterTest/Display

Class	SubClass	Control	MfrCode	CRC
2 bits	4 bits	36/28 bits	8/16 bits	16 bits
1	0 = STS defined	Bit position control of test/display number for 2 digit manufacturer codes. Use 36 bits.	0 (8 bits)	
1	1 = STS defined	Bit position control of test/display number for 4 digit manufacturer codes. Use 28 bits	0 (16 bits)	
1	2-5 = reserved for future assignment.	Reserved for future assignment.	Reserved for future assignment	
1	6-10 = proprietary use.	For 4 digit manufacturer codes. If not used, set to zero (28 bits)	0100-9999 (16 bits)	
1	11-15 = proprietary use	For 2 digit manufacturer codes. If not used, set to zero (36 bits)	00-99 (8 bits)	

Action: Initiate the test or display function in the payment meter in accordance with the bit pattern defined in the Control field.

6.2.4 Class 2: SetMaximumPowerLimit

Class	SubClass	RND	TID	MPL	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	0				

Action: Load the maximum power limit register in the payment meter with the value as given in the MPL field.

6.2.5 Class 2: ClearCredit

Class	SubClass	RND	TID	Register	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	1				

Action: Clear the corresponding credit register (as indicated in the Register field) in the payment meter to zero.

6.2.6 Class 2: SetTariffRate

Class	SubClass	RND	TID	Rate	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	2				

Action: Load the tariff rate register in the payment meter with the value given in the Rate field.

This token is reserved by the STS Association for future definition.

6.2.7 Class 2: Set1stSectionDecoderKey

Class	SubClass	KENHO	KRN	RO	Res	KT	NKHO	CRC
2 bits	4 bits	4 bits	4 bits	1 bit	1 bit	2 bits	32 bits	16 bits
2	3		1-9	0-1	x	0-3		

Action: Load the DecoderKeyRegister with the 1st half of the new DecoderKey, subject to an authentic loading of a Set2ndSectionDecoderKey token.

6.2.8 Class 2: Set2ndSectionDecoderKey

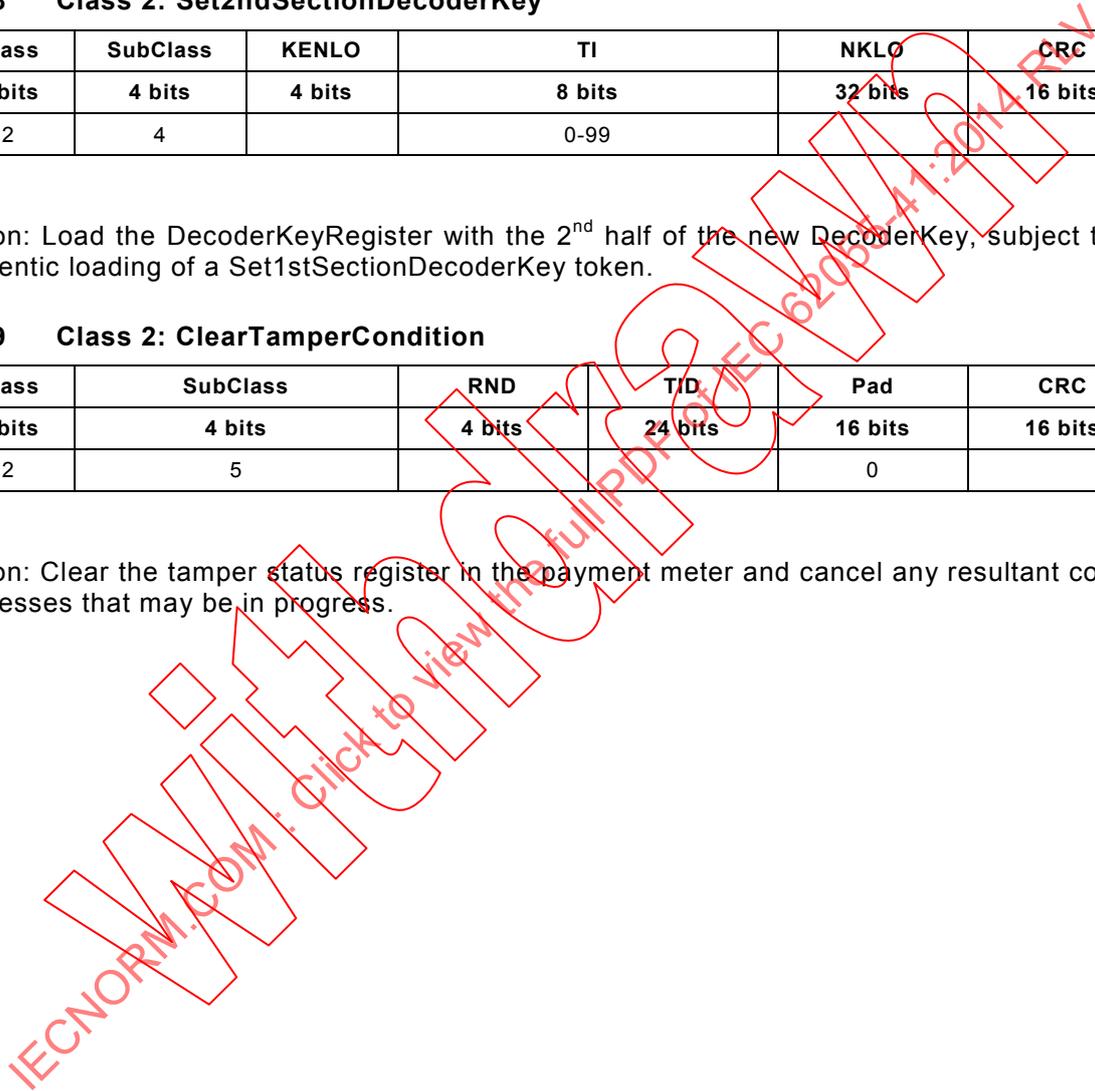
Class	SubClass	KENLO	TI	NKLO	CRC
2 bits	4 bits	4 bits	8 bits	32 bits	16 bits
2	4		0-99		

Action: Load the DecoderKeyRegister with the 2nd half of the new DecoderKey, subject to an authentic loading of a Set1stSectionDecoderKey token.

6.2.9 Class 2: ClearTamperCondition

Class	SubClass	RND	TID	Pad	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	5			0	

Action: Clear the tamper status register in the payment meter and cancel any resultant control processes that may be in progress.



6.2.10 Class 2: SetMaximumPhasePowerUnbalanceLimit

Class	SubClass	RND	TID	MPPUL	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	6				

Action: Load the maximum phase unbalance limit register in the payment meter with the value given in the MPPUL field. See also 8.12 for more detail on the action of this function in the payment meter.

6.2.11 Class 2: SetWaterMeterFactor

Class	SubClass	RND	TID	WMFactor	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	7				

Action: Load the water meter factor register in the payment meter with the value given in the WMFactor field.

This token is reserved by the STS Association for water applications.

6.2.12 Class 2: Reserved for STS use

Class	SubClass	RND	TID	ResData	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	8-10				

Action: Reserved for future definition by the STS Association.

This token range is reserved by the STS Association for future assignment.

6.2.13 Class 2: Reserved for Proprietary use

Class	SubClass	RND	TID	PropData	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	11-15				

Action: Defined by manufacturer.

This token range is reserved for proprietary definition and use.

This standard does not provide protection against collision between manufacturer uses of this token space. Generation and control of these tokens shall therefore always be under the direct management of the relevant manufacturer and shall never be available on vending systems for general use within STS-compliant payment metering systems.

6.2.14 Class 3: Reserved for STS use

Class	SubClass	Res
2 bits	4 bits	60 bits
3	0-15	

Action: Reserved for future definition by the STS Association.

This token range is reserved by the STS Association for future assignment

6.3 Token data elements

6.3.1 Data elements used in tokens

The data elements given in Table 12 are used in tokens in various combinations.

Table 12 – Data elements used in tokens

Element	Name	Format	Reference
Amount	TransferAmount (see also 6.2.2)	16 bits	6.3.6
Class	TokenClass (see also 6.2.2 to 6.2.14)	2 bits	6.3.2
Control	InitiateMeterTest/DisplayControlField (see also 6.2.3)	36/28 bits	6.3.8
CRC	CyclicRedundancyCode (see 6.2.2 to 6.2.13)	16 bits	6.3.7
KENHO	KeyExpiryNumberHighOrder (see also 6.2.7)	4 bits	6.3.16
KENLO	KeyExpiryNumberLowOrder (see also 6.2.8)	4 bits	6.3.17
KRN	KeyRevisionNumber (see also 6.2.7)	4 bits	6.1.8
KT	KeyType (see also 6.2.7)	2 bits	6.1.9
MfrCode	ManufacturerCode (see also 6.2.3)	8/16 bits	6.1.2.3.2
MPL	MaximumPowerLimit (see also 6.2.4)	16 bits	6.3.9
MPPUL	MaximumPhasePowerUnbalanceLimit (see also 6.2.10)	16 bits	6.3.10
NKHO	NewKeyHighOrder (see also 6.2.7)	32 bits	6.3.14
NKLO	NewKeyLowOrder (see also 6.2.8)	32 bits	6.3.15
Pad	Pad value with 0 (see also 6.2.9)	16 bits	x
PropData	Proprietary data field (see also 6.2.13)	16 bits	x
Rate	[TariffRate] For future definition (see also 6.2.6)	16 bits	6.3.11
Register	RegisterToClear (see also 6.2.5)	16 bits	6.3.13
Res	Reserved for future assignment (see also 6.2.7 and 6.2.14)	1 bits	x
ResData	Reserved data field for future assignment (see also 6.2.12)	16 bits	x
RND	RandomNumber (see also 6.2.2 to 6.2.13)	4 bits	6.3.4
RO	RolloverKeyChange (see also 6.2.7)	1 bits	6.3.18
SubClass	TokenSubClass (see also 6.2.2 to 6.2.14)	4 bits	6.3.3
TI	TariffIndex (see also 6.2.8)	8 bits	6.1.7
TID	TokenIdentifier (see also 6.2.2 to 6.2.13)	24 bits	6.3.5.1
WMFactor	[WaterMeterFactor] Reserved by the STS Association for water application (see also 6.2.11)	16 bits	6.3.12

6.3.2 Class: TokenClass

Tokens are classified into 4 main functional areas as given in Table 13.

Table 13 – Token classes

TokenClass	Function
0	Credit transfer
1	Non-meter-specific management
2	Meter-specific management
3	Reserved for future assignment

Class 0 and Class 2 tokens are encrypted using the DecoderKey, while Class 1 tokens are not encrypted and can thus be used on any STS-compliant payment meter.

6.3.3 SubClass: TokenSubClass

Further sub-classification of the TokenClass is given in Table 14.

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Table 14 – Token sub-classes

Token SubClass	TokenClass				
	0	1	2	3	
0	TransferCredit (electricity)	InitiateMeterTest/Display for 2-digit MfrCode	SetMaximumPowerLimit	Reserved by STS Association for future assignment	
1	TransferCredit (water)	InitiateMeterTest/Display for 4-digit MfrCode	ClearCredit		
2	TransferCredit (gas)	Reserved by STS Association for future assignment	SetTariffRate		
3	TransferCredit (time) Reserved by STS Association for connection time applications		Set1stSectionDecoderKey		
4	TransferCredit (currency) Reserved by STS Association for currency applications		Set2ndSectionDecoderKey		
5	Reserved by STS Association for future assignment		ClearTamperCondition		
6			SetMaximumPhasePowerUnbalanceLimit		
7			SetWaterMeterFactor Reserved by STS Association for water applications		
8			Reserved for proprietary use for 4-digit MfrCode		Reserved by STS Association for future assignment
9					Reserved by STS Association for future assignment
10					Reserved by STS Association for future assignment
11			Reserved for proprietary use for 2-digit MfrCode		Reserved for proprietary use
12					Reserved for proprietary use
13					Reserved for proprietary use
14					Reserved for proprietary use
15				Reserved for proprietary use	

6.3.4 RND: RandomNumber

The generation of this 4-bit number will be a snapshot of the four least significant bits of at least a millisecond counter. The inclusion of a random number in the data to be transferred enhances the security of the token transfer by providing a probability of 16:1 that no two tokens containing identical data to be transferred will have the same binary pattern.

6.3.5 TID: TokenIdentifier

6.3.5.1 TID calculation

The TID field is derived from the date and time of issue and indicates the number of minutes elapsed from an STS base date and time. This field is a 24-bit binary representation of the elapsed minutes.

In order to accommodate the fact that the TID will roll over every 31 years, three STS base dates are defined. These are:

- 01 January 1993, 00:00:00;
- 01 January 2014, 00:00:00;
- 01 January 2035, 00:00:00.

With a date and time format of YYYY:MM:DD:hh:mm:ss the STS base date and time of 1993:01:01:00:00:00 corresponds to a TID of 0.

The calculation of elapsed minutes shall take leap years into account.

The rule used to determine a leap year is:

- the month of February shall have an extra day in all years that are evenly divisible by 4, except for century years (those ending in -00), which receive the extra day only if they are evenly divisible by 400. Thus 1996 was a leap year whereas 1999 was not, and 1600, 2000 and 2400 are leap years but 1700, 1800, 1900 and 2100 are not.

In the binary representation of the TID the leftmost bit represents the most significant bit.

When calculating the TID the “:ss” value shall be truncated from the actual time.

Examples of TID calculated values are given in Table 15.

Table 15 – TID calculation examples

Date of issue:	Time of issue:	Elapsed minutes:	Resultant 24-bit token ID:
1 January 1993	00:00:00	0	0000 0000 0000 0000 0000 0000
1 January 1993	00:01:45	1	0000 0000 0000 0000 0000 0001
25 March 1993	13:55:22	120 355	0000 0001 1101 0110 0010 0011
25 March 1996	13:55:22	1 698 595	0001 1001 1110 1011 0010 0011
1 November 2005	00:01:55	6 749 281	0110 0110 1111 1100 0110 0001
1 December 2015	00:01:05	12 051 361	1011 0111 1110 0011 1010 0001
24 November 2024	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111
1 January 2014	00:00:00	0	0000 0000 0000 0000 0000 0000
24 November 2045	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111
1 January 2035	00:00:00	0	0000 0000 0000 0000 0000 0000
24 November 2066	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111

In order to prevent token re-use when a basedate change is performed, certain operational procedures need to be performed. Refer to Clause C.12 for additional information.

6.3.5.2 SpecialReservedTokenIdentifier

The TokenIdentifier corresponding to 00 h 01 min of each day is reserved for special application tokens and may not be used for any other token.

Using the date and time format of YYYY:MM:DD:hh:mm:ss the reserved TID values correspond to xxxx:xx:xx:00:01:xx.

If a token, other than a special application token is to be generated on a time corresponding to this reserved TID, then 1 min shall be added to the TID.

See also Clause C.4 Code of practice for the management of this special reserved TID.

NOTE The use of special application tokens are optional (see Clause C.11), but the rule for how to use the special reserved TID is mandatory.

6.3.5.3 Multiple tokens generated within the same minute

The POS shall ensure that no legitimately purchased token can carry the same TID as that of any other legitimately purchased token for the same payment meter even if more than one token is purchased within the same minute on the same POS.

If multiple tokens need to be generated within the same minute for the same payment meter, then 1 min shall be added to the TID of each successive token in the set. At the end of the token generating process the POS shall revert back to real time again.

This shall apply to any token that implements a TID.

This shall not apply to special application tokens that implement the SpecialReservedTokenIdentifier (see 6.3.5.2).

For example: if 3 credit tokens A, B and C are generated within the same minute at 13h23 and in sequential order A, B and C, then A shall carry the TID time stamp 13h23, B shall carry time stamp 13h24 and C shall carry 13h25.

6.3.6 Amount: TransferAmount

The associated unit for the transfer amount is defined in Table 16.

Table 16 – Units of measure for electricity

Transfer type	Units of measure
Electrical energy	Watt-hours × 100 (0,1 kWh)
Electrical power	Watts

The STS Association also reserves the transfer types given in Table 17 for other applications.

Table 17 – Units of measure for other applications

Transfer type	Units of measure
Water	Litres × 100
Gas	Cubic metres
Time	Minutes
Currency	Under review
NOTE The STS Association defines other future transfer types for other utility services.	

The 16 bits of the transfer amount field are subdivided into two sections, a base-10 exponent of 2 bits and a mantissa of 14 bits. The bits are numbered from right to left, starting at 0. Bit 15 is the most significant bit of the exponent and Bit 13 is the most significant bit of the mantissa. The bit allocations within this field are illustrated in Table 18.

Table 18 – Bit allocations for the TransferAmount

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Value	e	e	m	m	m	m	m	m	m	m	m	m	m	m	m	m

The mathematical formula for transfer amount conversion is as follows:

$$t = 10^e \times m, \text{ for } e = 0; \text{ or}$$

$$t = (10^e \times m) + \sum_{n=1}^e (2^{14} \times 10^{(n-1)}) , \text{ for } e > 0$$

where:

t is the transfer amount;

e is the base 10 exponent;

m is the mantissa; and

n is an integer in the range 1 to e inclusive.

All transfer amount conversions shall be rounded up in favour of the customer. The possible transfer amount ranges and the associated maximum errors that can arise owing to rounding up are shown in Table 19. Examples of TransferAmount values are given in Table 20.

Table 19 – Maximum error due to rounding

Exponent value	Transfer amount range	Maximum error
0	0000000 to 00016383	0,000
1	0016384 to 00180214	0,061 %
2	0180224 to 01818524	0,055 %
3	1818624 to 18201624	0,055 %

Table 20 – Examples of TransferAmount values for credit transfer

Item	Units purchased	Resultant 16-bit transfer amount field	Units converted and received by the meter
1	0,1 kWh	0000 0000 0000 0001	0,1 kWh
2	25,6 kWh	0000 0001 0000 0000	25,6 kWh
3	1638,3 kWh	0011 1111 1111 1111	1638,3 kWh
4	1638,4 kWh	0100 0000 0000 0000	1 638,4 kWh
5	18022,3 kWh	0111 1111 1111 1111	18022,4 kWh
6	18022,4 kWh	1000 0000 0000 0000	18022,4 kWh
7	181862,3 kWh	1011 1111 1111 1111	181862,4 kWh
8	181862,4 kWh	1100 0000 0000 0000	181862,4 kWh
9	1820162,4 kWh	1111 1111 1111 1111	1820162,4 kWh

6.3.7 CRC: CyclicRedundancyCode

The CRC is a checksum field used to verify the integrity of the data transferred. The checksum is derived using the following CRC generator polynomial:

$$x^{16} + x^{15} + x^2 + 1$$

The total length of the data transferred via the token is 66 bits. The last 16 bits comprise the CRC checksum that is derived from the preceding 50 bits. These 50 bits are left padded with 6 binary zeros to make 56 bits. Before calculation, the CRC checksum is initialised to FFFF hex (see example in Table 21).

Table 21 – Example of a CRC calculation

Original 50 bits	0 00 4A 2D 90 0F F2 hex
Left padded to make 7 bytes	00 00 4A 2D 90 0F F2 hex
Checksum calculated	0F FA hex

6.3.8 Control: InitiateMeterTest/DisplayControlField

The initiate payment meter test data field is 36/28 bits long and is used to indicate the type of test to be performed. The particular test is selected by setting the relevant bit to a logic ONE. The permissible field values are defined in Table 22.

Table 22 – Permissible control field values

LS Bit No. = 1	Test No	Action	Condition
All bits = 1	0	Do test No. 2 to 5 plus, optionally, any other	Mandatory
1	1	Test the load switch	Optional
2	2	Test the payment meter information display devices	Mandatory
3	3	Display cumulative kWh energy register totals	Mandatory
4	4	Display the KRN	Mandatory
5	5	Display the TI	Mandatory
6	6	Test the token reader device	Optional
7	7	Display maximum power limit	Optional
8	8	Display tamper status	Optional
9	9	Display power consumption	Optional
10	10	Display software version	Optional
11	11	Display phase power unbalance limit	Optional
12	12	Display water meter factor	Mandatory for water payment meter
13	13	Display tariff rate	Mandatory for currency-based payment meter
14-28/36	Reserved	Reserved by STS Association for future assignment	Reserved

NOTE The cumulative kWh energy register is defined in 5.11.4 of IEC 62055-31:2005.

All payment meters shall support test number 0; if any of the incorporated tests are not supported the payment meter shall perform the subset of tests that are supported.

This option is subject to the supply agreement between the supplier and the utility and shall not form a normative part of this standard.

In the case where more than one test is specified on a single token, the behaviour of the payment meter shall be agreed between the utility and the supplier and shall not form a normative part of this standard.

6.3.9 MPL: MaximumPowerLimit

The maximum power limit field is a 16-bit field that indicates the maximum power that the load may draw, in watts. Calculation of this field is identical to that of the TransferAmount field (see 6.3.6). See also note in 8.6 for functional requirements of the MeterApplication Process.

6.3.10 MPPUL: MaximumPhasePowerUnbalanceLimit

The maximum phase power unbalance limit field is a 16-bit field that indicates the maximum allowable power difference between phase loads, in watts. Calculation of this field is identical to that of the TransferAmount field (see 6.3.6).

6.3.11 Rate: TariffRate

Reserved by the STS Association for future definition.

6.3.12 WMFactor: WaterMeterFactor

Reserved by the STS Association for water application

6.3.13 Register: RegisterToClear

A unique 16-bit binary value in the range 0 to FFFF hex; to select the particular register that should be cleared with the ClearCredit token. The defined values are given in Table 23.

Table 23 – Selection of register to clear

Value	Action
0	Clear Electricity Credit register
1	Clear Water Credit register
2	Clear Gas Credit register
3	Clear Time Credit register
4	Clear Currency Credit register
5 to FFFE hex	Reserved for future assignment
FFFF hex	Clear all Credit registers in the payment meter

6.3.14 NKHO: NewKeyHighOrder

The high order 32 bits of the new DecoderKey that has been generated (see 6.4.4) and which is to be transferred to the payment meter by means of the token.

6.3.15 NKLO: NewKeyLowOrder

The low order 32 bits of the new DecoderKey that has been generated (see 6.4.5) and which is to be transferred to the payment meter by means of the token.

6.3.16 KENHO: KeyExpiryNumberHighOrder

This is the high order 4 bits of the KEN (see 6.1.10).

6.3.17 KENLO: KeyExpiryNumberLowOrder

This is the low order 4 bits of the KEN (see 6.1.10).

6.3.18 RO: RolloverKeyChange

If the RolloverKeyChange bit is set = 1, the payment meter shall perform a rollover key change. This operation is identical to a normal key change, except that the TID memory store in the payment meter is filled with token identifiers of value 0 (zero).

6.4 TCDU Generation functions

6.4.1 Definition of the TCDU

The TCDU may be different for each TokenCarrierType and is therefore defined separately for each physical layer protocol standard relevant to each part of the IEC 62055-5x series.

6.4.2 Transposition of the Class bits

This function is used by other TCDU Generation functions (see 6.4.3 to 6.4.5). It inserts the 2 Class bits into the 64-bit data stream to make a 66-bit number according to the method outlined below.

The 64-bit number has its least significant bit in bit position 0 and its most significant bit in bit position 63. The 64-bit binary number string is modified to include the unencrypted token Class. The 2-bit token Class value is inserted to occupy bit positions 28 and 27. The original values of bit positions 28 and 27 are relocated to bit positions 65 and 64. The most significant bit of the token Class now occupies bit position 28. The process is shown in Figure 6.

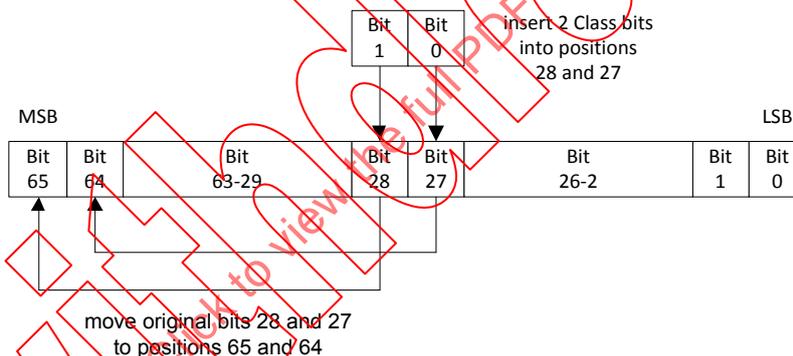


Figure 6 – Transposition of the 2 Class bits

Example: Insertion of the token Class = 01 (binary).

The 64-bit binary number grouped in nibbles (Bits 27 and 28 highlighted in bold):

0110 0101 0100 0011 0010 0001 0000 1001 1000 **0111** 0110 0101 0100 0011 0010 0001

Copy bits 28 and 27 into bit positions 65 and 64, creating a 66-bit number:

00 0110 0101 0100 0011 0010 0001 0000 1001 1000 0111 0110 0101 0100 0011 0010 0001

Replace bits 28 and 27 with the 2 Class bits:

00 0110 0101 0100 0011 0010 0001 0000 1001 1000 **1111** 0110 0101 0100 0011 0010 0001

6.4.3 TCDU Generation function for Class 0,1 and 2 tokens

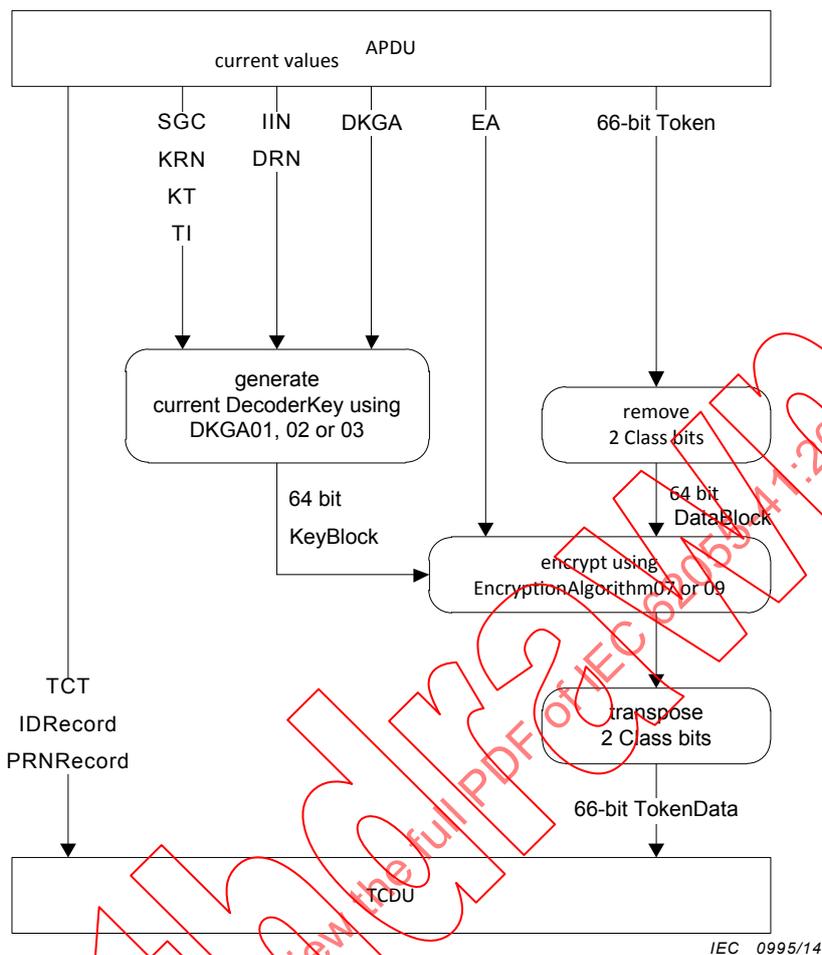


Figure 7 – TCDU Generation function for Class 0, 1 and 2 tokens

This is the transfer function from the APDU to the TCDU (see Figure 7) and is applicable to all Class 0, Class 1 and Class 2 tokens, except for the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens (see 6.4.4 and 6.4.5).

NOTE 1 The data elements in the APDU are defined in 6.1.1.

NOTE 2 The data elements in the TCDU are defined in part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function for Class 0 and Class 2 tokens is outlined as follows:

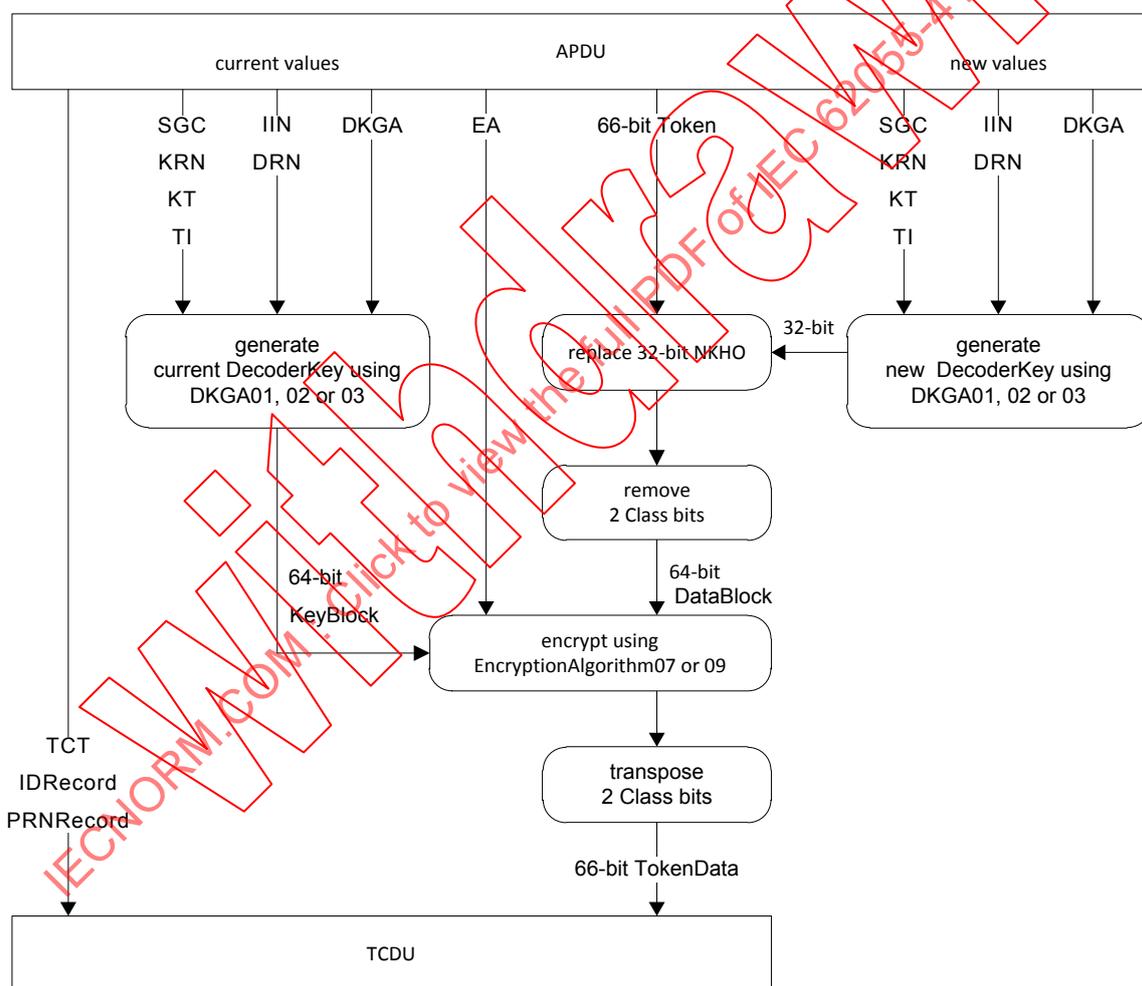
- The 2 Class bits are removed from the 66-bit token to yield a 64-bit result, which is then presented to the encryption algorithm as its DataBlock input. The specific algorithm to use is in accordance with the EA code in the APDU;
- The KeyBlock input for the encryption algorithm is obtained from the decoder key generation algorithm, which generates the current DecoderKey using the current values of SGC, KRN, KT, TI, IIN and DRN from the APDU as indicated. The specific decoder key generation algorithm to use is in accordance with the value of DKGGA in the APDU;
- After encryption the 2 Class bits are again re-inserted into the 64-bit number in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;

- Similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;

The transfer function for Class 1 tokens is identical to the TCDUGeneration function for Class 0 and Class 2 tokens, except that the token does not get encrypted. The function is outlined as follows:

- The 2 Class bits are removed from the 66-bit token and transposed in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;
- Similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard.

6.4.4 TCDUGeneration function for Set1stSectionDecoderKey token



IEC 0996/14

Figure 8 – TCDUGeneration function for Set1stSectionDecoderKey token

This is the transfer function from the APDU to the TCDU (see Figure 8) and is applicable only to the Set1stSectionDecoderKey token.

The Set1stSectionDecoderKey TCDUGeneration function is shown here as being separate from the Set2ndSectionDecoderKey TCDUGeneration function, but in practice the two may be merged into one in order to save on processing resource and for the sake of convenience. In

such a case, the new DecoderKey generation only needs to happen once for example, although the final result is still the same. Thus two separate TCDU instances are always produced: one for the Set1stSectionDecoderKey token and a second for the Set2ndSectionDecoderKey token.

Note that the APDU has to present two sets of data for the PANBlock and CONTROLBlock: one set with the new data for the new DecoderKey and a second set with the current data for the current DecoderKey. The DKGA value is the same for both sets.

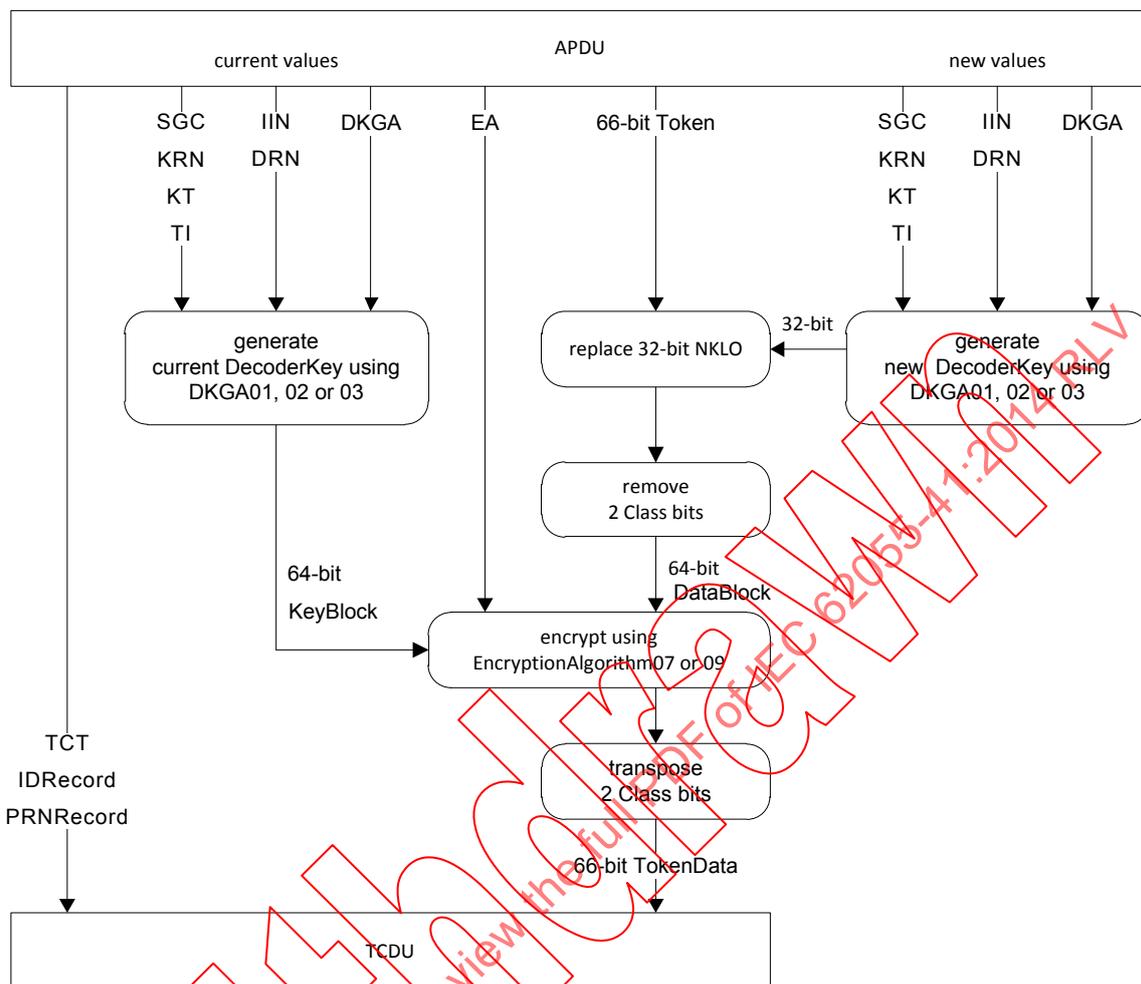
NOTE 1 The data elements in the APDU are defined in 6.1.1.

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function is outlined as follows:

- the new DecoderKey is generated using the new values of SGC, KRN, KT, TI, IIN and DRN. The specific algorithm to use is in accordance with the value of DKGA in the APDU;
- the resultant new DecoderKey value high order 32 bits are then used to replace the NKHO field of the Set1stSectionDecoderKey token (see 6.2.7) as presented by the APDU;
- the 2 Class bits are removed from the 66-bit token to yield a 64-bit result, which is then presented to the encryption algorithm as its DataBlock input. The specific encryption algorithm to use is in accordance with the EA code in the APDU;
- the KeyBlock input for the encryption algorithm is obtained from the decoder key generation algorithm, which generates the current DecoderKey using the current values of SGC, KRN, KT, TI, IIN and DRN from the APDU as indicated. The specific decoder key generation algorithm to use is in accordance with the value of DKGA in the APDU;
- after encryption, the 2 Class bits are again re-inserted into the 64-bit number in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;
- similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard.

6.4.5 TCDU Generation function for Set2ndSectionDecoderKey token



IEC 0997/14

Figure 9 – TCDU Generation function for Set2ndSectionDecoderKey token

This is the transfer function from the APDU to the TCDU (see Figure 9) and is applicable only to the Set2ndSectionDecoderKey token.

The Set2ndSectionDecoderKey TCDU Generation function is shown here as being separate from the Set1stSectionDecoderKey TCDU Generation function, but in practice the two may be merged into one in order to save on processing resource and for the sake of convenience. In such a case, the new DecoderKey generation only needs to happen once for example, although the final result is still the same. Thus two separate TCDU instances are always produced: one for the Set1stSectionDecoderKey token and a second for the Set2ndSectionDecoderKey token.

Note that the APDU has to present two sets of data for the PANBlock and CONTROLBlock: one set with the new data for the new DecoderKey and a second set with the current data for the current DecoderKey. The DKGGA value is the same for both sets.

NOTE 1 The data elements in the APDU are defined in 6.1.1

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function is outlined as follows:

- the new DecoderKey is generated using the new values of SGC, KRN, KT, TI, IIN and DRN. The specific decoder key generation algorithm to use is in accordance with the value of DKGA in the APDU;
- the resultant new DecoderKey value low order 32 bits are then used to replace the NKLO field of the Set2ndSectionDecoderKey token (see 6.2.8) as presented by the APDU;
- the 2 Class bits are removed from the 66-bit token to yield a 64-bit result, which is then presented to the encryption algorithm as its DataBlock input. The specific encryption algorithm to use is in accordance with the EA code in the APDU;
- the KeyBlock input for the encryption algorithm is obtained from the decoder key generation algorithm, which generates the current DecoderKey using the current values of SGC, KRN, KT, TI, IIN and DRN from the APDU as indicated. The specific decoder key generation algorithm to use is in accordance with the value of DKGA in the APDU;
- after encryption, the 2 Class bits are again re-inserted into the 64-bit number in accordance with the method defined in 6.4.2 to yield a 66-bit result, which is populated into the TokenData field of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard;
- similarly the TCT, IDRecord and PRNRecord data elements from the APDU are transferred to the TCDU as indicated, into the appropriate fields of the TCDU in accordance with the particular definition in the relevant physical layer protocol standard.

6.5 Security functions

6.5.1 General requirements

With the exception of DITK values, VendingKey and DecoderKey values shall only be generated by a device responsible for token generation, such as a POS that is certified as STS-compliant and which is subject to an STS-certified KeyManagementSystem (see Clause 9). This subclause describes the key generation methods used by such devices and is applicable to manufacturers of these devices.

6.5.2 Key attributes and key changes

6.5.2.1 Key change requirements

With the exception of DITK values, STS key values shall only be introduced or changed in a payment meter from a device responsible for key management, such as a POS that is certified as STS-compliant, and which is subject to STS key management. This subclause describes the STS key change method used between such devices and payment meters, and is applicable to manufacturers of these devices and payment meters.

An STS key change provides the mechanism for changing the DecoderKey present in a decoder from its current value to a new value. This process may be initiated by several events or circumstances, including the following:

- a new or repaired payment meter that contains a manufacturer's DITK value shall be changed before leaving the manufacturing or repair premises to contain the appropriate value of manufacturer's default (DDTK) or utility's DecoderKey (DUTK or DCTK) depending on the SupplyGroup to which the payment meter has been allocated;
- a SupplyGroup's VendingKey has either expired or been compromised, and is replaced by a new VendingKey revision and, as a result, each DecoderKey within the SupplyGroup shall be changed from its current DecoderKey value to the DecoderKey value that corresponds to the new VendingKey value;
- a payment meter is re-allocated from one SupplyGroup to another SupplyGroup and, as a result, its DecoderKey shall be changed from its current value generated from the previous SupplyGroup VendingKey to the new value generated from its new SupplyGroup VendingKey; or

- the TI for a payment meter is changed and, as a result, its DecoderKey shall be changed from its current value (that corresponds to the previous TI) to the new value (that corresponds to the new TI).

The Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair effects an STS key change. This meter-specific management token pair transfers the following information from the POS to the payment meter, encrypted under the current DecoderKey:

- the value of the new DecoderKey;
- the KEN;
- the KRN;
- the KT;
- the TI.

An STS key change for a payment meter shall be initiated automatically whenever any one of the following attributes of the VendingKey change in value:

- the value of the VendingKey;
- the value of the SGC;
- the value of the TI;
- the value of the KEN;
- the value of the KRN;
- the value of the KT.

NOTE See 6.1.1 for detailed specifications on the data elements in the APDU.

6.5.2.2 VendingKey classification

6.5.2.2.1 Classification of vending keys

The VendingKey is a DES key value that is secretly generated, stored and distributed within the KeyManagementSystem (see Annex A). DES VendingKeys are the seed keys from which DecoderKeys are generated.

The VendingKey is classified according to its associated KT value, which is an attribute that defines the purpose for which the key can be used. Three KT values are defined for VendingKeys and correspond to three of the SupplyGroup types (see 6.1.6), namely Default, Unique and Common. The VendingKey for a given SupplyGroup is the seed key used to generate the DecoderKey values for all payment meters within the SupplyGroup.

STS VendingKeys are classified according to the KT values given in Table 24.

Table 24 – Classification of vending keys

KT	SGC type	VendingKey type	Context
0	Initialization	Not specified	Not applicable
1	Default	VDDK	VendingDefaultDESKey
2	Unique	VUDK	VendingUniqueDESKey
3	Common	VCDK	VendingCommonDESKey

At any given moment, a unique VDDK value exists for each Default SupplyGroup defined. Similarly, a unique VUDK value for each Unique SupplyGroup and a unique VCDK value for each Common SupplyGroup are defined.

6.5.2.2.2 VDDK: VendingDefaultDESKey

This type of key is used as the seed key for generation of DDTK values – it shall not be used to generate DITK, DUTK or DCTK values.

6.5.2.2.3 VUDK: VendingUniqueDESKey

This type of key is used as the seed key for generation of DUTK values – it shall not be used to generate DITK, DDTK or DCTK values.

6.5.2.2.4 VCDK: VendingCommonDESKey

This type of key is used as the seed key for generation of DCTK values – it shall not be used to generate DITK, DDTK or DUTK values.

6.5.2.3 DecoderKey classification

6.5.2.3.1 Classification of decoder keys

STS DecoderKeys are classified according to the KT values given in Table 25 and inherit their type from that of the VendingKey, from which they are derived.

Table 25 – Classification of decoder keys

KT	SGC type	DecoderKey type	Context
0	Initialization	DITK	DecoderInitialisationTransferKey
1	Default	DDTK	DecoderDefaultTransferKey
2	Unique	DUTK	DecoderUniqueTransferKey
3	Common	DCTK	DecoderCommonTransferKey

For further information regarding the rules for changing of a key from one type to another type, see Figure 10 and Table 26 in 6.5.2.4.

A payment meter shall be capable of storing at least one DecoderKey value and its associated KT value in its DecoderKeyRegister (see 7.3.2).

It shall not be possible for the DecoderKey value to be read or retrieved from a payment meter under any circumstances, whether encrypted or in the clear.

6.5.2.3.2 DITK: DecoderInitialisationTransferKey

DITK values are used to initialise the DecoderKeyRegister during production or repair at the manufacturer's premises. These keys are the property of the MeterManufacturer. As such, they are generated and managed by the manufacturer, and are unknown to the utility.

No payment meter purchased by the utility shall leave a manufacturer's premises with a DITK value in the DecoderKeyRegister. The DecoderKeyRegister shall contain either a DDTK, DUTK or DCTK value supplied by the KMC. A DITK is the only key type that can be introduced into a payment meter as a plaintext value. DDTK, DUTK or DCTK values can only be introduced into a payment meter as cipher text (encrypted) values.

A DITK shall only be used for the following key management functions:

- as the parent key for another DITK; in other words, to encrypt another DITK for the purpose of introducing it into the DecoderKeyRegister;
- as the parent key for a DDTK;

- as the parent key for a DUTK, and
- as the parent key for a DCTK, but only in a payment meter using an erasable magnetic card as a token carrier (for TCT value = 01).

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens or via a manufacturer proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. The payment meter should only accept the DDTK, DUTK or DCTK encrypted under the DITK supplied by the manufacturer in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token format.

It is the responsibility of the manufacturer to ensure that appropriate security measures are applied to any DITK so that DDTK, DUTK or DCTK values encrypted with a DITK cannot be compromised.

A DITK can also be used to decrypt other meter-specific management functions. It can be used to decrypt an STS credit transfer function; in other words, a valid STS TransferCredit token can be decrypted and applied by a payment meter that contains a DITK in its key register in order to facilitate testing of the payment meter during production or repair.

6.5.2.3.3 DDTK: DecoderDefaultTransferKey

DDTK values are used to support payment meters allocated to a default SupplyGroup. A payment meter that has not been allocated to a Common SupplyGroup or a Unique SupplyGroup at the time of manufacture or repair cannot be loaded with its corresponding DCTK or DUTK value. Instead it is allocated to a Default group unique to each manufacturer and loaded with its corresponding DDTK value. Each MeterManufacturer receives a unique VDDK, from which he generates all DDTK values for installation into payment meters during manufacture.

Subsequently, at the time of installation or operation, a payment meter that has now been re-allocated to another specific SupplyGroup can be loaded with the corresponding DUTK or DCTK value, encrypted under its parent DDTK. DDTK values are the property of the respective MeterManufacturer and are managed within the KeyManagementSystem.

A DDTK is a secret value, and shall not be accepted by a payment meter as a plaintext value. A payment meter shall only load a DDTK if it is encrypted under the parent DecoderKey present in the DecoderKeyRegister.

A DDTK shall only be used for the following key management functions:

- as the parent key for another DDTK; in other words, to encrypt another DDTK for the purpose of introducing it into the DecoderKeyRegister;
- as the parent key for a DUTK, and
- as the parent key for a DCTK, but only in a payment meter using an erasable magnetic card as a token carrier (for TCT value = 01).

The above functions may be performed via the Set1stSectionDecoderKey and Set 2ndSectionDecoderKey tokens, or via a manufacturer's proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. A DDTK shall not be used to decrypt a DITK for the purpose of introducing it into the DecoderKeyRegister.

A DDTK can also be used to decrypt other meter-specific management functions. It shall not be used to decrypt and accept an STS credit transfer function; in other words, a valid TransferCredit token shall not be accepted by a payment meter that contains a DDTK in its DKR, even if the TransferCredit token has been encrypted with the same DDTK value.

NOTE The emphasis is on the acceptance and not on the decryption of the TransferCredit token.

Similarly a POS device used for encrypting tokens shall not encrypt TransferCredit tokens using DDTK values (see also 6.5.2.4).

6.5.2.3.4 DUTK: DecoderUniqueTransferKey

DUTK values are used to support payment meters allocated to a unique SupplyGroup. A payment meter that has been allocated to a unique SupplyGroup at the time of manufacture or repair can be loaded with its DUTK value that corresponds to the unique group and that has been encrypted under a parent DITK. Subsequently, at the time of installation or operation, a payment meter, which has to be re-allocated to another unique group can be loaded with the corresponding DUTK value, encrypted under a parent DUTK.

A DUTK is a secret value, and shall not be accepted by a payment meter as a plaintext value. A payment meter shall only load a DUTK if it has been encrypted under the parent DecoderKey present in the DecoderKeyRegister. DUTK values are the property of the respective utility and are managed within the KeyManagementSystem.

A purchased or repaired payment meter that leaves the manufacturer's premises may contain a DUTK value supplied by the KMC in the DecoderKeyRegister.

A DUTK shall only be used for the following key management functions:

- as the parent key for another DUTK; in other words, to encrypt another DUTK for the purpose of introducing it into the DecoderKeyRegister; and
- as the parent key for a DDTK.

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens, or via a manufacturer's proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. A DUTK shall not be used to decrypt a DITK or a DCTK for the purpose of loading it into the DecoderKeyRegister. Similarly a DUTK shall not be used to encrypt a DITK or a DCTK for the purpose of transferring it to the payment meter in the form of a token.

A DUTK can also be used to encrypt or decrypt other meter-specific management functions. It can be used to encrypt or decrypt a STS credit transfer function; in other words, a valid TransferCredit token can be encrypted or decrypted and applied by a payment meter that contains a DUTK in its DKR.

6.5.2.3.5 DCTK: DecoderCommonTransferKey

DCTK values are used to support payment meters that use erasable magnetic card token carriers (i.e. TCT value = 01) and that are allocated to common SupplyGroups. A payment meter that has been allocated to a common SupplyGroup at the time of manufacture or repair can be loaded with the DCTK value that corresponds to the common SupplyGroup and that has been encrypted under a parent DITK. Subsequently, at the time of installation or operation, a payment meter that has to be re-allocated to another common SupplyGroup can be loaded with the corresponding DCTK value that has been encrypted under a parent DCTK.

A DCTK shall only be used with payment meters that use erasable magnetic card token carriers (TCT value = 01) and shall only be accepted by such payment meters. Payment meters with any other token carrier types (TCT value > 01) shall reject tokens encrypted under DCTK values.

POS encryption devices shall not encrypt tokens using DCTK values other than for erasable magnetic card token carriers (TCT value = 01).

A DCTK is a secret value, and shall not be accepted by a payment meter as a plaintext value. A payment meter shall only load a DCTK if it has been encrypted under the parent

DecoderKey present in the DecoderKeyRegister. DCTK values are the property of the respective utility and are managed within the KeyManagementSystem.

A purchased or repaired payment meter with an erasable magnetic card token carrier (TCT value = 01) that leaves the manufacturer's premises may contain a DCTK value supplied by the KMC in the DecoderKeyRegister.

A DCTK shall only be used for the following key management functions:

- as the parent key for another DCTK; in other words, to encrypt another DCTK for the purpose of introducing it into the DecoderKeyRegister.
- as the parent key for a DDTK, and
- as the parent key for a DUTK.

The above functions may be performed via the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens, or via a manufacturer's proprietary loading mechanism that utilizes the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens. A DCTK shall not be used to decrypt a DITK for the purpose of introducing it into the DecoderKeyRegister. Similarly a DCTK shall not be used to encrypt a DITK for the purpose of transferring it to the payment meter in the form of a token.

A DCTK can also be used to encrypt or decrypt other meter-specific management functions. It can be used to encrypt or decrypt a STS credit transfer function; in other words, a valid TransferCredit token can be encrypted or decrypted and applied by a payment meter that contains a DCTK in its DKR and that uses a magnetic card token carrier (TCT value = 01).

6.5.2.4 State diagram for DecoderKey changes

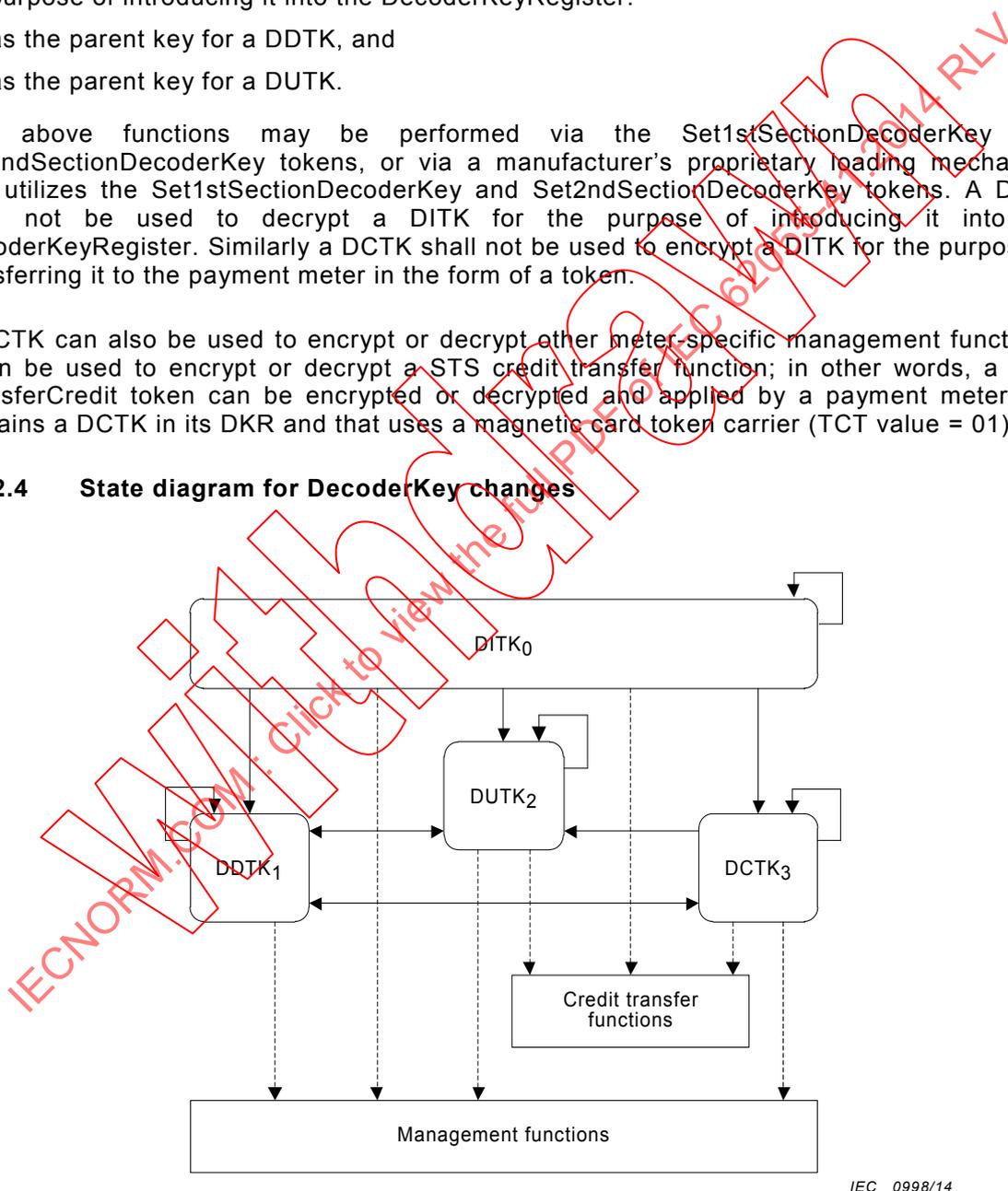


Figure 10 – DecoderKey changes – state diagram

Figure 10 illustrates the KT states that a DecoderKey may assume from time to time.

Where one key is used to encrypt another key (as in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair), the former is referred to as the parent key and the latter as the child key.

The solid line arrows indicate the direction in which a key may change from one type to another type. The type that it changes from is the parent key and the type that it changes to is the child key. To effect a change of the DecoderKey the new key (or child key) is encrypted with the parent key and then loaded into the payment meter by means of a Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair. The payment meter then replaces the parent key with the child key, which now becomes the new parent key.

The dotted line arrows indicate the function, for which a KT may be used, i.e. the values that it may encrypt or decrypt. For example, only a DITK, DUTK or DCTK can be used to encrypt or decrypt a credit transfer function, but all four types can be used to encrypt or decrypt meter-specific management functions.

Table 26 details the permitted key change state relationships and associated functions.

The child key rows refer to the permitted usage of decoder key types for encryption of DecoderKeys in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token management functions. Similarly, the management and credit rows detail the permitted usage of decoder key types for the encryption of the remaining meter-specific management functions and credit transfer functions respectively.

Table 26 – Permitted relationships between decoder key types

Child key	Permitted usage			
	Parent key			
	DITK ₀	DDTK ₁	DUTK ₂	DCTK ₃
DITK ₀	Yes	No	No	No
DDTK ₁	Yes	Yes	Yes	Yes ^a
DUTK ₂	Yes	Yes	Yes	Yes ^a
DCTK ₃	Yes ^a	Yes ^a	No	Yes ^a
Management function	Yes	Yes	Yes	Yes ^a
Credit function	Yes	No	Yes	Yes ^a

^a For payment meters with TCT = 01 only.

6.5.2.5 KeyRevisionNumber (KRN)

A KRN is associated with each VendingKey and a corresponding SGC by the KMS, and defines the revision or sequence of the VendingKey within the SupplyGroup to which it corresponds. It is a single decimal digit with a range of 1, 2..9. The KRN assigned to the first VendingKey for a SupplyGroup is 1. Successive VendingKeys are allocated successive revision numbers until revision number 9, at which stage the sequence begins at 1 again; in other words, at any given moment, there may be no more than 9 successive VendingKey revisions present for a given SupplyGroup. A KRN is also associated with each DecoderKey, and corresponds to that of the VendingKey from which it is generated.

The KRN is associated with each SupplyGroup by the KMS, and defines the current VendingKey revision and also the current DecoderKey revision, at which all payment meters within the SupplyGroup should be set. For any given payment meter, the SGC and KRN uniquely identify the revision of DecoderKey that it contains. This information is managed by the management system and if for any reason the KRN in the payment meter is not the same

as the vending KRN for the same SGC as recorded in the management system, this condition shall be corrected by means of an appropriate change of the DecoderKey.

A payment meter is required to store the KRN that corresponds to its current DecoderKey, as passed in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair (see also 7.3.2).

The concept of key revision only applies to vending key types and decoder key types. A DITK shall not be associated with a KRN.

For a given SupplyGroup there shall be a maximum of two active VendingKeys in the POS namely the CurrentKey and the OldKey. The OldKey will only be used to encrypt key change tokens to CurrentKey. The CurrentKey will be used to encrypt all tokens, apart from key change tokens to OldKey.

6.5.2.6 KeyExpiryNumber (KEN)

A KEN is associated with each VendingKey by the KMS, and defines the following:

- the time-period, after which the VendingKey expires, and may no longer be used by a POS to generate DecoderKeys for the purpose of encrypting TransferCredit tokens, or meter-specific management tokens that incorporate the TID field;
- the time-period, after which any DecoderKey generated from the VendingKey expires, and may no longer be used by a payment meter to accept TransferCredit tokens, or meter-specific management tokens that incorporate the TID field. Implementation of this by a payment meter is optional.

The required value of the KEN shall be transferred to the payment meter in the KENHO and KENLO fields of the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens respectively (see 6.2.7 and 6.2.8).

The KEN is an 8-bit number (range 0 – 255) that expresses this period as a displacement relative to the STS base date token identifier time stamp (see 6.3.5.1). Each unit in the KEN corresponds to a period of duration $2^{16}-1$ (65535) min, and there are 2^8 (256) of these periods numbered 0, 1..255 before the current STS base date time stamp is replaced by the next STS base time stamp. Thus the KEN corresponds to the most significant 8 bits of the 24-bit TID. Any token identifier whose most significant 8 bits are greater than a given key's KEN shall not be encrypted or decrypted with that key.

A POS may not issue a TransferCredit token encrypted under a DecoderKey whose corresponding VendingKey has expired. This is simple to verify by comparing the most significant 8 bits of the TID with the KEN corresponding to the VendingKey; if it is greater, the VendingKey has expired and may no longer be used to generate a DecoderKey to encrypt the TransferCredit token. It also cannot be used to generate a DecoderKey to encrypt any meter-specific management tokens that utilize the TID field. This does not apply to the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair that does not utilize the TID field. Hence, an expired DecoderKey can still be used to encrypt its replacement DecoderKey for the purpose of a DecoderKey change.

A payment meter can optionally implement key expiry and store the KEN that corresponds to its current DecoderKey, as passed in the Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair. All tokens that are entered into the payment meter, and that incorporate a token identifier field, are validated against this KEN. If the most significant 8 bits of the TID are greater than this KEN, the token shall be rejected.

Where implemented, the concept of key expiry only applies to VendingKey values of type VDDK, VUDK and VCDK, and DecoderKey values of type DDTK, DUTK and DCTK that can be generated from the corresponding vending key types. A DITK shall not be associated with a KEN.

The management of the KEN by the KMS shall comply with the relevant Code of practice.

See also C.2.4 for Code of practice on managing this data element.

6.5.3 DecoderKey generation

6.5.3.1 PANBlock construction

The 64-bit PANBlock is constructed from data elements extracted from the MeterPAN in the APDU as defined in Table 27 and Table 28.

The most significant digit is in position 15 and the least significant digit in position 0.

Table 27 – Definition of the PANBlock

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Value	I	I	I	I/D	I/D	D	D	D	D	D	D	D	D	D	D	D

Table 28 – Data elements in the PANBlock

Digit	Name	Format	Reference
I	IIN	Range 0 to 9 hex per digit	6.1.2.2
D	DRN	Range 0 to 9 hex per digit	6.1.2.3

Where the IIN is 6 digits long, the PANBlock is made up of the 5 least significant digits of the IIN and the 11 digits of the DRN. The 11 digits of the DRN take up positions 10 to 0 in the PANBlock and the 5 least significant digits of the IIN take up positions 15 to 11 in the PANBlock.

Where the IIN is 4 digits long, the PANBlock is made up of the 3 least significant digits of the IIN and the 13 digits of the DRN. The 13 digits of the DRN take up positions 12 to 0 in the PANBlock and the 3 least significant digits of the IIN take up positions 15 to 13 in the PANBlock.

If the IIN is of insufficient length to make up the 16 digits, the digits extracted are right justified within the block and padded on the left with zeroes (for example, for an IIN of 600727 and a DRN of 12345678903, the PANBlock is 0072712345678903).

For a DDTK or DUTK the actual designated DRN is used, but for a DCTK the DRN digits are set to zeros in the PANBlock (for example, for a IIN of 600727, the PANBlock is 0072700000000000).

6.5.3.2 CONTROLBlock construction

The 64-bit CONTROLBlock is constructed from the data elements in the APDU as defined in Table 29 and Table 30.

The most significant digit is in position 15 and the least significant digit in position 0.

Table 29 – Definition of the CONTROLBlock

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Value	C	S	S	S	S	S	S	T	T	R	F	F	F	F	F	F

Table 30 – Data elements in the CONTROLBlock

Digit	Name	Format	Reference
C	KT digit	Range 0 to 3 hex per digit, 4 to F hex = reserved	6.1.9
S	SGC digit	Range 0 to 9 hex per digit	6.1.6
T	TariffIndex digit	Range 0 to 9 hex per digit	6.1.7
R	KRN digit	Range 1 to 9 hex per digit	6.1.8
F	Pad value digit	Always F hex per digit	x

6.5.3.3 DKGA01: DecoderKeyGenerationAlgorithm01

This DecoderKeyGenerationAlgorithm01 is to be used on a small limited set of defined DRN values only. It is included in this standard to maintain backward compatibility with a limited number of legacy STS-compliant payment meters of an early generation also using the STA (EA code 07). The POSApplicationProcess gives the appropriate directive by means of the DKGA code in the APDU.

The DecoderKey is diversified from a 64-bit single DES VendingKey value.

This DecoderKeyGenerationAlgorithm01 is applicable to all payment meters that meet all of the following criteria:

- using IIN = 600727;
- and the KRN = 1;
- and the KT = 1 or 2 (default or unique);
- and the EA code 07 (STA)
- and the DRN falls within the ranges listed in Table 31.

Table 31 – Range of applicable decoder reference numbers

Decoder reference numbers		
0109000000X	to	0109000499X
0100000000X	to	0100499999X
0300000000X	to	0311400000X
0400000000X	to	0405999999X
0601000000X	to	0603999999X
0640000000X	to	0641999999X
0666000000X	to	0669999999X
0699000001X	to	0699000999X
0700000000X	to	0702099999X
NOTE X is a check digit, the value of which varies in accordance with the value of the preceding 10 digits (see 6.1.2.3)		

This DecoderKeyGenerationAlgorithm01 is also applicable to all payment meters that meet all of the following criteria:

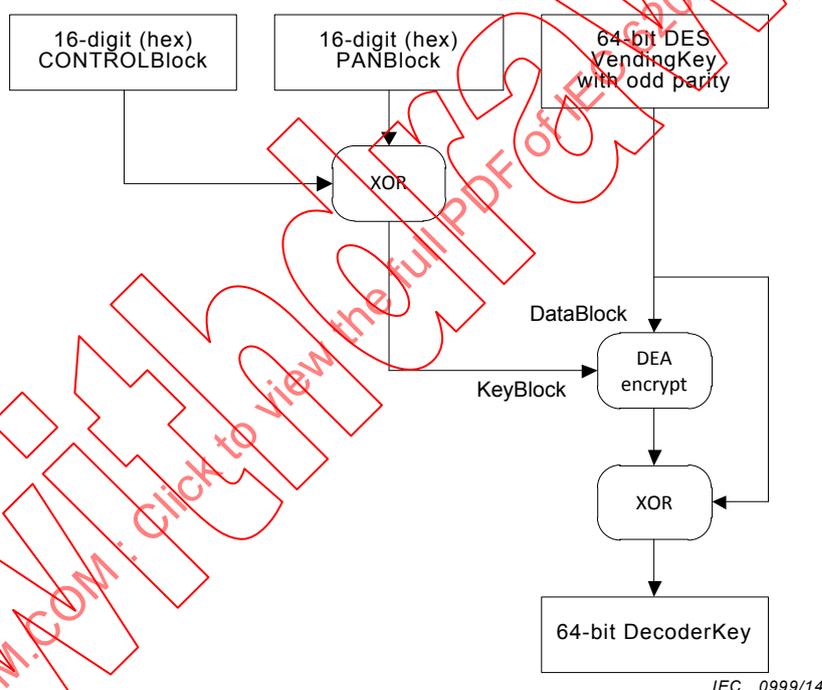
- using IIN = 600727;
- and the KRN = 1;
- and the KT = 3 (common);

- and the EA code 07 (STA);
- and coded with one of the SGC values listed in Table 32.

Table 32 – List of applicable supply group codes

Supply group code
100702
990400
990401
990402
990403
990404
990405

The process flow for the DKGA01 is shown in Figure 11.

**Figure 11 – DecoderKeyGenerationAlgorithm01**

Construct the 64-bit PANBlock and the 64-bit CONTROLBlock as defined in 6.5.3.1 and 6.5.3.2.

The encryption algorithm is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES VendingKey with odd parity.

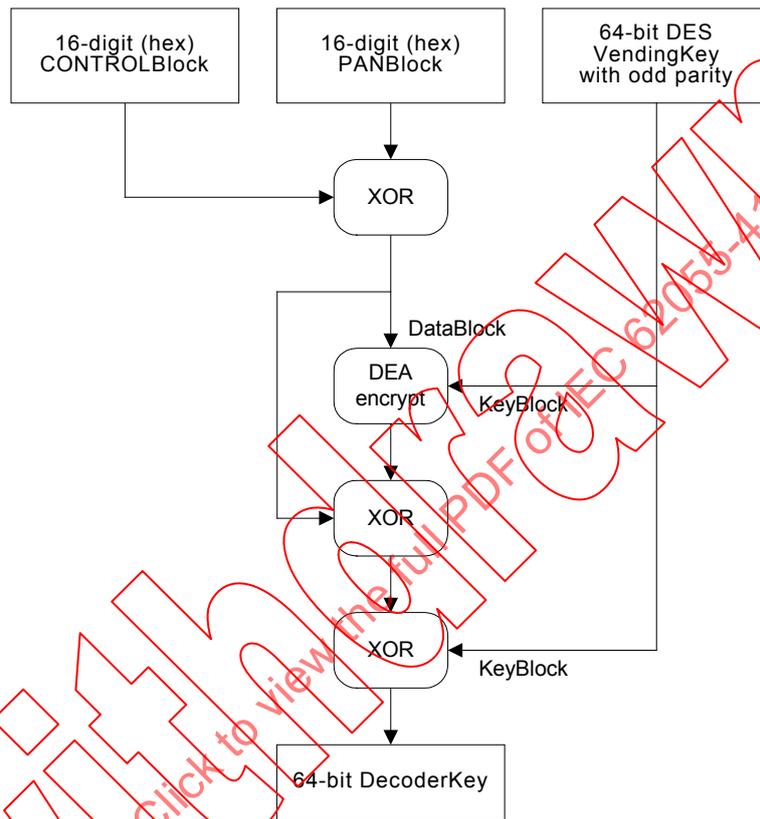
In this instance the 64-bit DES VendingKey is used as the conventional DataBlock input to the DEA, while the resultant XOR of the CONTROLBlock with the PANBlock is used as the conventional KeyBlock input to the DEA. In other words, the data and key input blocks are swapped with respect to the conventional configuration.

6.5.3.4 DKG02: DecoderKeyGenerationAlgorithm02

The DecoderKeyGenerationAlgorithm02 may be used for all payment meters that do not meet the criteria for selecting DecoderKeyGenerationAlgorithm01. The POS ApplicationProcess gives the appropriate directive by means of the DKG code in the APDU.

The DecoderKey is diversified from a 64-bit single DES VendingKey value.

The process flow for the DKG02 is shown in Figure 12.



IEC 1000/14

Figure 12 – DecoderKeyGenerationAlgorithm02

Construct the 64-bit PANBlock and the 64-bit CONTROLBlock as defined in 6.5.3.1 and 6.5.3.2.

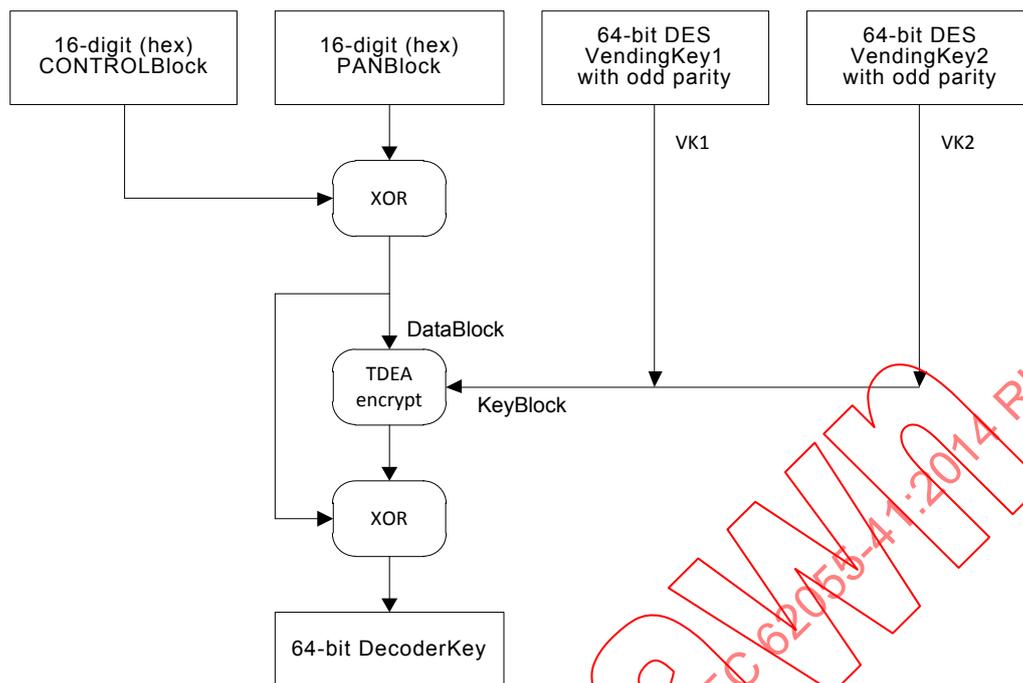
Encryption is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES VendingKey with odd parity.

6.5.3.5 DKG03: DecoderKeyGenerationAlgorithm03

The DecoderKeyGenerationAlgorithm03 may be used for all payment meters that do not meet the criteria for selecting DecoderKeyGenerationAlgorithm01. The POSApplicationProcess gives the appropriate directive by means of the DKG code in the APDU.

The DecoderKey is diversified from two 64-bit DES VendingKey values.

The process flow for the DKG03 is shown in Figure 13.



IEC 1001/14

Figure 13 – DecoderKeyGenerationAlgorithm03

Construct the 64-bit PANBlock and the 64-bit CONTROLBlock as defined in 6.5.3.1 and 6.5.3.2.

Encryption is TDEA in accordance with FIPS PUB 46-3, triple DES in ECB mode, using two 64-bit DES VendingKey values VK1 and VK2 with odd parity.

The operation is: encrypt with VK1, decrypt with VK2, encrypt with VK1.

6.5.4 STA: EncryptionAlgorithm07

6.5.4.1 Encryption process

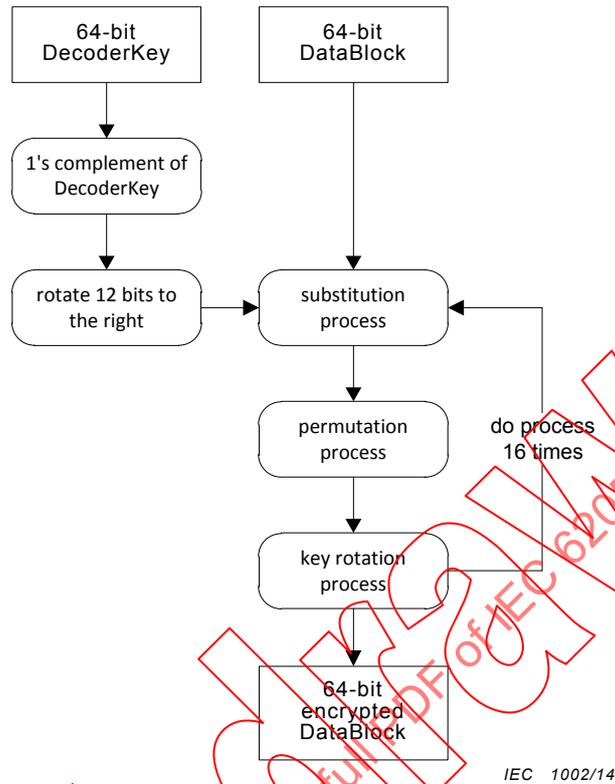


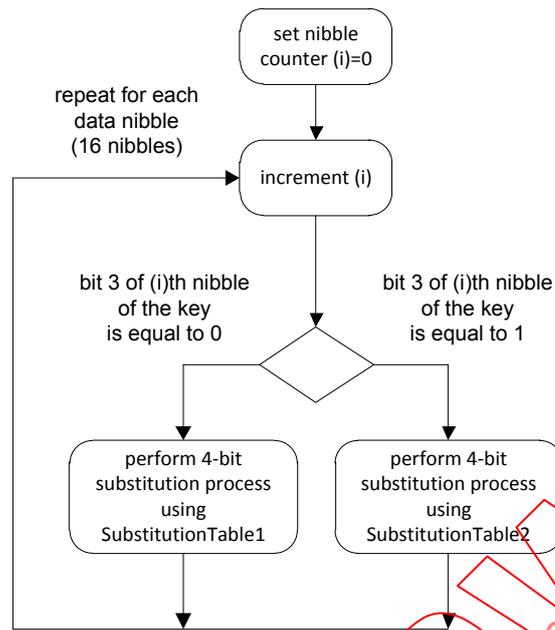
Figure 14 – STA: EncryptionAlgorithm07

The Standard Transfer Algorithm encryption process is shown in Figure 14, which comprises a key alignment process and 16 iterations of a substitution, permutation and key rotation process.

The POSApplicationProcess gives the appropriate directive by means of the EA code in the APDU.

6.5.4.2 Substitution process

The encryption substitution process is illustrated in Figure 15.



IEC 1003/14

Figure 15 – STA encryption substitution process

There is a 4-bit substitution process for each of the 16 nibbles in the data stream. The substitution table used is one of two 16-value substitution tables and is dependent on the most significant bit setting of the corresponding nibble in the key. A sample substitution table is given in Table 33.

Table 33 – Sample substitution tables

SubstitutionTable1	12, 10, 8, 4, 3, 15, 0, 2, 14, 1, 5, 13, 6, 9, 7, 11
SubstitutionTable2	6, 9, 7, 4, 3, 10, 12, 14, 2, 13, 1, 15, 0, 11, 8, 5
NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).	

The first entry in the substitution table corresponds to entry position 0 and the last to entry position 15.

Use the value of the data nibble as an index to an entry position in the substitution table; then replace the nibble value with the value from the substitution table found at that entry position. For example: if the value of the data nibble is 8 and we are using SubstitutionTable1, then the entry at position 8 is the value 14, thus replace the data nibble value with the value 14.

6.5.4.3 Permutation process

The encryption permutation process is illustrated in Figure 16.

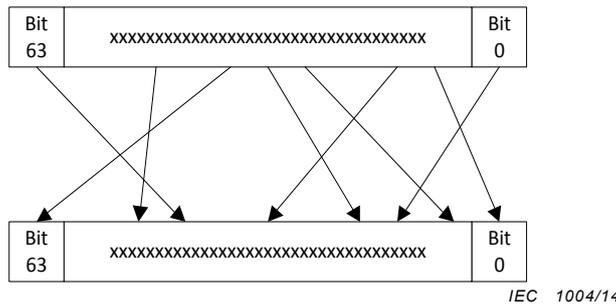


Figure 16 – STA encryption permutation process

A sample permutation table is given in Table 34.

Table 34 – Sample permutation table

PermutationTable3	29, 27, 34, 9, 16, 62, 55, 2, 40, 49, 38, 25, 33, 61, 30, 23, 1, 41, 21, 57, 42, 15, 5, 58, 19, 53, 22, 17, 48, 28, 24, 39, 3, 60, 36, 14, 11, 52, 54, 12, 31, 51, 10, 26, 0, 45, 37, 43, 44, 6, 59, 4, 7, 35, 56, 50, 13, 18, 32, 47, 46, 63, 20, 8
NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).	

The first entry in the permutation table corresponds to the least significant bit position 0 in the DataBlock and the last entry to the most significant bit position 63 in the DataBlock.

Use the bit position of the source DataBlock as an index into the permutation table; then use the value found in the permutation table at that entry position as a pointer to the bit position in the destination DataBlock. For example: for the source DataBlock bit position 7 corresponds to the value 2 in the permutation table, thus the value of bit 7 from the source DataBlock is placed in bit position 2 in the destination DataBlock.

6.5.4.4 Key rotation process

The entire key is rotated one bit position to the left as illustrated in Figure 17.

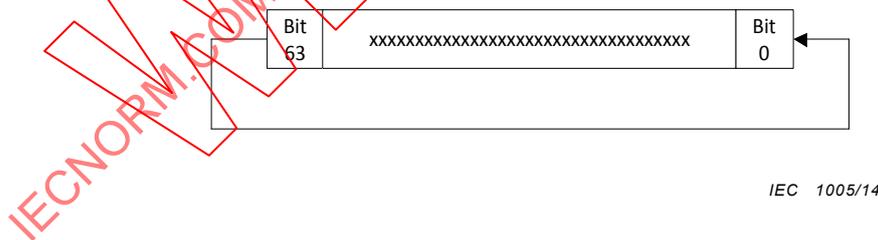
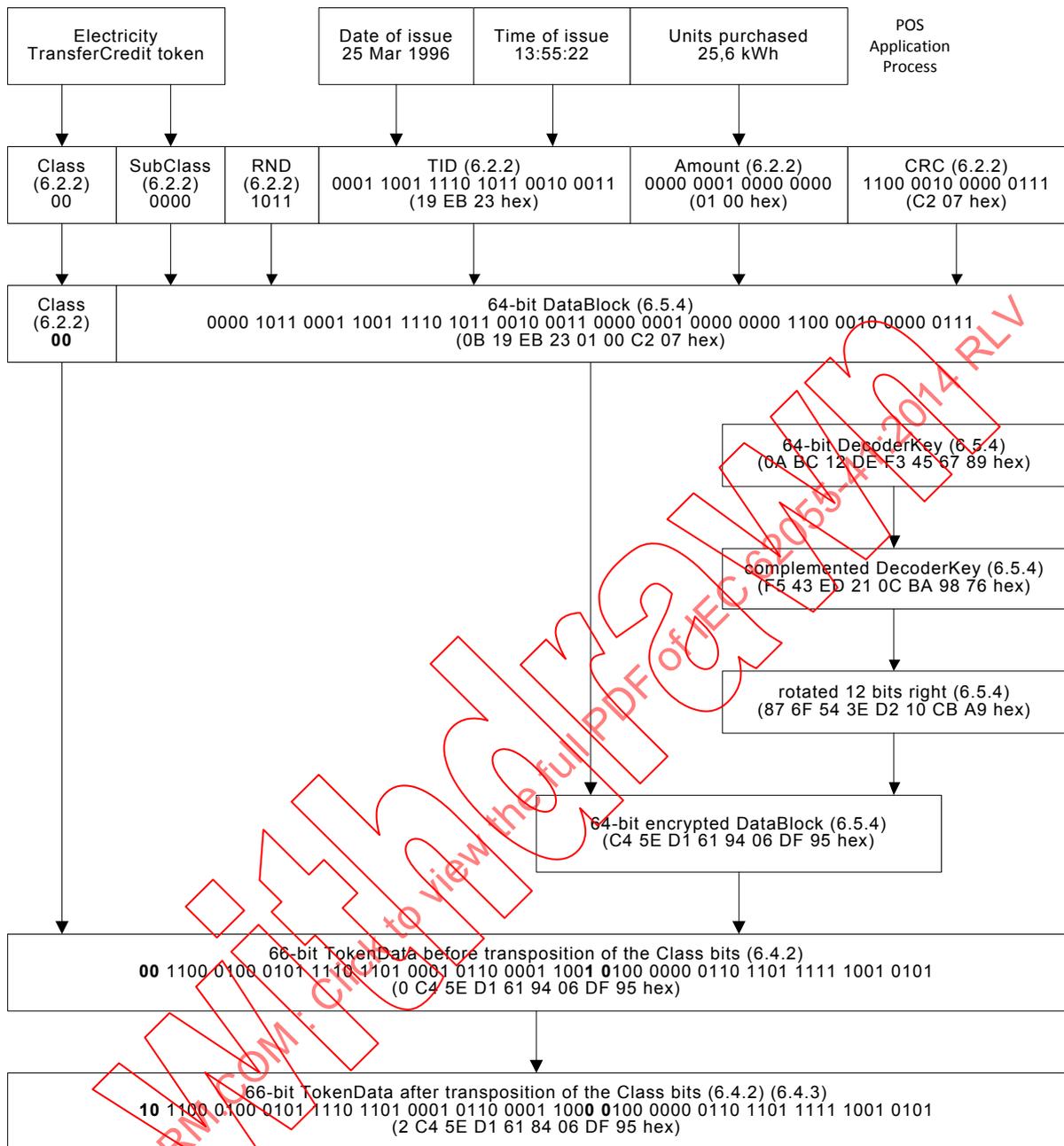


Figure 17 – STA encryption DecoderKey rotation process

6.5.4.5 Worked example to generate TokenData for a TransferCredit token using the STA

A worked example using the sample substitution and permutation tables is illustrated in Figure 18.



IEC 1006/14

Figure 18 – STA encryption worked example for TransferCredit token

6.5.5 DEA: EncryptionAlgorithm09

The encryption process using the DEA is shown in Figure 19.

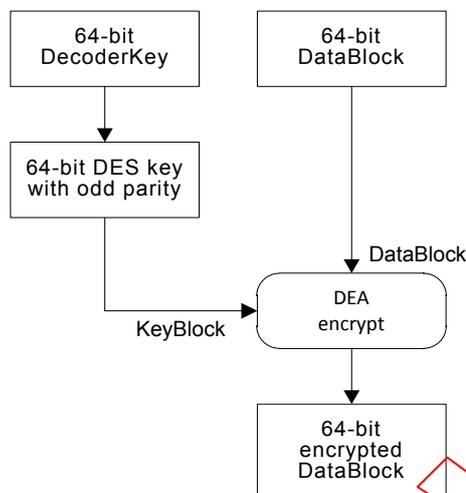


Figure 19 – DEA: EncryptionAlgorithm09

The DEA is a 64-bit block cipher in accordance with FIPS PUB 46-3 operating in ECB mode. The POSApplicationProcess gives the appropriate directive by means of the EA code in the APDU.

The 64-bit DecoderKey is produced with DecoderKeyGenerationAlgorithm02 or with DecoderKeyGenerationAlgorithm03 (see 6.5.3.4 and 6.5.3.5).

The DecoderKey is converted into a 64-bit DES Key with odd parity in accordance with FIPS PUB 46-3 by changing every eighth bit into a parity bit, starting with the least significant bit. Thus, bit 0, bit 8, bit 16, bit 24, bit 32, bit 40, bit 48 and bit 56 are converted into parity bits, where bit 0 is the least significant bit.

Encryption is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES Key with odd parity.

7 TokenCarrierToMeterInterface application layer protocol

7.1 APDU: ApplicationProtocolDataUnit

7.1.1 Data elements in the APDU

The APDU is the data interface between the MeterApplicationProcess and the application layer protocol and comprises the data elements given in Table 35.

Table 35 – Data elements in the APDU

Element	Context	Format	Reference
Token	The TokenData from the TCDU after decryption and processing; now presented to the MeterApplicationProcess in the APDU	66 bits	7.1.2
AuthenticationResult	Status indicator to the MeterApplicationProcess to convey the result from the initial authentication checks		7.1.3
ValidationResult	Status indicator to the MeterApplicationProcess to convey the result from the initial validation checks		7.1.4
TokenResult	Status indicator from the MeterApplicationProcess to convey the result after processing the token so that the application layer protocol can take the appropriate action		7.1.5

7.1.2 Token

The TokenData from the TCDU after decryption and processing; now presented to the MeterApplicationProcess in the APDU.

The actual 66-bit token as originally entered into the APDU by the MeterApplicationProcess. The MeterApplicationProcess is now able to process it further. See 6.2.1 for the detailed definition of this data element.

7.1.3 AuthenticationResult

A status indicator to tell the MeterApplicationProcess that the initial authentication checks (see 7.3.5) passed or failed, in order that the MeterApplicationProcess can respond appropriately. Possible values are given in Table 36.

Table 36 – Possible values for the AuthenticationResult

Value	Context	Format	Reference
Authentic	The authentication test passed or failed False if any one of the below error codes is indicated True if none of the below error codes is indicated	boolean	7.3.5
CRCErrror	The CRC value in the token is different to the CRC value as calculated from the data in the token	boolean	7.3.5
MfrCodeError	The MfrCode value in the Class 1 token does not match the MfrCode value for the Decoder	boolean	7.3.5

7.1.4 ValidationResult

A status indicator to tell the MeterApplicationProcess that the initial validation checks (see 7.3.6) passed or failed, in order that the MeterApplicationProcess can respond appropriately. Possible values are given in Table 37.

Table 37 – Possible values for the ValidationResult

Value	Context	Format	Reference
Valid	The Validation test passed or failed False if any one of the below error codes is indicated True if none of the below error codes is indicated	boolean	7.3.6
OldError	The TID value as recorded in the token is older than the oldest value of recorded values recorded in the memory store of the payment meter	boolean	7.3.6
UsedError	The TID value as recorded in the token is already recorded in the memory store of the payment meter	boolean	7.3.6
KeyExpiredError	The TID value as recorded in the token is larger than the KEN stored in the payment meter memory	boolean	7.3.6
DDTKError	The Decoder has a DDTK value in the DKR; a TransferCredit token may not be processed by the MeterApplicationProcess in accordance with the rules given in 6.5.2.3.3	boolean	7.3.6

7.1.5 TokenResult

After the MeterApplicationProcess has executed the instruction contained in the token, the TokenResult value reflects the outcome. The application layer protocol may then take the appropriate action to complete the token reading process, which may include accepting the token (and storing of the TID), rejection of the token, erasure of token data from the TokenCarrier, etc. Possible values are given in Table 38.

Table 38 – Possible values for the TokenResult

Value	Context	Format	Reference
Accept	The token was successfully processed False if any one of the below error codes is indicated True if none of the below error codes is indicated	boolean	8.2
1stKCT	The MeterApplicationProcess indicates that this is the Set1stSectionDecoderKey token of the pair of key change tokens being read; the token is provisionally accepted	boolean	8.2
2ndKCT	The MeterApplicationProcess indicates that this is the Set2ndSectionDecoderKey token of the pair of key change tokens being read; the token is provisionally accepted	boolean	8.2
OverflowError	The credit register in the payment meter would overflow if the token were to be accepted; the token is not accepted	boolean	8.2
KeyTypeError	The key may not be changed to this type in accordance with the key change rules given in 6.5.2.4.	boolean	8.2
FormatError	One or more data elements in the token does not comply with the required format for that element	boolean	8.2
RangeError	One or more data elements in the token have a value that is outside of the defined range of values defined in the application for that element	boolean	6.3
FunctionError	The particular function to execute the token is not implemented	boolean	8.2

7.2 APDUExtraction functions

7.2.1 Extraction process

The process of extracting the APDU from the TCDU is shown in Figure 20.

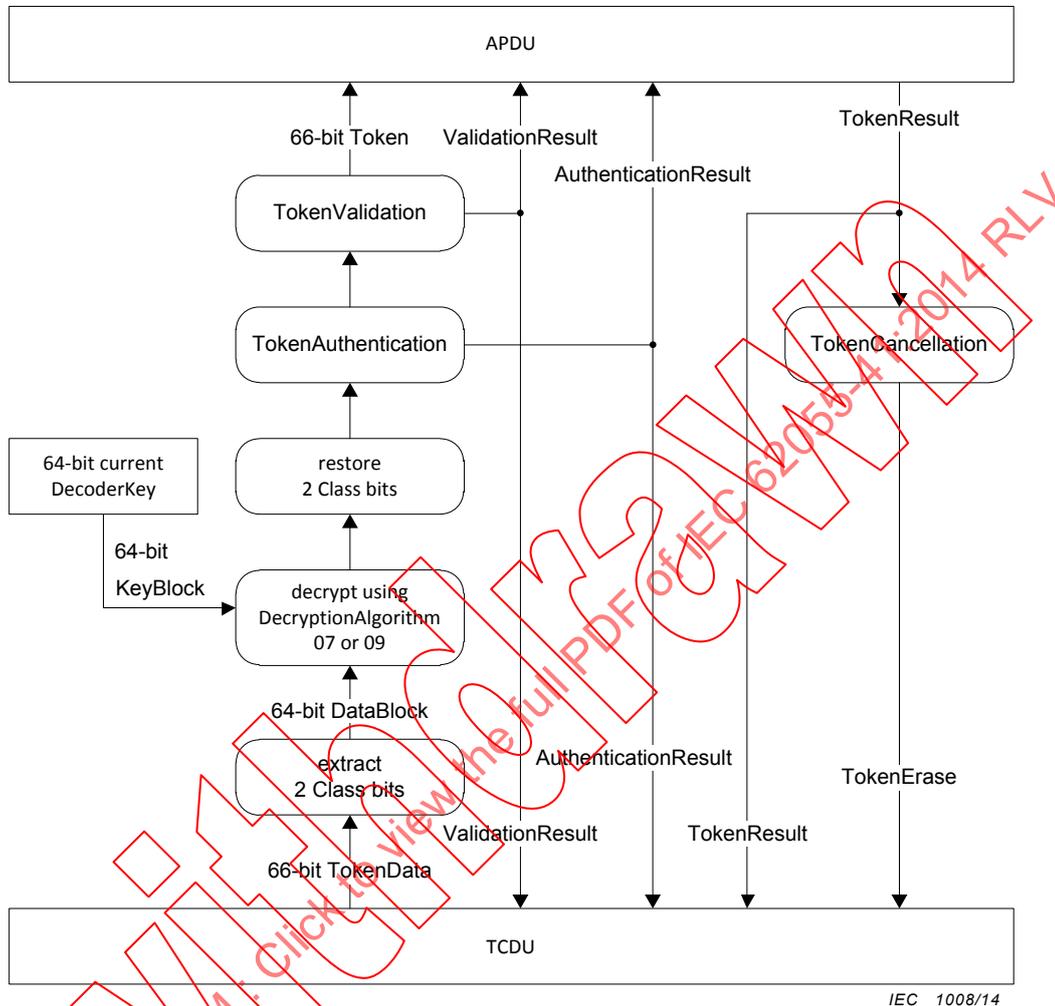


Figure 20 – APDUExtraction function

The APDUExtraction function extracts the 66-bit TokenData from the TCDU, decrypts and processes it before presenting the result in the APDU to the MeterApplicationProcess. It finally cancels and optionally causes the token data to be erased from the TokenCarrier in response to the result from the MeterApplicationProcess.

7.2.2 Extraction of the 2 Class bits

This function is used by other APDUExtraction functions (see 7.2.3 to 7.2.5). It removes the 2 Class bits from the 66-bit data stream to make a 64-bit number according to the method outlined in Figure 21 and is the inverse of 6.4.2.

The 66-bit number has its least significant bit in bit position 0 and its most significant bit in bit position 65. The 2-bit token Class value is extracted from bit positions 28 and 27. The values of bit positions 65 and 64 are relocated to bit positions 28 and 27. The most significant bit of the token Class comes from original bit position 28.

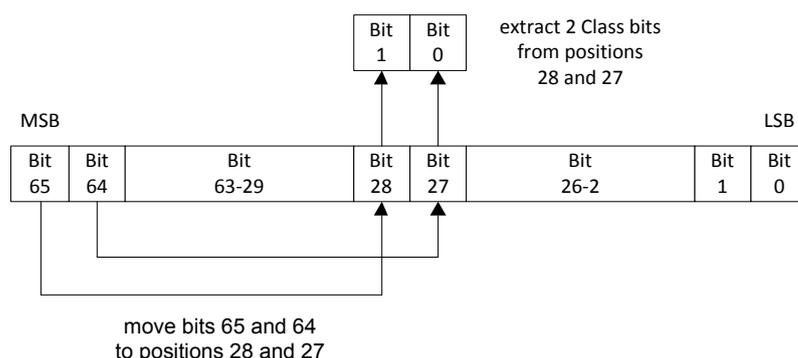


Figure 21 – Extraction of the 2 Class bits

Example: Extraction of the token Class = 01 (binary)

Extract the 2 Class bits from bit positions 28 and 27 (in bold):

00 0110 0101 0100 0011 0010 0001 0000 1001 1000 **1111 0110 0101 0100 0011 0010 0001**

Move bits 65 and 64 into bit positions 28 and 27 (in bold):

00 0110 0101 0100 0011 0010 0001 0000 1001 1000 **0111 0110 0101 0100 0011 0010 0001**

The resultant 64-bit binary number grouped in nibble (Bits 27 and 28 highlighted in bold):

0110 0101 0100 0011 0010 0001 0000 1001 1000 **0111 0110 0101 0100** 0011 0010 0001

7.2.3 APDU Extraction function for Class 0 and Class 2 tokens

This is the transfer function from the TCDU to the APDU and is applicable to all Class 0 and 2 tokens, except for the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens (see 7.2.5).

NOTE 1 The data elements in the APDU are defined in 7.1.1.

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function for Class 0 and Class 2 tokens is outlined as follows:

- the 2 Class bits are extracted from the 66-bit TokenData using the method in 7.2.2 to yield a 64-bit result, which is then presented to the decryption algorithm as its DataBlock input. Note that it is the responsibility of the POS to keep record of which specific decryption algorithm is in use in each particular payment meter (see 6.1.5 EA). The decryption algorithm and encryption algorithm are complementary and thus share the same EA code;
- the KeyBlock input for the decryption algorithm contains the current value of the DecoderKey, which is obtained from the DecoderKeyRegister in the payment meter secure memory;
- after decryption the 2 Class bits are again re-inserted into the 64-bit number to make a 66-bit number. The most significant bit of the 2 Class bits goes into bit position 65 and the least significant Class bit goes into bit position 64;
- the 66-bit token is authenticated in accordance with 7.3.5 and the result is indicated in the AuthenticationResult field of the APDU;
- the 66-bit token is validated in accordance with 7.3.6 and the result is indicated in the ValidationResult field of the APDU and the 66-bit token is placed in the Token field of the APDU;

- the MeterApplicationProcess processes the Token from the APDU and indicates the result in the TokenResult field of the APDU (see also 8.2). It is the responsibility of the MeterApplicationProcess to deal with display messages and indicators (see also 8.3) to the user and not the application layer protocol;
- if the TokenResult indicates Accept (see 7.1.5 and 8.2), then the Token is cancelled in accordance with 7.3.7 and the instruction is given in the TokenErase field of the TCDU to erase the data from the TokenCarrier.

NOTE 3 It is the responsibility of the physical layer protocol to decide whether the erase instruction is applicable or not in accordance with its specific implementation and TCT (see for example Clause 6 of IEC 62055-51:2007).

7.2.4 APDUExtraction function for Class 1 tokens

The APDUExtraction function for Class 1 tokens is identical to that of the Class 0 and Class 2 tokens, except that the decryption step is not performed.

7.2.5 APDUExtraction function for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens

This is the transfer function from the TCDU to the APDU and is applicable to the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

NOTE 1 The data elements in the APDU are defined in 7.1.1.

NOTE 2 The data elements in the TCDU are defined in each part of the IEC 62055-5x series physical layer protocol standard relevant to the specific TCT of interest.

The transfer function for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens is outlined as follows:

- the 2 Class bits are extracted from the 66-bit TokenData using the method in 7.2.2 to yield a 64-bit result, which is then presented to the decryption algorithm as its DataBlock input. Note that it is the responsibility of the POS to keep record of which specific decryption algorithm is in use in each particular payment meter (see 6.1.5 EA). The decryption algorithm and encryption algorithm are complementary and thus share the same EA code;
- the KeyBlock input for the decryption algorithm contains the current value of the DecoderKey, which is obtained from the DecoderKeyRegister in the payment meter secure memory;
- after decryption, the 2 Class bits are again re-inserted into the 64-bit number to make a 66-bit number. The most significant bit of the 2 Class bits goes into bit position 65 and the least significant Class bit goes into bit position 64;
- the 66-bit token is authenticated in accordance with 7.3.5 and the result is indicated in the AuthenticationResult field of the APDU;
- the 66-bit token is not validated in the application layer protocol, but only in the MeterApplicationProcess. The 66-bit token is placed in the Token field of the APDU;
- the MeterApplicationProcess processes the Token from the APDU and indicates the result in the TokenResult field of the APDU (see also 8.2). It is the responsibility of the MeterApplicationProcess to deal with display messages and indicators (see also 8.3) to the user and not the application layer protocol;
- if the TokenResult indicates 1stKCT or 2ndKCT (see 7.1.5 and 8.2) then the instruction to erase the data from the TokenCarrier is not given in the TokenErase field of the TCDU;
- if the TokenResult indicates Accept (see 7.1.5 and 8.2) then the instruction to erase the data from the TokenCarrier is given in the TokenErase field of the TCDU.

The Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens may be entered in any order (see 8.9), but only the last one shall be erased.

NOTE 3 It is the responsibility of the physical layer protocol to decide whether the erase instruction is applicable or not, in accordance with its specific implementation and TCT (see for example Clause 6 of IEC 62055-51:2007).

7.3 Security functions

7.3.1 Key attributes and key changes

7.3.1.1 Key change requirements

The payment meter shall comply with the relevant requirements of 6.5.2, 7.3.1.2 and 7.3.1.3.

7.3.1.2 Key change processing without key expiry

The following defines the key change processing required if key expiry is not implemented in the payment meter:

- compare the KT value on the token against the KT value in the payment meter:
 - if KT values are equal, change the DecoderKeyRegister content, decoder KRN and payment meter TI to the corresponding new values on the token;
 - if KT values are not equal, validate KT rules (see 6.5.2.4):
 - a) if key change is allowed, change the DecoderKeyRegister content, decoder KRN, decoder KT and payment meter TI to the corresponding new values on the token;
 - b) if key change is not allowed, reject the key change operation.

7.3.1.3 Key change processing with key expiry

The following defines the key change processing required if key expiry is implemented in the payment meter:

- compare the token KT value against the decoder KT value:
 - if KT values are equal, change the DecoderKeyRegister content, decoder KEN, decoder KRN and payment meter TI to the corresponding token values;
 - if KT values are not equal, validate KT rules (see 6.5.2.4):
 - a) if key change is allowed, change the DecoderKeyRegister content, decoder KEN, decoder KRN, decoder KT and payment meter TI to the corresponding token values;
 - b) if key change is not allowed, reject the key change operation.

7.3.2 DKR: DecoderKeyRegister

The payment meter shall store the values given in Table 39 in secure non-volatile memory.

Table 39 – Values stored in the DKR

Value	Reference
DecoderKey	6.5.2.3.3, 6.5.3
TI	6.1.7
KRN	6.1.8
KT	6.1.9
KEN (optional)	6.1.10
The TI may be associated with a Tariff table that is managed outside of the domain of the payment meter. This implies that should a utility make use of the association, then the payment meter would require a key change each time that the customer is associated with a different tariff structure.	

In all cases where the payment meter provides configuration information, the KT shall be considered part of the KeyRevisionNumber information. The payment meter shall therefore always provide the KT information together with, or else directly after, the KRN information.

7.3.3 STA: DecryptionAlgorithm07

7.3.3.1 Decryption process

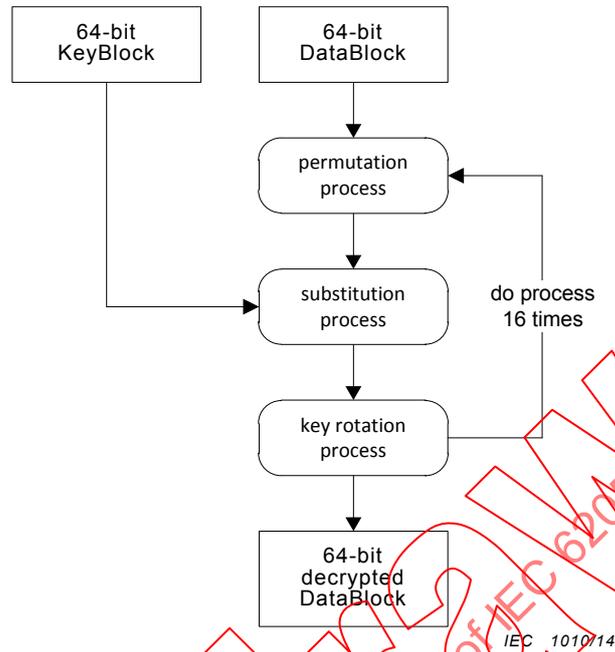


Figure 22 – STA DecryptionAlgorithm07

The Standard Transfer Algorithm decryption process is shown in Figure 22, which comprises a key alignment process and 16 iterations of a permutation, substitution and key rotation process.

The decryption algorithm and encryption algorithm are complementary and thus share the same EA code.

7.3.3.2 Permutation process

The decryption permutation process is illustrated in Figure 23.

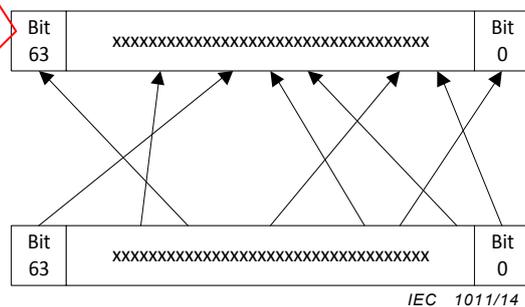


Figure 23 – STA decryption permutation process

A sample permutation table is given in Table 40.

Table 40 – Sample permutation table

PermutationTable4	44, 16, 7, 32, 51, 22, 49, 52, 63, 3, 42, 36, 39, 56, 35, 21, 4, 27, 57, 24, 62, 18, 26, 15, 30, 11, 43, 1, 29, 0, 14, 40, 58, 12, 2, 53, 34, 46, 10, 31, 8, 17, 20, 47, 48, 45, 60, 59, 28, 9, 55, 41, 37, 25, 38, 6, 54, 19, 23, 50, 33, 13, 5, 61
--------------------------	--

NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).

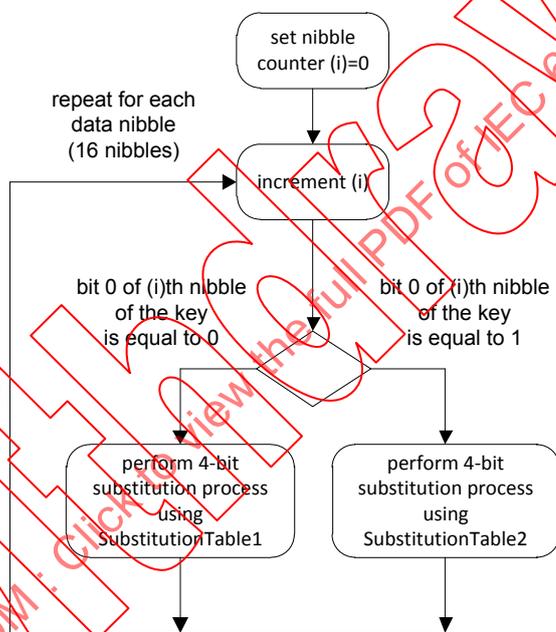
The first entry in the permutation table corresponds to the least significant bit position 0 in the DataBlock and the last entry to the most significant bit position 63 in the DataBlock.

Use the bit position of the source DataBlock as an index into the permutation table; then use the value found in the permutation table at that entry position as a pointer to the bit position in the destination DataBlock. For example: for the source DataBlock bit position 7 corresponds to the value 52 in the permutation table, thus the value of bit 7 from the source DataBlock is placed in bit position 52 in the destination DataBlock.

It can be seen that this gives the inverse result of the process in 6.5.4.3.

7.3.3.3 Substitution process

The decryption substitution process is illustrated in Figure 24.



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Figure 24 – STA decryption substitution process

There is a 4-bit substitution process for each of the 16 nibbles in the data stream. The substitution table used is one of two 16-value substitution tables and is dependent on the least significant bit setting of the corresponding nibble in the key. A sample substitution table is given in Table 41.

Table 41 – Sample substitution tables

SubstitutionTable1	12, 10, 8, 4, 3, 15, 0, 2, 14, 1, 5, 13, 6, 9, 7, 11
SubstitutionTable2	6, 9, 7, 4, 3, 10, 12, 14, 2, 13, 1, 15, 0, 11, 8, 5
NOTE This table contains only sample values (see Clause C.5 for access to table with actual values).	

The first entry in the substitution table corresponds to entry position 0 and the last to entry position 15.

7.3.4 DEA: DecryptionAlgorithm09

The decryption process using the DEA is shown in Figure 27.

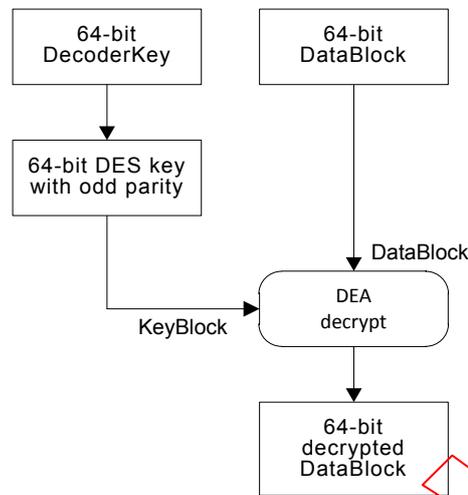


Figure 27 – DEA DecryptionAlgorithm09

The DEA is a 64-bit block cipher in accordance with FIPS PUB 46-3 operating in ECB mode.

The DecoderKey is converted into a 64-bit DES Key with odd parity in accordance with FIPS PUB 46-3 by changing every eighth bit into a parity bit, starting with the least significant bit. Thus, bit 0, bit 8, bit 16, bit 24, bit 32, bit 40, bit 48 and bit 56 are converted into parity bits, where bit 0 is the least significant bit.

The decryption algorithm and encryption algorithm are complementary and thus share the same EA code.

Decryption is DEA in accordance with FIPS PUB 46-3, single DES in ECB mode, using a single 64-bit DES Key with odd parity.

7.3.5 TokenAuthentication

Validating the CRC checksum after decryption shall authenticate Class 0 and Class 2 tokens.

Validating the CRC and the MfrCode shall authenticate Class 1 tokens.

In the case of a Class 0 or a Class 2 token the AuthenticationResult status shall indicate Authentic when the following condition is met:

- the CRC checksum in the token has the same value as that calculated from the data elements in the token.

If the above condition is not met, then the AuthenticationResult status shall indicate CRCError.

In the case of a Class 1 token the AuthenticationResult status shall indicate Authentic when both of the following conditions are met:

- the CRC checksum in the token has the same value as that calculated from the data elements in the token;
- The MfrCode value in the token is the same as the MfrCode as defined in 6.2.3.

If any of the above conditions are not met, then the AuthenticationResult status shall indicate CRSError, or MfrCodeError, or both.

If the token cannot be authenticated, it shall be rejected in accordance with the requirements given in 8.2 and 8.3.

7.3.6 TokenValidation

Class 0 and Class 2 tokens shall primarily be validated against the TID encoded in the token, except for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens are validated by the MeterApplicationProcess once the payment meter has read both tokens and combined them into the new DecoderKey. See 8.2 for acceptance and rejection requirements of the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

If key expiry is implemented in the payment meter, then the KEN stored in the payment meter shall also be used to validate tokens of Class 0 and Class 2 (see 6.5.2.6.), except for Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

A status of Valid shall be indicated if none of the following conditions are true:

- If a TID is received that has a value smaller than the smallest value of TID stored in the memory store (in other words, that was issued by a POS on a date before the earliest TID stored in the memory store), then such token containing this TID shall be rejected and indicate such condition as an OldError status (see 7.1.4);
- If a TID is received that is already stored in the memory store (see 7.3.7), the token shall be rejected and indicate such condition as a UsedError status (see 7.1.4);
- If key expiry is implemented in the payment meter and a TID is received that is greater than the KEN in the Decoder, the token shall be rejected and indicate such condition as a KeyExpiredError status (see 7.1.4);
- If a Class 0 token is presented to the Decoder with a DDTK value in the DKR, the token shall be rejected (see 6.5.2.3.3) and indicate such condition as a DDTKError status (see 7.1.4).

See also 8.2 and 8.3 for acceptance, rejection and indication requirements in the MeterApplicationProcess.

A payment meter loaded with a DDTK value shall accept all the relevant "non-meter-specific management tokens" (Class 1 tokens) as well as Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens encrypted under a DDTK.

7.3.7 TokenCancellation

Cancellation of a token shall be by means of storing the TID associated with that token in a secure non-volatile memory store in addition to erasure of the token data record from magnetic card token carriers (see 6.1.3 and 6.2.5 of IEC 62055-51:2007).

A time-based TID is used to uniquely identify each Class 0 and Class 2 token (except for the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens). The payment meter shall store, in a secure non-volatile memory store, at least the last 50 TID values received.

If a valid token is received with a TID that has a value greater than the smallest value of TID value in the memory store and there is no available space in the memory store to store the received TID value, the payment meter shall accept this token, remove the smallest TID value (in other words, the oldest TID) from the memory store, and replace it with the new TID value.

If the payment meter accepts a Set1stSectionDecoderKey and Set2ndSectionDecoderKey token pair, the TID memory store shall remain unchanged, unless the RolloverKeyChange (see 6.3.18) field specifies that the memory store shall be cleared.

The payment meter shall not accept tokens that were created prior to the date of manufacture or repair of the payment meter.

NOTE A suggested method is for the manufacturer to fill the TID memory store with values that indicate the date and time of manufacture or repair.

The payment meter shall read and process a token (as well as erase it when required) on a single insertion of the TokenCarrier without further action from the user.

All payment meters operating with a DCTK (see 6.5.2.3.1) shall erase token data (Class 0 and Class 2 tokens) from the TokenCarrier after successful transfer of the token data from the TokenCarrier to the payment meter, with the exception of the Set1stSectionDecoderKey token data and Set2ndSectionDecoderKey token data.

The following tokens shall not be erased:

- any token carrying a TID which is judged by the payment meter as being old;
- "non-meter-specific management tokens" of Class 1;
- the Set1stSectionDecoderKey or a Set2ndSectionDecoderKey token, whichever is inserted first.

The Set1stSectionDecoderKey or a Set2ndSectionDecoderKey token, whichever is inserted last, shall be erased upon successful completion of the key change operation.

8 MeterApplicationProcess requirements

8.1 General requirements

In addition to the requirements given in Clause 8, the MeterApplicationProcess shall execute tokens in accordance with the definitions given in Clause 6 and Clause 7, and shall be further subject to the requirements given in IEC 62055-31 at all times, in particular the action of the load switch in response to remote replenishment of credit and the closing of the load switch from a remote location.

8.2 Token acceptance/rejection

An STS-compliant payment meter shall be capable of reading, interpreting and executing all of the categories of tokens successfully.

The payment meter shall still accept tokens when in the power limiting or tampered state.

Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens are validated by the MeterApplicationProcess once the payment meter has read both tokens and combined them into the new DecoderKey.

A token shall be accepted when all of the following conditions are true:

- AuthenticationResult indicates a status value of Authentic in the APDU (see 7.1.3);
- ValidationResult indicates a status value of Valid in the APDU (see 7.1.4);
- the token can be correctly interpreted and the instruction executed by the MeterApplicationProcess.

If all the above conditions are met, TokenResult (see 7.1.5) shall indicate Accept with the following exceptions:

- successful processing of the first entered token of a key change token pair shall not indicate Accept, but it shall indicate 1stKCT if it is a Set1stSectionDecoderKey or 2ndKCT if it is a Set2ndSectionDecoderKey token; this indicates provisional acceptance until the second token of the key change token pair is also accepted;
- successful processing of the second entered token of a key change token pair shall indicate Accept.

The token shall be rejected and TokenResult shall not indicate Accept if any of the following conditions are true:

- AuthenticationResult does not indicate a status value of Authentic in the APDU (see 7.1.3);
- AuthenticationResult indicates a status value of CRCError in the APDU (see 7.1.3);
- AuthenticationResult indicates a status value of MfrCodeError in the APDU (see 7.1.3);
- ValidationResult does not indicate a status value of Valid in the APDU (see 7.1.4);
- ValidationResult indicates a status value of OldError in the APDU (see 7.1.4);
- ValidationResult indicates a status value of UsedError in the APDU (see 7.1.4);
- ValidationResult indicates a status value of KeyExpiredError in the APDU (see 7.1.4);
- ValidationResult indicates a status value of DDTKError in the APDU (see 7.1.4);
- In the case where completing the transaction execution of a TransferCredit token would cause the credit register in the payment meter to overflow, the TokenResult shall indicate OverflowError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed;
- In the case where execution of a key change token would violate the key change rules as given in 6.5.2.4, the TokenResult shall indicate KeyTypeError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed. See also 7.3.1 for further key change processing requirements;
- In the case where the structure of the token does not comply with the definitions given in 6.2, 6.3 or in the application for that token, the TokenResult shall indicate FormatError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed;
- In the case where one or more data elements in the token have a value that is outside of the defined range of values defined in 6.2, 6.3 or in the the application for that element, the TokenResult shall indicate RangeError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed;
- In the case where the particular function to execute the token is not implemented, the TokenResult shall indicate FunctionError in the APDU (see 7.1.5) instead of Accept, the token shall be rejected and shall not be further processed.

8.3 Display indicators and markings

The payment meter shall uniquely indicate the following conditions:

- the acceptance of a token (see 8.2);
- the rejection of a token (see 8.2);
- when a token is old (see 7.1.4);
- when a token has already been used i.e. duplicate token (see 7.1.4);
- when the DecoderKey has expired (see 7.1.4);
- when a TransferCredit token is presented with a DDTK in the DKR (See 7.1.4);
- when the MeterApplicationProcess cannot execute the token (see 8.2);

- after a successful completion of a key change operation (see 8.2 and 8.9);
- whether accepting the credit on a token would cause the credit register to overflow (see 8.2).

The DRN and the EA code shall be marked on the part of the payment meter that contains the decoder part (see Clause 3) and shall be legible from the outside of the decoder.

In the case where the decoder part is separate from the TokenCarrier interface where the user presents the TokenCarrier to the payment meter, then it shall be possible for the user to determine the DRN and the EA code from the user interface on demand.

Indicators relating to the result of token entry shall only be displayed on the same user interface where the token was entered. In the case of a virtual token carrier for example, it is the task of the application layer protocol and the relevant physical layer protocol to feed back the ValidationResult, AuthenticationResult and TokenResult values.

8.4 TransferCredit tokens

See 6.2.2 for more detail on the structure of this token.

The credit value in the Amount field in the token shall be added to the available credit in the Accounting function in accordance with the specific implementation of the Accounting function and the service type as indicated by the SubClass field in the token.

8.5 InitiateMeterTest/Display tokens

See 6.2.3 for more detail on the structure of this token.

All payment meters shall support test number 0; if any of the incorporated tests are not supported the payment meter shall perform the subset of tests that are supported.

The relevant test shall be executed or the relevant information shall be displayed in accordance with the bit pattern in the Control field of the token.

When more than one output is required, for example for test number 0, the outputs shall be initiated in the order in which they are defined in 6.3.8. An optional test may be omitted if it is not implemented. A single test, for example test number 3, may provide more than one field of information.

Any optional tests not supported by the payment meter shall result in the rejection of the optional test token by the payment meter.

In the case where the SubClass value is in the range 6 to 15, the relevant test or display function shall be executed according to the manufacturer's specification, but the payment meter shall verify the MfrCode field value before such a token is accepted.

In the case where a payment meter has zero available credit which causes the load switch to be open, and the InitiateMeterTest/Display token may cause the load switch to operate into the closed state for the duration of the test. Some utilities may not want this condition to be allowed, while other utilities may want it. The action of the payment meter in response to this token shall be as agreed between the utility and the supplier and shall not form a normative part of this standard.

8.6 SetMaximumPowerLimit tokens

See 6.2.4 for more detail on the structure of this token.

The present value of the maximum power limit register shall be replaced with the new limit.

The action of this function shall be agreed between the utility and the payment meter supplier.

NOTE 1 In a poly-phase payment meter this value is per phase.

NOTE 2 This function is not intended to be used as an overcurrent protection mechanism, which requires adherence to other relevant standards.

8.7 ClearCredit tokens

See 6.2.5 for more detail on the structure of this token.

The available credit in the Accounting function shall be cleared to zero in accordance with the indicated value in the Register field of the token.

8.8 SetTariffRate tokens

See 6.2.6 for more detail on the structure of this token.

The present value in the Tariff Rate Register shall be replaced with the new rate.

8.9 Set1stSectionDecoderKey tokens

See 6.2.7 for more detail on the structure of this token.

The present value of the DecoderKey shall be replaced with the new DecoderKey. The DecoderKey includes its associated attributes like KRN, KT, KEN and TI as defined in 7.3.2.

This action is subject to the successful receipt of both the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens.

The payment meter shall have only one active DecoderKey at any stage of its operation. Dual DecoderKeys shall not be used.

It shall be possible to enter the Set1stSectionDecoderKey and Set2ndSection DecoderKey tokens in any order to affect a successful key change.

It shall be possible to enter at least two other invalid tokens of any type and in any order, along with any one of a Set1stSectionDecoderKey and Set2ndSectionDecoderKey token and still perform a successful key change.

It shall be possible to enter the same Set1stSectionDecoderKey and Set2ndSectionDecoderKey token more than once, if the key has not been changed already, and still perform a successful key change.

A time-out function shall be used to cancel a partially completed key change procedure after a duration of between 3 min and 10 min.

8.10 Set2ndSectionDecoderKey tokens

See 6.2.8 for more detail on the structure of this token.

The requirements for the processing of the Set2ndSectionDecoderKey tokens are the same as 8.9 above.

8.11 ClearTamperCondition tokens

See 6.2.9 for more detail on the structure of this token.

The control status and indicator that indicates a tamper condition shall be reset to indicate a non-tamper condition. Any internal payment meter control process resultant from such a tamper condition shall also be cancelled.

8.12 SetMaximumPhasePowerUnbalanceLimit tokens

See 6.2.10 for more detail on the structure of this token.

The present value of the maximum phase unbalance power limit register shall be replaced with the new limit.

The action of this function shall be agreed between the utility and the payment meter supplier.

NOTE This function is only applicable to poly-phase payment meters.

8.13 SetWaterMeterFactor

See 6.2.11 for more detail on the structure of this token.

The action of this token is reserved for future definition by the STS Association.

8.14 Class 2: Reserved for STS use tokens

See 6.2.12 for more detail on the structure of this token.

The payment meter shall reject these token types.

8.15 Class 2: Reserved for Proprietary use tokens

See 6.2.13 for more detail on the structure of this token.

The actions performed in the payment meter shall be in accordance with the manufacturer's specifications.

NOTE This standard does not provide protection against collision between manufacturer uses of this token space.

8.16 Class 3: Reserved for STS use tokens

See 6.2.14 for more detail on the structure of this token.

The payment meter shall reject these token types.

9 KMS: KeyManagementSystem generic requirements

It is recognised that KMS requirements are essentially outside the scope of this standard and the reader is therefore referred to relevant industry standards, some of which are listed in the Bibliography.

The STS Association has established well-proven codes of practice for the management of cryptographic keys within STS-compliant systems, utilising those industry standards, and it is therefore recommended that new systems implementing this standard should follow the STS Association codes of practice.

By virtue of its Registration Authority status with IEC TC 13, the STS Association has undertaken to provide such certification services that are deemed necessary to ensure that key management systems comply with the relevant parts of this standard (see Clause C.1) For further guidelines on the functioning of a KeyManagementSystem as envisaged in this standard, see Annex A.

10 Maintenance of STS entities and related services

10.1 General

See also Clause C.1 for more information relating to maintenance and support services.

The maintenance activity on certain STS entities requires a revision/amendment of this standard. Where this is the case, it is explicitly indicated as such.

Annex B and Annex C are not normative and any changes in these clauses due to maintenance activities would not require revision/amendment of this standard, but may require appropriate amendments to other relevant specifications or COP.

The STS entities and services that require maintenance are given in Table 42.

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Withdrawing

Table 42 – Entities/services requiring maintenance service

Entity/service	Definition origin	Responsible maintenance body	Reference
Product certification	Clause C.10	STSA/CA	10.2.1
DSN	6.1.2.3.3 C.3.4	Mfr	10.2.2
RO	6.3.18	utility	10.2.3
TI	6.1.7	utility	10.2.4
TID	6.3.5.1	utility	10.2.5
SpecialReservedTokenId entifier	6.3.5.2 Clause C.4	utility	10.2.6
MfrCode	6.1.2.3.2 C.3.3	STSA	10.2.7
Substitution tables	6.5.4.2 7.3.3.3 Clause C.5	STSA	10.2.8
Permutation tables	6.5.4.3 7.3.3.2 Clause C.5	STSA	10.2.9
SGC	6.1.6 C.2.2	STSA/KMC	10.2.10
VendingKey	6.5.2.2 Clause 9 C.2.2	STSA/KMC	10.2.11
KRN	6.1.8 6.5.2.5	STSA/KMC	10.2.12
KT	6.1.9 6.5.2 Table 30	STSA/KMC	10.2.13
KEN	6.1.10 6.5.2.6 C.2.4	STSA/KMC	10.2.14
KEK	Annex B Table B.1	STSA/KMC	10.2.15
CC	Annex B Table B.2	STSA/KMC	10.2.16
UC	Annex B Table B.2	STSA/KMC	10.2.17
KMCID	Annex B Table B.2	STSA/KMC	10.2.18
CMID	Annex B Table B.2	Mfr/KMC	10.2.19
CMAC	Annex B Table B.2	Mfr/KMC	10.2.20

Entity/service	Definition origin	Responsible maintenance body	Reference
IIN	6.1.2.2 C.3.2	ISO/IEC	10.3.1
TCT	6.1.3 Table 5	STSA/IEC	10.3.2
DKGA	6.1.4 Table 6	STSA/IEC	10.3.3
EA	6.1.5 Table 7	STSA/IEC	10.3.4
TokenClass	6.3.2 Table 13 Table 14	STSA/IEC	10.3.5
TokenSubClass	6.3.3 Table 14	STSA/IEC	10.3.6
InitiateMeterTest/Display ControlField	6.3.8 Table 22	STSA/IEC	10.3.7
RegisterToClear	6.3.13 Table 23	STSA/IEC	10.3.8
STS base date	6.3.5.1	STSA/IEC	10.3.9
Rate	6.3.11	STSA/IEC	10.3.10
WMFactor	6.3.12	STSA/IEC	10.3.11
MFO	5.5	STSA/(IEC)	10.3.12
FOIN	5.5 Clause C.8	STSA/(IEC)	10.3.13
Companion Specification	5.5 Clause C.8	STSA/(IEC)	10.3.14

10.2 Operations

10.2.1 Product certification maintenance

The STS Association, as a registered Registration Authority with the IEC, shall assure access to product certification services to users of the STS.

It shall also assure that such service providers are duly accredited and authorized to provide this service and that they comply with the requirements of this standard and any other relevant COP or specification.

10.2.2 DSN maintenance

The payment meter manufacturer is in complete control of his allocated range of DSN values (within his allocated MfrCode domain) and it thus requires no further maintenance.

10.2.3 RO maintenance

The utility shall manage the operational use of this data element in conjunction with the STS base date.

10.2.4 TI maintenance

The utility shall manage the operational use of this element.

10.2.5 TID maintenance

The utility shall manage the operational use of this data element by means of appropriate programming of the token vending or POS systems.

10.2.6 SpecialReservedTokenIdentifier maintenance

The utility shall manage the operational use of this data element by means of appropriate programming of the token vending or POS systems.

10.2.7 MfrCode maintenance

The STS Association, as a registered Registration Authority with the IEC, shall provide the service of allocating MfrCode values to payment meter manufacturers and making the list of allocated MfrCode values available to users of the STS upon request.

10.2.8 Substitution tables maintenance

The STS Association, as a registered Registration Authority with the IEC, shall provide the service of making the actual values for Table 33 and Table 41 available to users of the STS upon request.

10.2.9 Permutation tables maintenance

The STS Association, as a registered Registration Authority with the IEC, shall provide the service of making the actual values for Table 34 and Table 40 available to users of the STS upon request.

10.2.10 SGC maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to SGC allocation services to users of the STS and that SGC values are globally unique. Such services are typically provided by a KMC.

10.2.11 VendingKey maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to VendingKey allocation services to users of the STS, that VendingKey values are globally unique and that VendingKey values are made available between KMC service providers. Such services are typically provided by a KMC.

The STS Association shall also ensure the compliance of such service providers to the requirements and recommendations given in this International Standard and any other relevant COP or specification.

10.2.12 KRN maintenance

This element is intrinsically coupled to the VendingKey and is managed by the KMC service provider, subject to the same conditions as for VendingKey maintenance.

10.2.13 KT maintenance

This element is intrinsically coupled to the VendingKey and is managed by the KMC service provider, subject to the same conditions as for VendingKey maintenance.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of KeyType values as given in Table 30.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional KeyType definition shall require a revision/amendment of this standard.

10.2.14 KEN maintenance

This element is intrinsically coupled to the VendingKey and is managed by the KMC service provider, subject to the same conditions as for VendingKey maintenance.

10.2.15 KEK maintenance

The KMC service provider is exclusively in control of this data element as it forms an intrinsic part of its key management operations.

The STS Association, as a registered Registration Authority with the IEC, shall ensure that KMC service providers comply with the requirements of this standard and any other relevant COP.

10.2.16 CC maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to CC allocation services to users of the STS and that CC values are globally unique. Such services are typically provided by a KMC.

10.2.17 UC maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to UC allocation services to users of the STS and that UC values are globally unique. A KMC typically provides such services.

10.2.18 KMCID maintenance

The STS Association, as a registered Registration Authority with the IEC, shall ensure access to KMCID allocation services to users of the STS and that KMCID values are globally unique. The STS Association typically provides such services.

10.2.19 CMID maintenance

The CM manufacturer is in complete control of allocating CMID values to his manufactured CM devices and there is no service in place to ensure uniqueness of this data element.

Once a particular CM is registered in an STS system (typically with a KMC service provider), then the CMID is simply recorded for reference purposes and no further maintenance service on this data element is required.

10.2.20 CMAC maintenance

The CM manufacturer is in complete control of allocating CMAC values to his manufactured CM devices and there is no service in place to ensure uniqueness of this data element.

The registration transaction of a CMAC value is typically conducted between the CM manufacturer and the KMC service provider, and then it remains in the operations domain of the two parties.

The STS Association, as a registered Registration Authority with the IEC, shall ensure the compliance of such manufacturers and service providers to the requirements and recommendations given in this standard and any other relevant COP.

10.3 Standardisation

10.3.1 IIN maintenance

This standard defines a constant value for electricity payment meters worldwide.

ISO may issue different values for other services upon application by service providers.

Any changes to the rules as defined in this standard would require a revision/amendment of this standard.

10.3.2 TCT maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of TCT values given in Table 5.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional entry to Table 5 shall require a revision/amendment of this standard and a new part in the IEC 62055-5x series.

10.3.3 DKGA maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of DKGA values given in Table 6.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional entry to Table 6 shall require a revision/amendment of this standard.

10.3.4 EA maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of EA values given in Table 7.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional entry to Table 7 shall require a revision/amendment of this standard.

10.3.5 TokenClass maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of TokenClass values as given in Table 13 and Table 14.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional TokenClass definition shall require a revision/amendment of this standard.

10.3.6 TokenSubClass maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of TokenSubClass values as given in Table 14.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional TokenSubClass definition shall require a revision/amendment of this standard.

10.3.7 InitiateMeterTest/DisplayControlField maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of InitiateMeterTest/DisplayControlField values given in Table 22.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional InitiateMeterTest/DisplayControlField value shall require a revision/amendment of this standard.

10.3.8 RegisterToClear maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any further additions to the range of RegisterToClear values given in Table 23.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

An additional RegisterToClear value shall require a revision/amendment of this standard.

10.3.9 STS base date maintenance

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any changes to the STS base date.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

A change in the STS base date value shall require a revision/amendment of this standard.

10.3.10 Rate maintenance

This data element is presently reserved for future definition.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any changes to the definition of the Rate data element.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

A change in definition of the Rate data element shall require a revision/amendment of this standard.

10.3.11 WMFactor maintenance

This data element is presently reserved for future definition.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 shall administer any changes to the definition of the WMFactor data element.

The process shall follow the standard procedures for submission of new work item proposals, as instituted by these organisations.

A change in definition of the WMFactor data element shall require a revision/amendment of this standard.

10.3.12 MFO maintenance

Definitions of MFO instances are presently outside the normative domain of this standard and are mentioned purely on an informative basis.

The STS Association exclusively administers the definition of MFO instances following its own internal standard procedures for submission of new work item proposals.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 may in the future propose these MFO instances to the IEC for development into international standards, which shall follow the standard procedures for submission of new work item proposals, as instituted by the IEC.

10.3.13 FOIN maintenance

Allocation and assignment of FOIN values are presently outside the normative domain of this standard and are mentioned purely on an informative basis.

The STS Association exclusively administers the allocation and assignment of FOIN values in conjunction with the registration of MFO instances as companion specifications.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 may in the future propose these FOIN values to the IEC for development into international standards, which shall follow the standard procedures for submission of new work item proposals, as instituted by the IEC.

10.3.14 Companion specification maintenance

Development of companion specifications is presently outside the normative domain of this standard and is mentioned purely on an informative basis.

The STS Association exclusively administers the development of companion specifications in conjunction with registration of MFO instances and assignment of FOIN values.

The STS Association in liaison partnership with Working Group 15 of IEC TC 13 may in the future propose these companion specifications to the IEC for development into international standards, which shall follow the standard procedures for submission of new work item proposals, as instituted by the IEC.

Annex A
(informative)

Guidelines for a KeyManagementSystem (KMS)

An entity relation and interaction diagram is shown in Figure A.1.

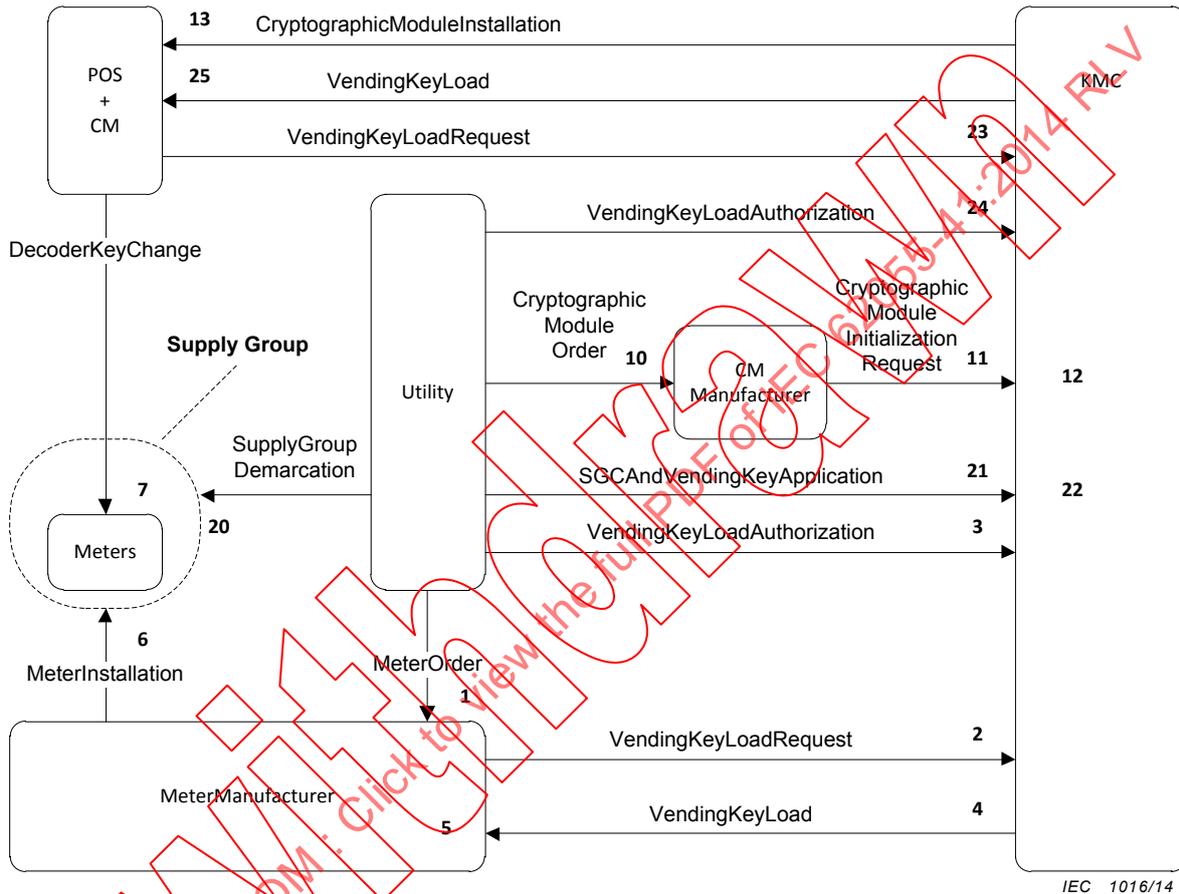


Figure A.1 – KeyManagementSystem and interactive relationships between entities

The entities that play a role in the KMS processes are given in Table A.1.

Table A.1 – Entities that participate in KMS processes

Entity	Role / Name
Utility	Supplier of a service such as electricity
MeterManufacturer	Manufacturer of payment meters/ decoder devices
CMManufacturer	Manufacturer of cryptographic modules
KMC	KeyManagementCentre
CM	CryptographicModule
POS	PointOfSale
Meter	Payment meter

The payment meter processes and DecoderKey processes are given in Table A.2.

Table A.2 – Processes surrounding the payment meter and DecoderKey

Process Number	Context
1	MeterOrder Utility places an order for payment meters with the MeterManufacturer. The order will stipulate that the payment meters are loaded with DDTK, DUTK or DCTK values for the specified SGC
2	VendingKeyLoadRequest MeterManufacturer requests the VendingKey (VUDK or VCDK) for the specific SGC, if required, from the KMC, else he uses his own allocated VDDK (see 6.5.2.2)
3	VendingKeyLoadAuthorization The Utility authorizes the KMC to load the requested VendingKey values down to the MeterManufacturer
4	VendingKeyLoad The requested VendingKey values are loaded into the MeterManufacturer's STS-certified secure manufacturing equipment
5	DecoderKeyLoad The MeterManufacturer generates the DDTK, DUTK or DCTK values from the VDDK, VUDK or VCDK values in accordance with the payment meter order and loads these into the payment meter (see 6.5.3)
6	MeterInstallation The payment meters are delivered to the Utility and installed in the demarcated SupplyGroup
7	DecoderKeyChange If so required the DecoderKey value may be changed by vending KeyChangeTokens from the POS equipment (see 6.2.7 and 6.2.8 Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens). See also process 23 to 25 below regarding VendingKey loading

The CryptographicModule processes are given in Table A. 3.

Table A. 3 – Processes surrounding the CryptographicModule

Process Number	Context
10	CryptographicModuleOrder The Utility (or POS manufacturer) places an order for a cryptographic module with a cryptographic module manufacturer
11	CryptographicModuleInitialisationRequest The CryptographicModule is sent to the KMC to be initialised with secret key values, which will subsequently be utilized for securely distributing VendingKey values from the KMC to the CryptographicModule
12	CryptographicModuleAuthenticationAndInitialization The KMC checks that the CryptographicModule is authentic and then initialises it with secret key values, which will subsequently be utilized for securely distributing VendingKey values from the KMC to the CryptographicModule (see KEK in Annex B)
13	CryptographicModuleInstallation The CryptographicModule is installed and is ready for loading of VendingKey values from the KMC typically using KeyLoadFiles (see KLF in Annex B)

The SGC and VendingKey processes are given in Table A.4.

Table A.4 – Processes surrounding the SGC and VendingKey

Process Number	Context
20	SupplyGroupDemarcation The Utility supplies electricity to a defined group of its customers. It decides the size and boundaries of the group based on security risk and revenue protection considerations, geographical location and network logistical characteristics
21	SGCAndVendingKeyApplication The Utility makes application to the KMC for a SGC of specified type (unique or common) and associated VendingKey of a specified type (VUDK or VCDK; see 6.5.3)
22	SGCAndVendingKeyAllocation The KMC allocates a SGC and an associated secret VendingKey of the required KT to the applicant and stores the elements in its records
23	VendingKeyLoadRequest POS operator requests the VendingKey value (VDDK, VUDK or VCDK) for the specific SGC from the KMC that will allow him to vend to payment meters loaded with the associated DecoderKey value (DDTK, DUTK or DCTK)
24	VendingKeyLoadAuthorization The Utility authorizes the KMC to load the requested VendingKey values (VUDK or VCDK). Alternatively the MeterManufacturer authorizes the KMC to load the requested VDDK value
25	VendingKeyLoad The requested VendingKey values are loaded into the CryptographicModule that will be used by the POS equipment to generate tokens for the payment meters in the SupplyGroup

The mandatory requirements for a KeyManagementSystem are specified in Clause 9.

See also Clause C.2 Code of practice for more information regarding the management of VendingKeys.

See also C.2.2.1 Code of practice for more information regarding the SGC demarcation guidelines.

See also Annex B for more information regarding entities and identifiers in an STS-compliant system.

See also Clause 10 for the maintenance of the STS entities and related services.

Annex B (informative)

Entities and identifiers in an STS-compliant system

Entities and relevant identifiers deployed in an STS-compliant system are shown in Figure B.1.

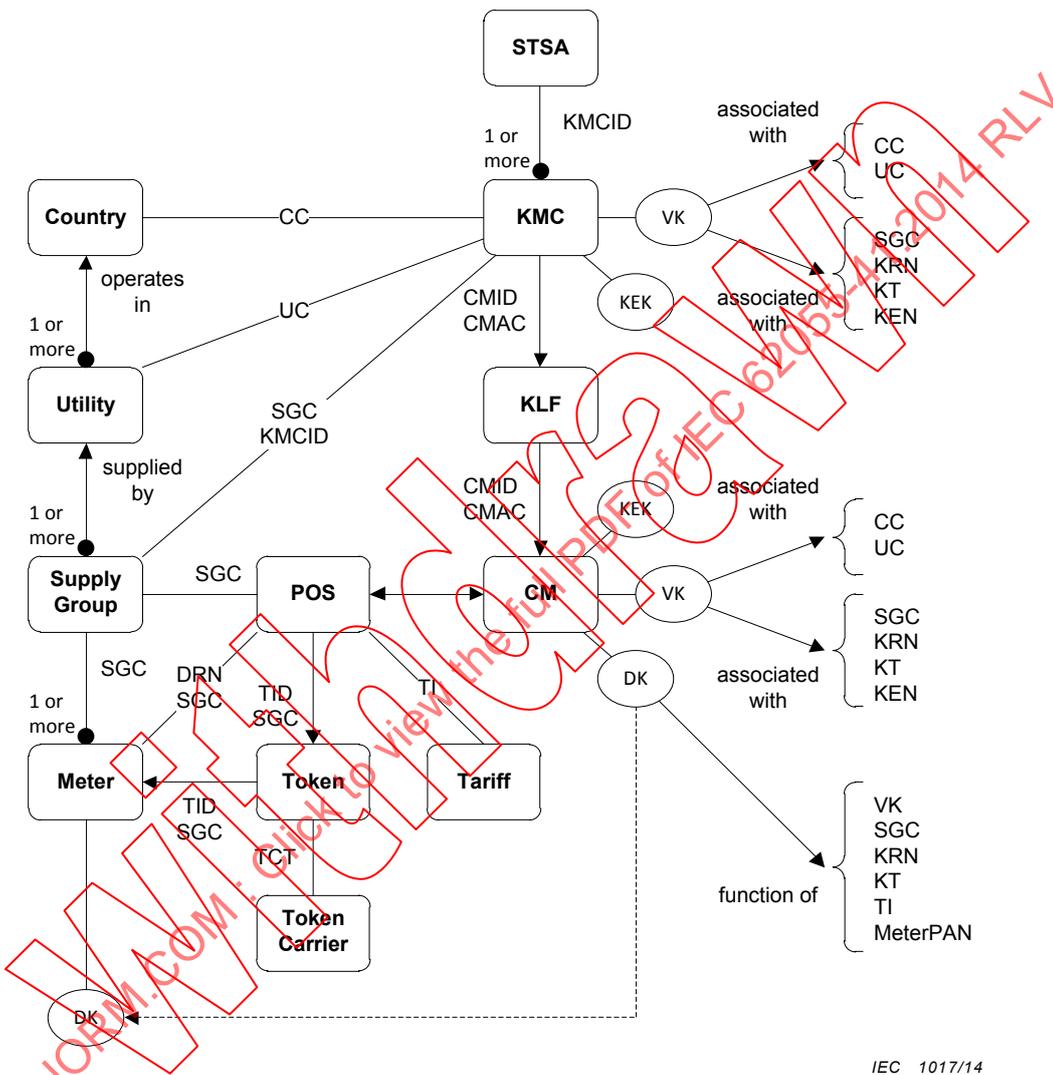


Figure B.1 – Entities and identifiers deployed in an STS-compliant system

For the maintenance of these entities and related services see Clause 10.

The entities that are typically deployed in an STS-compliant system are given in Table B.1.

Table B.1 – Typical entities deployed in an STS-compliant system

Entity	Context	Reference
Country	Geographical area with politically demarcated boundaries, which may change over time	x
Utility	Entity that supplies a service like electricity to its end customer by means of a payment meter. One or more utilities are operational in a country. utilities change their constitutional identities over time	x
SupplyGroup	A subgroup of payment meters within a distribution network. A Utility may supply to one or more SupplyGroups. A SupplyGroup may change its relationship to a Country and a Utility over time	6.1.6
Meter	The payment meter used to control the delivery or supply of the service to the end customer (see also IEC 62055-31). One or more payment meters are grouped in a SupplyGroup. A payment meter may change to a different SupplyGroup by means of a corresponding DecoderKey change	IEC 62055-31 IEC 62055-21
POS	PointOfSale device that is able to generate tokens for any payment meter in a SupplyGroup, by having access to the VendingKey value for the particular SupplyGroup. It is technically and practically feasible that a POS may have access to VendingKey values of more than one SupplyGroup, thus being able to also generate tokens for payment meters belonging to those SupplyGroups. VendingKeys may thus move to and from PointOfSale devices over time, depending on the commercial relationship between a vendor and a particular Utility	IEC 62055-21
TokenCarrier	The physical device, or medium onto which the token information is encoded and which is then used to transfer the token to the payment meter. This may be in the form of a printed numeric string or a magnetically encoded card, which is carried to the payment meter by hand and manually inserted into the reading device of the payment meter by the user (end customer), or it may be a virtual token carrier in the form of a direct communication connection to a remotely located client device	3.1
Token	Token as defined in this standard by means of which the POS device is able to transfer instructions and information to the payment meter, or retrieve information from the payment meter	3.1
Tariff	The formula used to calculate the charge per unit of service. In the case of the one-way payment meters the tariff is normally applied at the POS at the time when the end customer purchases a token. There are normally several tariff structures according to different customer categories and contracts. Each tariff is thus associated with a TI (see below) for ease of reference	6.1.7 6.2.6
STSA	Standard Transfer Specification Association that keeps a register of all KMCs, which are globally deployed	Clause C.1
KMC	KeyManagementCentre. The infrastructure that is used to manage and control the KeyManagementSystem	9 Annex A Clause C.2
KLF	KeyLoadFile. The secure mechanism used by the KMC to distribute VendingKey values to cryptographic modules	Annex A
CM	CryptographicModule. The secure device used by the POS to generate DecoderKey values from VendingKey values and to generate tokens from DecoderKey values	Annex A
KEK	KeyExchangeKey. A secret dual 64-bit DES key value shared between the KMC and the CM, which is used to encrypt VendingKey values that are distributed by means of the KLF (see KLF above)	x
VK	VendingKey. A 64-bit DES key value, generated, stored and distributed by the KMC to other cryptographic modules under controlled and authorised conditions when required. It is used to generate DecoderKey values inside the CM	6.5.2.2
DK	DecoderKey. A 64-bit STA key value or 64-bit DES key value generated as a function of several values: DK = f (VK, SGC, KRN, KT, TI, MeterPAN). It is shared between the CM and the payment meter and is used to encrypt and decrypt tokens that are sent from the POS to payment meter or from the payment meter to the POS	6.5.2.3

The identifiers that are associated with the above entities are given in Table B.2.

Table B.2 – Identifiers associated with the entities in an STS-compliant system

Identifier	Context	Reference
CC	CountryCode A code uniquely identifying the country in which the Utility is operative and where the payment meters are installed. It is registered in the KMC and associated with VK at the KMC and the CM	x
UC	UtilityCode A code allocated by the KMC to uniquely identify the specific Utility to which VK and the SGC is allocated. It is registered in the KMC and is associated with VK at the CM	x
KMCID	KeyManagementCentreIdentifier Unique identifier for each KMC in the world. Each KMCID is registered with the STSA	x
CMID	CryptographicModuleIdentifier Unique identifier for each cryptographic module in the system	x
CMAC	CryptographicModuleAuthenticationCode A set of secret codes that the KMC and the POS may use to authenticate the CM before entrusting it with other secret values. Typical examples are DeviceAuthenticationCode and FirmwareAuthenticationCode	x
TID	TokenIdentifier Unique time-based identifier for each token. It is shared between the POS, the token and the payment meter	6.3.5.1
MeterPAN	MeterPrimaryAccountNumber A unique identification number for each STS-compliant payment meter. It is shared between the payment meter and the POS. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.2
DRN	DecoderReferenceNumber The unique number as it appears in the MeterPAN. It is shared between the POS and the payment meter	6.1.2.3
TCT	TokenCarrierType The type of medium that is used onto which the token is encoded for transfer to the payment meter	6.1.3
SGC	SupplyGroupCode Unique number allocated by the KMC to identify a SupplyGroup of the Utility. It is shared between the SupplyGroup, the KMC and the POS. It is associated with the VendingKey value and recorded in the KMC and also in the CM. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.6
TI	TariffIndex The index number to a register of tariffs associated with a particular Tariff for each customer. It is shared between the Tariff and the POS. Encoding it into the DecoderKey enforces the association with the payment meter. This means that the DecoderKey shall change if the customer is moved onto a different tariff structure	6.1.7
KRN	KeyRevisionNumber Revision of the VendingKey as allocated by the KMC. It is associated with the VendingKey value at the KMC and at the CM. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.8
KT	KeyType The type of the VendingKey as allocated by the KMC. It is associated with the VendingKey value at the KMC and at the CM. Encoding it into the DecoderKey enforces the association with the payment meter	6.1.9

Identifier	Context	Reference
KEN	<p data-bbox="357 280 552 309">KeyExpiryNumber</p> <p data-bbox="357 320 1233 421">A number that is associated with a validity period for the VendingKey. It is associated with the VendingKey value at the KMC and at the CM. It is not encoded in the DecoderKey, but is transferred to the DecoderKeyRegister by means of the Set1stSectionDecoderKey and Set2ndSectionDecoderKey tokens</p>	6.1.10

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Withdrawn

Annex C (informative)

Code of practice for the implementation of STS-compliant systems

C.1 Maintenance and support services provided by the STS Association

The STS Association is a not-for-gain company incorporated in South Africa with members comprising of manufacturers of payment meters and associated vending systems and of utilities. The object of the STS Association is to promote the use of the STS, develop the functionality further and maintain the required infrastructure to provide supporting services like key management, product certification and standardisation to users of the STS.

See also Clause 10 for more details on the maintenance of STS entities and related services.

The General Secretary can be contacted at the address given in the introduction to this standard. E-mail is the preferred mechanism for correspondence with the Association.

C.2 Key management

C.2.1 Key management services

(See also Annex A.)

The STS Association operates a KMC and provides key management services to utilities and STS-compliant product manufacturers worldwide in accordance with this standard.

C.2.2 SupplyGroupCode and VendingKey distribution

C.2.2.1 Data elements associated with a SGC

(See also 6.1.6).

The KMC ensures unique allocation of SGC values in accordance with this standard.

The KMC generates, stores and distributes VDDK, VUDK and VCDK values with the associated KRN, KT and KEN in accordance with this standard.

The KMC ensures that VendingKey values are available to all manufacturers of STS-certified products in accordance with this standard.

In order to effectively manage the generation, storage and distribution of SGC and associated VendingKey values, it is recommended that the data elements given in Table C.1 be recorded and be uniquely associated with an SGC.

Table C.1 – Data elements associated with a SGC

Element	Context	Reference
SGC	Actual value of the SupplyGroupCode as registered in the KMC	6.1.6
Country	CountryCode as the country where the SGC and VendingKey is to be used	Annex B
Location	Place associated with the SupplyGroup demarcation (Country, State, Province, City, Town, Suburb)	x
Network	Network associated with the SupplyGroup demarcation (name, ID)	x
Owner	To whom this SGC is allocated: UtilityCode (if applicable) Name of Organization (utility) Address (postal, physical, website) Contact person and details (name, postal, email, tel, fax) Authorization signatory (name, contact details)	x
OwnerHistory	Record of changes to ownership association of the SGC over time	X
LocationHistory	Record of changes to location association of the SGC over time	X
NetworkHistory	Record of changes to network association of the SGC over time	X
KMC	KMCID and country of origin of the KMC as the source of the SGC and VendingKey	Clause 9 Annex A
VendingKey	VendingKey plus attributes (KRN, KT, KEN). These values are in encrypted format	6.5.2 6.1.8 6.1.9 6.1.10
SGCDistribution Register	Register of SGC v/s CM ID (i.e. to which cryptographic modules a particular SGC has been distributed over time)	x

C.2.2.2 SupplyGroupCode demarcation guidelines

This topic is dealt with comprehensively in the STS Association Code of practice (see Bibliography). For the sake of providing some indicators here, some factors to be taken into consideration are given below.

Factors to consider in deciding the SGC demarcations:

- security risk in terms of compromising a VendingKey;
- security risk in terms of stolen POS devices;
- logistics for payment meter spares;
- control of POS vending agents in authorizing them to vend to the group;
- logistics for separating collected revenue from POS vending agents;
- particular business logic around distribution network maintenance and supply logistics,
- cross-vending rules on SGC boundaries;
- change of payment meter ownership over time (deregulated markets),
- change of supplier over time (deregulated markets).

C.2.3 CryptographicModule distribution

(See also Annex A.)

In order to effectively manage the distribution of SGC and VendingKey values to cryptographic modules, it is recommended that the data elements given in Table C.2 be recorded.

Table C.2 – Data elements associated with the CryptographicModule

Element	Context	Reference
CM	Attributes of the CryptographicModule (CMID, CMType, HardwareVersion, Softwareversion, KEK, FAC, DAC).	Annex A Annex B
CMManufacturer	Name and contact details of organization	Annex A
CMOwner	To whom this CM belongs: UtilityCode (if applicable) Name of Organization (utility) Address (postal, physical, website) Contact person and details (name, postal, email, tel, fax) Responsible person (name, contact details)	Annex A
CMLocation	Details of intended destination of CM where it is going to be used (country, state, province, city, town, suburb)	x
KMC	KMCID and country of origin which initialised the particular CM	Clause 9 Annex A
CMOwnerHistory	Historical register of ownership changes to cryptographic modules over time	x
CMLocationHistory	Historical register of location changes to cryptographic modules over time	x

C.2.4 Key expiry

(See also 6.1.10, 6.5.2.6, 7.3.1.1).

In the case where key expiry for VendingKeys is not dynamically implemented in an STS-compliant installation, then it is the recommended practice to set the KEN to 255.

At the date of publication of this standard the key expiry option for DecoderKeys in payment meters had not been implemented in any STS-compliant installation.

C.3 MeterPAN

C.3.1 General practice

(See also 6.1.2).

The MeterPAN serves to uniquely identify each payment meter in the STS-compliant installation worldwide, thus being able to tag and route transactions accordingly. All users of the STS are thus encouraged to follow this practice, which is in line with that of the banking and financial transaction management (see also ISO 4909).

C.3.2 IssuerIdentificationNumbers

As clarified in 6.1.2.2, the IIN for 2-digit Manufacturer Codes shall be 600727. For 4-digit Manufacturer Codes the IIN shall be 0000.

C.3.3 ManufacturerCodes

(See also 6.1.2.3.2.)

MfrCode values are allocated and managed by the STS Association to ensure uniqueness of the series globally, thus ensuring uniqueness of the MeterPAN globally. Note that both 2-digit and 4-digit Manufacturer Codes may exist.

The current list of MfrCode values can be viewed on the STS web site or obtained from the STS Association via any of the contact routes listed above.

C.3.4 DecoderSerialNumbers

(See also 6.1.2.3.3).

Each MeterManufacturer manages his 8-digit range of numbers as he sees fit, as long as it complies with the requirements of this standard.

C.4 SpecialReservedTokenIdentifier

(See also 6.3.5.2).

Each utility is free to determine the rules for how this SpecialReservedTokenIdentifier is to be used as a special application to satisfy his special needs.

An example of using this SpecialReservedTokenIdentifier in a special application is as follows: Each household in an installation may collect a government grant in the form of a free token to the value of 50 kWh per month. Such a token may be collected on any day of the month and as many times as is desired, but the payment meter should only accept the first token of such a type in each month. A solution to this problem is to rule that the SpecialReservedTokenIdentifier is to be used for this token type in this particular installation. Such a token may then be generated at any time during the month, because it will always use the 1st day 00h01 time stamp and the payment meter will only accept the first token so generated and reject any subsequent copies as "Used".

C.5 Permutation and substitution tables for the STA

The STS Association is registered with the IEC as a Registration Authority to provide maintenance services in support of the IEC 62055-4x and 62055-5x series of standards. As part of this service, the STS Association provides the actual values for the permutation and substitution tables (Table 33, Table 34, Table 40 and Table 41) required in 6.5.4.2, 6.5.4.3, 7.3.3.2 and 7.3.3.3 to users of the standard upon request. The contact details for the STS Association are given in Clause C.1 or may be obtained from the IEC website.

C.6 EA codes

(See also 6.1.5).

As this standard evolves there will be more EA codes required. This should take place through the normal route via National Committees to the IEC TC 13 as New Work Item Proposals.

C.7 TokenCarrierType codes

(See also 6.1.3).

As this standard evolves there will be more TCT values required. This should take place through the normal route via National Committees to the IEC TC 13 as New Work Item Proposals.

C.8 MeterFunctionObject instances / companion specifications

A MeterFunctionObject (MFO) is an object-oriented specification that encapsulates a certain functionality of a payment meter. Each MFO is defined in a companion specification and allocated a unique FunctionObjectIdentificationNumber (FOIN).

The STS Association administers the registration of MFO instances and reserves the exclusive rights to allocate FOIN values in the form of companion specifications.

An MFO instance is proposed to the STS Association as a NWIP, after which it is assigned a unique FOIN. The STS Association then publishes the MFO in the form of a companion specification.

See also STS 200-1 (see Bibliography) for more information on function object classes and STS 201-15.1.0 (see Bibliography) for an example of a companion specification.

C.9 TariffIndex

(See also 6.1.7).

The utility has the choice of 2 options:

- link the TI to his list of tariff structures and thus link each customer to a TI. This means the DecoderKey shall change if the customer is changed from one tariff structure to another, because the associated TI will change,
- fix the TI to a constant value of say = 01 for the life time duration of the payment meter installation and then link each customer to the list of tariff structures in the management system, independent from the TI. This means that the DecoderKey does not have to change when moving a customer from one tariff structure to another.

At the date of publication of this standard, most utilities preferred to follow option 2. The main consideration is that it is a major logistical operation to do a key change to a payment meter that is already installed, so this tends to be avoided where possible.

C.10 STS-compliance certification

C.10.1 IEC certification services

The IEC does not provide certification services for products as such and is thus reliant on outside facilities to do this.

C.10.2 Products

The STS Association provides the service to manufacturers of products to facilitate the testing and will provide STS-certification on the basis of the test results.

C.10.3 Certification authority

In due course the STS Association will be in a position to authorize agents that may provide STS-certification services on its behalf.

C.11 Procurement options for users of STS-compliant systems

This standard provides for a variety of options, the details of which need to be specified at the time when products and systems are purchased from manufacturers and suppliers.

As a general guide to purchase orders or tender specifications, the items given in Table C.3 are noted.

Table C.3 – Items that should be noted in purchase orders and tenders

Item	Context	Reference
EA	<p>Which algorithm is be used for token encryption in the vending system and for decryption in payment meter.</p> <p>Options:</p> <ul style="list-style-type: none"> • STA code 07; • DEA code 09. <p>The purchaser should ensure that the tender specification for the payment meters requires that the payment meter labelling shall include the appropriate EA code</p>	6.1.5
TCT	<p>Which TokenCarrierType the payment meter or the vending system should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • magnetic card type 01; • numeric type 02 	6.1.3
DKGA	<p>Which algorithm the MeterManufacturer or the vending system should use for generating the DecoderKey;</p> <p>Options:</p> <ul style="list-style-type: none"> • DEA (DKGA01); only for vending systems serving legacy payment meters; • DEA (DKGA02); current systems; • TDEA (DKGA03); future systems 	6.1.4
CC	<p>Which destination CountryCode the SGC is to be associated with at the KMC.</p> <p>Options:</p> <ul style="list-style-type: none"> • one of the standard set of ISO Country Codes 	Annex B
UC	<p>Which UtilityCode the SGC is to be associated with at the KMC.</p> <p>Options:</p> <ul style="list-style-type: none"> • existing UC as allocated by KMC; • new UC as allocated by KMC 	Annex B
KMCID	<p>Which KMC is to be used for obtaining the VendingKey and the SGC. The MeterManufacturer and the vending system need the specific VendingKey to generate DecoderKeys.</p> <p>Options:</p> <ul style="list-style-type: none"> • 001; South African KMC currently in operation; • xxx; future possible KMC of choice or relevance 	Annex B
SGC	<p>Which SGC should the MeterManufacturer or the vending system use for generating the DecoderKeys?</p> <p>Options:</p> <ul style="list-style-type: none"> • xxxxxx existing SGC; obtained from KMC; • new SGC; for new projects, apply to KMC. <p>Which KT is, or should be, associated with this SGC?</p> <p>Options:</p> <ul style="list-style-type: none"> • default; MeterManufacturer key; • unique; utility key; • common; utility key 	6.1.6

Item	Context	Reference
TI	<p>Which TariffIndex is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>Options:</p> <ul style="list-style-type: none"> • 00-99; (new); • 00-99; (existing); • link TI to the tariff table in the vending system; (NOTE 1); • don't link TI to the tariff table in the vending system. (NOTE 2). <p>NOTE 1 When the TI is linked to the tariff table in the vending system database then the consumer may be moved to a different tariff structure only by allocation of another associated TI. This means that that the DecoderKey needs to be changed accordingly.</p> <p>NOTE 2 When the TI is not linked to the tariff table in the vending system database then the consumer may be moved to a different tariff structure without being allocated to another associated TI. This means that that the DecoderKey does not need to be changed</p>	6.1.7
KRN	<p>Which KeyRevisionNumber is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>This information is associated with the SGC VendingKey and is under the control of the KMC from where it should be obtained</p>	6.1.8
KT	<p>Which KT is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>This information is associated with the SGC VendingKey and is under the control of the KMC from where it should be obtained</p>	6.1.9
KEN	<p>Which KeyExpiryNumber is to be used by the MeterManufacturer and the vending system to generate DecoderKeys?</p> <p>This information is associated with the SGC VendingKey and is under the control of the KMC from where it should be obtained</p>	6.1.10
DecoderKey expiry	<p>Whether the DecoderKeys should expire or not.</p> <p>Options:</p> <ul style="list-style-type: none"> • shall not expire (this is the current recommended practice); • shall expire (this implies periodic DecoderKey changes) 	6.1.10
VendingKey expiry	<p>Whether the VendingKeys should expire or not.</p> <p>Options:</p> <ul style="list-style-type: none"> • shall not expire (this is the current recommended practice); • shall expire (this is not currently supported) 	6.1.10
Meter dispatching key	<p>Which DecoderKey type the MeterManufacturer should load into the payment meter.</p> <p>Options:</p> <ul style="list-style-type: none"> • DDTK (manufacturer Default key); • DUTK (utility Unique key); • DCTK (utility Common key) 	6.1.6

Item	Context	Reference
Tokens	<p>Which tokens the payment meter or vending system should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • TransferCredit; • InitiateMeterTest/Display; • SetMaximumPowerLimit; (optional) • ClearCredit; • SetTariffRate; (currency-based accounting payment meters only) • Set1stSectionDecoderKey; • Set2ndSectionDecoderKey; • ClearTamperCondition; (optional) • SetMaximumPhasePowerUnbalanceLimit; (optional for poly phase) • SetWaterMeterFactor. (water payment meters only) 	6.2.1
Vending classification	<p>Which functions the vending systems should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • vending; (vending of credit tokens) (signified by "V"); • engineering; (vending of management tokens) (signified by "E"); • key change. (vending of key change tokens) (signified by "K"). <p>An STS-compliant vending system may provide any combination of one or all of the options listed. If approved by the STS Association, then the corresponding letters may be displayed on the STS logo</p>	x
Credit transfer	<p>Which types of TransferCredit tokens the payment meters or vending system should support.</p> <p>Options:</p> <ul style="list-style-type: none"> • electricity; • water; • gas; • time; • currency 	6.2.2
Test/display options	<p>Which types of test and display tokens the payment meters or vending system should support.</p> <p>Options:</p> <p>A list of mandatory and optional tokens are given in 6.3.8</p>	6.3.8
Power limit	<p>Whether the payment meters should provide power limiting and whether the vending system should provide the relevant tokens.</p> <p>Options:</p> <ul style="list-style-type: none"> • power limit should be implemented or not; • the power limit setting; • how the payment meter should react when the power limit is reached 	6.2.4 6.3.9 8.6
Tariff rate	<p>What the tariff rate values are for the payment meters registered in the vending system database and whether the vending system should support the relevant tokens.</p> <p>Options:</p> <ul style="list-style-type: none"> • preset by manufacturer; • variable and set with token from vending system; • tariff rate per payment meter 	6.2.6 6.3.11

Item	Context	Reference
Tamper detection	Whether the payment meters should provide tamper detection and the vending system should support the relevant tokens. Options: <ul style="list-style-type: none"> • tamper detection should be implemented; • tamper detection should not be implemented; • payment meter should support display tamper status token; • vending system should support display tamper status token. NOTE 3 Clear tamper token support is mandatory with option 1	6.2.9
Phase power unbalance	Whether the payment meters should provide phase power unbalance limiting and the vending system should provide the relevant tokens. Options: <ul style="list-style-type: none"> • phase power unbalance limiting should be implemented; • phase power unbalance limiting should not be implemented; • preset by manufacturer; • variable and set with token from vending system; • the phase power unbalance limit value; • how the payment meter should react when the phase power unbalance limit is reached 	6.2.10 6.3-10 8.12
Initial credit	What the initial value of the credit register of the payment meters should be when it leaves the manufacturer's premises. Options: <ul style="list-style-type: none"> • cleared to zero; • preset to initial value; • the initial value 	x
Special reserved TID	Whether the vending system should implement any special reserved token identifiers. Options: <ul style="list-style-type: none"> • special reserved token identifiers should not implemented; • special reserved token identifiers should be implemented; • specified details of special reserved token identifiers 	6.3.5.2
STS Certificate of Compliance	The STS-compliant product supplier shall provide a copy of the particular product's STS certificate of compliance as issued by the relevant Certification Authority	C.10

C.12 Management of TID Rollover

C.12.1 Introduction

The Token Identifier is a 24 bit field, contained in STS compliant tokens, that identifies the date and time of the token generation. It is used to determine if a token has already been used in a payment meter. The TID represents the minutes elapsed since the 1st January 1993. The incrementing of the 24 bit field means that at some point in time, the TID value will roll over to a zero value.

All STS prepayment meters will be affected by TID roll over on the 24/11/2024. Any tokens generated after this date and utilizing the 24 bit TID will be rejected by the meters as being old tokens as the TID value embedded in the token will have reset back to 0.

In order to overcome this problem all meters will require key change tokens with the roll over bit set. In addition to this, the base date of 01/01/1993 will be required to be changed to a

later date. This process will force the meters to reset the TID stack to 0. To avoid previously played tokens from being accepted by the meter due to the TID stack reset, the key change process shall introduce into the meter, a new decoder key.

A process is therefore required to allow for the management of this change with the least impact to the Utilities and equipment suppliers.

To allow for easier management of large installed bases it is proposed that the following solution manages the change per meter and not per supply group code (SGC) as some Utilities may have a large installed base under a single SGC.

C.12.2 Overview

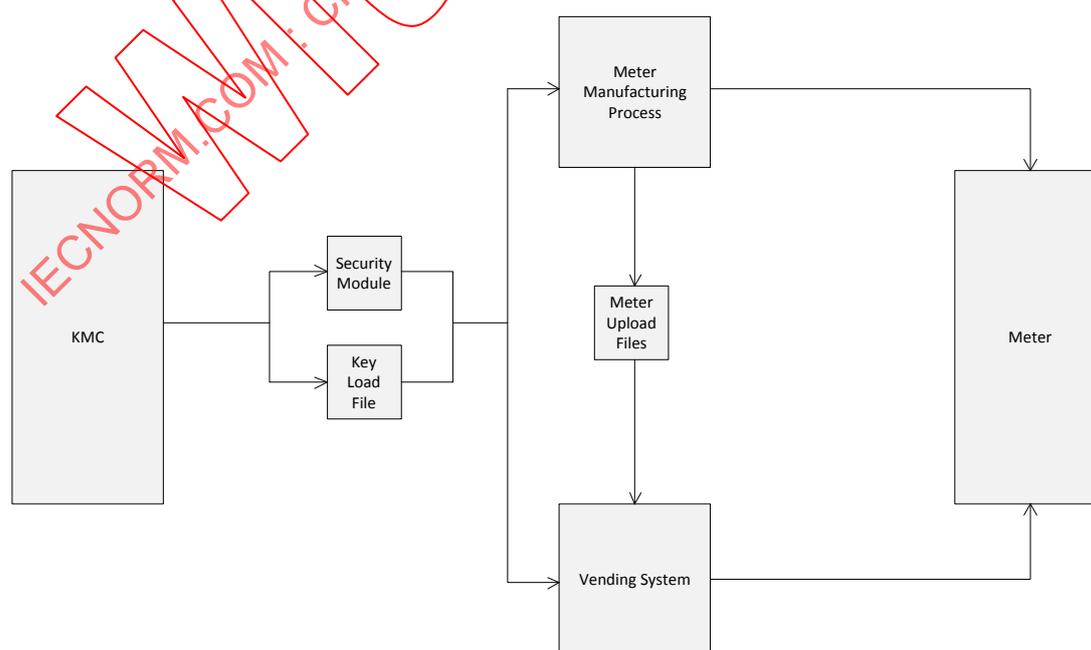
C.12.2.1 General

Users responsible for the management of payment meters shall ensure adherence to this procedure by all parties involved.

The current problem is that the TID is generated using a base date of 01/01/1993. The 24 bit value will reach a roll over point in 24/11/2024. In order to manage this, a new base date will need to be created for which all tokens generated will restart with a TID value of 0. Although this, in effect, shifts the problem, more than one base date in staggered intervals can be used.

This Code of Practice defines a process for managing vending keys and decoder keys based on different base dates. The following elements, shown in Figure C.1, have been included:

- Key management centre;
- Security modules;
- Vending systems;
- Meter upload files;
- Meter manufacturing equipment;
- Meters.



IEC 1018/14

Figure C.1 – System overview

C.12.2.2 Key management centre (KMC)

The KMC is used to generate and load vending keys (Vk) into a security module. The KMC also generates a Key Load File (KLF) which contains the key load data for a specific security module to allow a vending system to load Vk into the security module attached to the system. Currently the Key Revision Number (KRN) for any Vk is 1.

In order to manage the generation of tokens for a specific base date, the vending system requires the KMC to create a new Vk for each base date interval. The new Vk will be created by incrementing the KRN. Associated with each Vk in the KLF will be the selected base date. Two base dates are supported; namely 01/01/2014 and 01/01/2035. Only two are required as it is not envisaged that current technology STS meters will still be in operation by the time the 2035 Vk TID rolls over in 2066.

C.12.2.3 Security Module

The security module will be required to generate key change tokens from a Vk on one base date to a Vk on a new base date. The firmware of the SM will be changed to allow implementation of the rollover functionality.

C.12.2.4 Vending System

The vending system will be required to manage, with each Vk loaded into a security module, an associated base date. This base date will be retrieved from the key load file generated at the KMC. In addition the vending system shall associate each meter registered, with the Vk base date from which it was coded.

Once a new Vk is made available, the vending system shall allow for the management of the change process whereby a meter or group of meters can be scheduled for key roll over. In doing so, the affected meters will undergo a key change with roll over thus resetting the meter TID stack and generating a new decoder key based on the new Vk. From this point forward all tokens generated for the meter(s) will be encrypted using the new Vk with a TID value calculated from the corresponding base date.

With this process, meters can be scheduled for key change based on the requirements of the Utility. At any one point in time there will be two active vending keys for each SGC as not all meters will be key changed to the new Vk at the same time.

C.12.2.5 Meter upload files

New meters received from the manufacturers can be loaded into the vending system using a meter upload file import process. These meters will be coded by the manufacturers using a Vk with the latest base date and therefore each meter record in the meter upload file will be required to include the base date for which it was coded.

C.12.2.6 Manufacturers equipment

All meters leaving the factory shall be coded using a Vk with the current (latest) base date unless otherwise agreed between the utility and the manufacturer. With the two base dates chosen, namely 2014 and 2035, all meters coded before 2014 will be coded using the base date of 1993. All meters coded between 2014 and 2035 shall be coded with the base date of 2014 and all meters coded after 2035 will utilize a base date of 2035, unless otherwise agreed between the utility and the manufacturer.

C.12.2.7 Meters

All STS compliant meters shall support key roll over.

C.12.3 Impact analysis

C.12.3.1 General

The following areas which will be affected by the above process are listed below:

C.12.3.2 Key management centre

- Need to include a base date in the key load file for each Vk;
- Support the selection of predefined base dates when generating Vk;
- Security Modules shall support the key roll over flag.

C.12.3.3 Vending systems

- Associate each Vk for a SGC with a base date as derived from the key load file generated by the KMC;
- Associate each meter with a base date for which the meter is coded. This shall include the extraction of the base date from the Meter Upload File import;
- Allow meters associated with a previous base date to be scheduled individually, in groups or by SGC for a key change to Vk on a new base date and include the key roll over flag.

C.12.3.4 Meter upload files

- Manufacturers to include a base date with each meter record in the file;
- Meter Upload File specifications will need to be updated to reflect the addition of the base date.

C.12.3.5 Manufacturing equipment

- Shall automatically code all meters using the Vk with the latest active base date as agreed with the utility;
- Meters;
- Shall support key roll over.

C.12.4 Base dates

See 6.3.5 above.

C.12.5 Implementation

C.12.5.1 General

Implementation details for manufacturers of meters and vending systems have been outlined in the document body. The sections that follow give basic guidelines for Utilities to follow in the successful implementation of the TID key-change program. Note that Utilities may elect to follow alternative methods of implementation.

C.12.5.2 Assumptions

Prior to starting the implementation of the key-changes in the field, the following are assumed to have been completed by manufacturers of meters, vending systems, and security modules:

- a) Secure module firmware has been changed to support the rollover functionality.
- b) Vending software suppliers have modified the vending software to recognise the base dates as described in this standard. Once a meter has been key-changed with rollover, this fact shall then be recorded into the vending database.
- c) All manufactured meters support the rollover functionality as specified in IEC 62055-41. Where this is not the case, the meters will have to be changed out with meters that do support the rollover functionality. It is envisaged that the current installed base will no

longer be in service by the time key rollover is required, and that all meters manufactured after the first base date change of 2014, will support the rollover functionality.

C.12.5.3 Process for utilities

A guideline to the process to follow is presented below:

- a) Plan the TID rollover program so as to complete the installed base of meters at least 1 year before the critical date of 24/11/2024.
- b) Communicate the plan, and reasons for the program, to all regions within the utility.
- c) Upgrade all vending installations to software that supports the rollover functionality and relevant database changes.
- d) Upgrade utility software to ensure that it supports new Meter Upload file formats, where these are used as an import tool.
- e) Upgrade/purchase secure modules with rollover functionality through the secure module supplier.
- f) Upgrade KMC software, where this is owned by a utility, to cater for multiple base dates.
- g) Contact the manufacturer of your meters to confirm whether their meters support key-change with rollover. If not, these meters will have to be replaced in the field with meters that do.
- h) Start the key-change process.

C.12.5.4 Key-change process

Various options exist for the physical key-change process:

- a) Generate key-change tokens (two tokens) for a region and send out technicians to the field to systematically insert these tokens into each meter visited.
- b) Generate (automatically) the key-change tokens when a credit purchase is made by the user. Explain to the user that the credit token will not function unless the key-change tokens have been entered into the meter first. This is typically the standard practice for key-changes already.
- c) Communicate the program to the end users and ask them to come in to fetch their key-change tokens by certain deadlines.

All the above options have advantages and disadvantages.

Option a) ensures that the key-changes are done systematically by area, which can then be 'ticked' off as completed. This is controllable but expensive in manpower.

Option b) is far less expensive, but does not allow for regions or areas to be done in a controlled fashion since one cannot be sure that tokens have been entered until a new purchase is made. This option also opens the possibility that many complaints will be received regarding non-functional credit tokens if these tokens are entered without the key-change tokens being entered first.

Option c) is the least desirable since communication of the issue goes right to the end user and may cause unnecessary concerns.

C.12.5.5 Communication of the program

Below is a guideline showing the possible form that the communication to the Utilities regional offices could take. Note that this is a guideline only and may be changed to suit individual utility preferences as required.

Appropriate addresses and headings

Subject: Field meter key-change program

As you may be aware, all prepayment meters store tokens entered as a means to stop a meter from accepting a token that has already been used. In addition to this storage, each token also has, embedded into the 20 digits, the date and time that the token was generated. The meter then compares this date and time to the oldest token in its memory, and rejects the token if it is older than the oldest token in this memory.

The token date and time field has a maximum range of 31 years. This means that after 31 years of incrementing this date and time field, the value stored will 'roll over' back to zero – much like an odometer in a car going 'round the clock'.

The current tokens will 'roll over' in November 2024 to the current starting date of 1993. At this time, the date and time on the tokens will revert back to its zero date (1993), at which point the meters will no longer accept tokens generated with this base date.

While the date of 2024 may seem like a long time into the future, we need to start making plans to change this base date of 1993 to a later base date. To this end, manufacturers have been made aware that changes will have to be made to the meters, Secure Modules, vending systems, and Key Management Centres to accommodate this change.

The change consists of changing the key in each meter in the field, which can be done by issuing a set of key-change tokens to the user, or implementing a program whereby each meter is visited by technical staff to enter these tokens.

In order to reduce the number of meters that will have to be visited, or key-changed, in the field, manufacturers will be instructed that all meters made from 2014 onwards, shall be coded the new base date of 2014. This means that the actual number of meters with a base date of 1993 should be dramatically reduced by the time 2024 is upon us, and not many meters will require key changes.

With the systems currently envisaged by the STS Association, this process should never have to be repeated since the base date of the meters will change every 21 years.

IECNORM.COM: Click to view the PDF of IEC 62055-41:2014 (PLV)

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NOTE STS documents are available from the STS Association world wide web www.sts.org.za

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COMMISSION ÉLECTROTECHNIQUE INTERNATIONALE

**COMPTAGE DE L'ÉLECTRICITÉ –
SYSTÈMES DE PAIEMENT –****Partie 41: Spécification de transfert normalisé (STS) –
Protocole de couche application pour les systèmes
de supports de jeton unidirectionnel**

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La Norme internationale IEC 62055-41 a été établie par le comité d'études 13 de l'IEC: Mesure de l'énergie électrique, contrôle des tarifs et de la charge.

Cette deuxième édition annule et remplace la première édition, parue en 2007. Cette édition constitue une révision technique. Cette édition inclut les modifications techniques majeures suivantes par rapport à l'édition précédente:

- Le jeton de Classe 2 est étendu de façon à inclure le transfert de crédit pour le gaz et l'eau et les extensions associées dans les jetons display/test.
- MfrCode est étendu de 2 à 4 chiffres.
- Trois dates de référence d'identificateur de jeton sont définies pour des changements de clé plus fréquents avec des procédures de passage par zéro de l'identificateur de jeton (TID).

- Un code de bonnes pratiques pour la gestion des changements de clé par passage par zéro de l'identificateur de jeton (TID) en association avec l'ensemble révisé de dates de référence.
- Des clarifications et des exemples supplémentaires ont été introduits.

Le texte de cette norme est issu des documents suivants:

CDV	Rapport de vote
13/1530/CDV	13/1553/RVC

Le rapport de vote indiqué dans le tableau ci-dessus donne toute information sur le vote ayant abouti à l'approbation de cette norme.

Cette publication a été rédigée selon les Directives ISO/IEC, Partie 2.

Une liste de toutes les parties de la série IEC 62055, publiées sous le titre général *Comptage de l'électricité – Systèmes de paiement*, peut être consultée sur le site web de l'IEC.

Le comité a décidé que le contenu de cette publication ne sera pas modifié avant la date de stabilité indiquée sur le site web de l'IEC sous "<http://webstore.iec.ch>" dans les données relatives à la publication recherchée. A cette date, la publication sera

- reconduite,
- supprimée,
- remplacée par une édition révisée, ou
- amendée.

INTRODUCTION

La série IEC 62055 couvre les systèmes de paiement, englobant les systèmes d'informations des consommateurs, les systèmes de points de vente, les supports de jetons, les compteurs de paiement et les interfaces respectives qui existent entre ces entités. Au moment de la préparation de la présente Norme, l'IEC 62055 comprenait les parties suivantes, sous le titre général, *Équipements de comptage de l'électricité – Systèmes de paiement*:

- Partie 21: Cadre pour la normalisation
- Partie 31: Exigences particulières - Compteurs statiques à paiement d'énergie active (classes 1 et 2)
- Partie 41: Spécification de transfert normalisé (STS) - Protocole de couche application pour les systèmes de supports de jeton unidirectionnel
- Partie 51: Spécification de transfert normalisé – Protocole de couche physique pour supports de jeton à carte magnétique et numérique unidirectionnel
- Partie 52: Spécification de transfert normalisé – Protocole de couche physique pour support de jeton virtuel bidirectionnel pour raccordement local direct

La série des Parties 4x spécifie les protocoles de couche application et la série des Parties 5x spécifie les protocoles de couche physique.

La Spécification de transfert normalisé (Standard transfer specification - STS) est un protocole de message sécurisé qui permet de transporter des informations entre des équipements de point de vente (Point of sale - POS) et des compteurs de paiement. Elle permet plusieurs types de message tels que les consignes concernant le crédit, la maîtrise de la configuration, l'affichage et les essais. Elle spécifie en outre les dispositifs et les codes de pratique pour permettre la prise en charge de la gestion sécurisée (génération, stockage, retrait et transport) des clés cryptographiques utilisées au sein du système.

Le support de jeton, qui n'est pas spécifié dans la présente Partie de l'IEC 62055, est le dispositif ou support physique utilisé pour transporter les informations, et ce, de l'équipement de POS vers le compteur à paiement. Trois types de supports de jetons sont actuellement spécifiés dans l'IEC 62055-51 et dans l'IEC 62055-52; la carte magnétique, le support de jeton numérique et un support de jeton virtuel, qui ont été approuvés par la STS Association. De nouveaux supports de jeton peuvent être proposés comme nouvelles études par l'intermédiaire des Comités nationaux ou par l'intermédiaire de la STS Association.

Bien que la principale mise en œuvre de la STS se situe dans l'industrie d'alimentation en électricité, elle permet la prise en charge de la gestion d'autres services d'une entreprise de distribution comme l'eau et le gaz. Il convient de noter que certaines fonctionnalités peuvent ne pas s'appliquer dans tous les services d'une entreprise de distribution, un exemple en étant "MaximumPowerLimit" dans le cas d'un compteur d'eau. De même, certaines terminologies peuvent ne pas être appropriées dans des applications hors du domaine de l'électricité, un exemple en étant "Load Switch" dans le cas d'un compteur de gaz. Les révisions futures de la STS peuvent permettre la prise en charge d'autres technologies de supports de jeton comme les cartes intelligentes et les clés à mémoire avec une fonctionnalité bidirectionnelle et permettre une horloge temps réel et des tarifs complexes dans le compteur à paiement.

Toutes les exigences spécifiées dans la présente Norme ne sont pas obligatoires pour une mise en œuvre dans une configuration particulière de système. À titre de lignes directrices, un choix de paramètres de configuration facultatifs est énuméré à l'Article C.11.

La STS Association est enregistrée auprès de l'IEC comme une Autorité d'enregistrement pour fournir des services de maintenance en appui à la STS (voir Article C.1 pour plus d'informations).

La publication de l'IEC 62055-41 Éd. 1 en mai 2007 a conduit à son adoption rapide comme la norme globale préférentielle pour les compteurs de prépaiement dans plusieurs pays membres de l'IEC et dans une majorité de pays membres affiliés à l'IEC. Des compteurs d'électricité pour le prépaiement et leurs systèmes de paiement associés sont maintenant produits, exploités et maintenus dans un écosystème d'entreprises de distribution, de constructeurs de compteurs, d'opérateurs de compteurs, de fournisseurs de système distributeur, d'agents de vente, d'institutions bancaires et d'industries adjacentes. Les intérêts pluripartites sont servis par la STS Association comportant plus de 130 organisations sises dans plus de 24 pays. L'interopérabilité et la conformité au Système de transfert normalisé (STS) sont garanties par des spécifications d'essai de conformité développées et gérées par la STS Association. Une liste complète des services de la STS Association peut être consultée à l'adresse <http://www.sts.org.za/>.

Initialement développée pour des compteurs d'électricité de prépaiement en Afrique - par l'intermédiaire d'une liaison de type D du groupe de travail WG 15 du Comité d'études 13 de l'IEC avec la STS Association - la présente Norme IEC sert maintenant plus d'utilisateurs en Asie qu'en Afrique, avec un total d'environ 35 millions de compteurs exploités par 400 entreprises de distribution dans 30 pays. La gestion de la technologie a été administrée par la STS Association dans le cadre de l'accomplissement de son rôle d'Autorité d'enregistrement désignée par l'IEC.

Le succès global a engendré un besoin pressant d'étendre la gamme des éléments numériques contenus dans les tableaux de l'IEC 62055-41. En particulier, il est nécessaire d'étendre la plage des numéros de constructeurs au-delà des 99 numéros initialement fournis. En outre, l'application de la norme a été étendue pour permettre des systèmes d'énergies multiples comprenant des compteurs de gaz et d'eau. En conséquence, le besoin existe d'assurer que le contenu de l'IEC 62055-41 est maintenu pour permettre cette croissance du marché et ces extensions à énergies multiples.

Plusieurs corrections et clarifications sont également requises pour réactualiser l'Édition 1 par rapport à la pratique courante. Cela avait été envisagé par le groupe de travail WG 15 du CE 13 lors de sa réunion du 20 septembre 2012 à Londres. Selon l'accord conclu, il convient de réviser l'IEC 62055-41.

Seules les révisions requises les plus urgentes ont été incorporées dans l'Édition 2 en raison des contraintes de temps, mais il est prévu que l'Édition 3 envisage des révisions supplémentaires afin d'incorporer les fonctionnalités suivantes:

- Transfert de devises
- Sécurité renforcée allant de pair avec les pratiques industrielles contemporaines
- Fonctions complexes pleinement harmonisées avec la suite DLMS/COSEM (Device Language Message Specification/Companion Specification for Energy Metering, à savoir "Spécification des messages de langage de dispositif/Spécification d'accompagnement pour le comptage d'énergie
- Système de gestion décentralisée de clés avec une architecture distribuée
- Suite d'essais de certification de conformité conjointement avec la Méthode OC de l'IECEE

La Commission Electrotechnique Internationale (IEC) attire l'attention sur le fait qu'il est déclaré que la conformité avec les dispositions du présent document peut impliquer l'utilisation d'un brevet intéressant l'identificateur de jeton réservé spécial indiqué en 6.3.5.2.

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L'ISO (www.iso.org/patents) et l'IEC (<http://patents.iec.ch>) maintiennent des bases de données, consultables en ligne, des droits de propriété pertinents à leurs normes. Les utilisateurs sont encouragés à consulter ces bases de données pour obtenir l'information la plus récente concernant les droits de propriété.

La Commission Électrotechnique Internationale (IEC) attire l'attention sur le fait qu'il est déclaré que la conformité aux dispositions de la présente Norme Internationale peut impliquer l'utilisation d'un service de maintenance concernant la gestion de clé de chiffrement et la pile de protocoles sur lesquels est basée la présente Norme IEC 62055-41 [Voir Article C.1]. L'IEC ne prend pas position quant à la preuve, la validité et la portée de ces services de maintenance.

Le fournisseur du service de maintenance a donné l'assurance à l'IEC qu'il consent à fournir ces services aux demandeurs du monde entier, à des termes et conditions raisonnables et non discriminatoires. À ce propos, la déclaration du fournisseur du service de maintenance est enregistrée à l'IEC. Des informations peuvent être demandées à:

Adresse: The STS Association, P.O. Box 868, Ferndale 2160, Republic of South Africa
Tél.: +27 11 061 5000
Fax: +27 86 679 4500
Email: sts@vdw.co.za
Site web: <http://www.sts.org.za>

COMPTAGE DE L'ÉLECTRICITÉ – SYSTÈMES DE PAIEMENT –

Partie 41: Spécification de transfert normalisé (STS) – Protocole de couche application pour les systèmes de supports de jeton unidirectionnel

1 Domaine d'application

La présente Partie de l'IEC 62055 spécifie le protocole de couche application de la STS pour transférer des unités de crédit et autres informations de gestion, et ce, d'un système de point de vente (POS) vers un compteur à paiement conforme à la STS dans un système de support de jeton unidirectionnel. À l'origine, elle est destinée à être appliquée avec les compteurs à paiement d'électricité simple tarif utilisant des jetons basés sur l'énergie. Mais elle peut également être appliquée aux systèmes de jeton basés sur la monnaie et pour les services autres que l'électricité.

Elle spécifie:

- une interface POS/support de jeton structurée avec un protocole de couche application et un protocole de couche physique utilisant le modèle OSI comme référence;
- des jetons pour le protocole de couche application pour transférer les divers messages du POS vers le compteur à paiement;
- des fonctions et des processus de sécurité dans le protocole de couche application tels que l'Algorithme de transfert normalisé (Standard Transfer Algorithm) et l'Algorithme de chiffrement de données (Data Encryption Algorithm), y compris la génération et la distribution des clés cryptographiques associées;
- des fonctions et des processus de sécurité dans le protocole de couche application au niveau du compteur à paiement tels que les algorithmes de déchiffrement, l'authentification, la validation et l'annulation de jeton;
- des exigences spécifiques relatives au processus d'application de compteur en réponse aux jetons reçus;
- une méthode pour traiter de la fonctionnalité de compteur à paiement dans le processus d'application de compteur et les spécifications d'accompagnement associées;
- des exigences génériques relatives à un système de gestion de clé conforme à la STS;
- des lignes directrices pour un système de gestion de clé;
- des entités et des identificateurs utilisés dans un système STS;
- le code de bonnes pratiques pour la gestion des changements de clé par passage par zéro de l'identificateur de jeton (TID) en association avec l'ensemble révisé de dates de référence;
- le code de bonnes pratiques et les services de support à la maintenance provenant de la STS Association.

Elle est destinée à être utilisée par les constructeurs de compteurs à paiement tenus d'accepter les jetons conformes à la STS et aussi par les constructeurs de systèmes POS tenus de produire des jetons conformes à la STS. Elle est à lire conjointement avec la série IEC 62055-5x.

Les produits conformes à la STS sont tenus de se conformer à des parties sélectives de la présente Norme internationale seulement, celles-ci sont l'objet du contrat d'achat (voir aussi Article C.11).

NOTE Bien qu'elle ait été mise au point pour les systèmes de paiement pour l'électricité, la norme prend également des dispositions pour les jetons utilisés dans d'autres services d'entreprise de distribution, tels que l'eau et le gaz.

2 Références normatives

Les documents suivants sont cités en référence de manière normative, en intégralité ou en partie, dans le présent document et sont indispensables pour son application. Pour les références datées, seule l'édition citée s'applique. Pour les références non datées, la dernière édition du document de référence s'applique (y compris les éventuels amendements).

IEC 60050 (toutes les parties), *Vocabulaire Electrotechnique International* (disponible à <<http://www.electropedia.org>>)

IEC 62051:1999, *Electricity metering – Glossary of terms* (disponible en anglais seulement)

IEC 62055-21:2005, *Electricity metering – Payment systems – Part 21: Framework for standardization* (disponible en anglais seulement)

IEC 62055-31:2005, *Équipements de comptage de l'électricité – Systèmes à paiement – Partie 31: Exigences particulières – Compteurs statiques à paiement d'énergie active (classes 1 et 2)*

IEC 62055-51:2007, *Electricity metering – Payment systems – Part 51: Standard transfer specification (STS) – Physical layer protocol for one-way numeric and magnetic card token carriers* (disponible en anglais seulement)

IEC 62055-52:2008, *Electricity metering – Payment systems – Part 52: Standard transfer specification (STS) – Physical layer protocol for a two-way virtual token carrier for direct local connection* (disponible en anglais seulement)

ISO/IEC 7812-1:2006, *Identification cards -- Identification of issuers -- Part 1: Numbering system* (disponible en anglais seulement)

ISO/IEC 7812-2:2007, *Identification cards -- Identification of issuers -- Part 2: Application and registration procedures* (disponible en anglais seulement)

ANSI X3.92-1981, *American National Standard Data Encryption Algorithm, American National Standards Institute – Data Encryption Algorithm* (disponible en anglais seulement)

FIPS PUB 46-3:1999, *Federal Information Processing Standards Publication – Data Encryption Standard* (disponible en anglais seulement)

3 Termes, définitions et abréviations

3.1 Termes et définitions

Pour les besoins du présent document, les termes et définitions donnés dans l'IEC 60050-300, l'IEC 62051, l'IEC 62055-31 ainsi que les suivants s'appliquent.

NOTE Lorsqu'il existe une différence entre les définitions de la présente Norme et celles contenues dans d'autres normes IEC de référence, les définitions de la présente Norme prévalent.

Le terme "compteur" est utilisé de façon interchangeable avec "compteur à paiement", "compteur à prépaiement" et "décodeur", lorsque le décodeur est une sous-partie d'un compteur à paiement d'électricité ou d'un compteur à paiement en plusieurs parties.

Le terme "POS" est utilisé comme synonyme de "CIS" (système d'information des consommateurs), de "SIG" (Système d'informations de gestion) et de "TSP" (Terminal de saisie portable) en ce sens que les jetons peuvent également être générés par ces entités et transférés entre elles et le compteur à paiement.

Le terme "entreprise de distribution" est utilisé pour signifier le fournisseur du service dans un sens général. Dans les marchés libéralisés, la partie contractante réelle qui agit comme le "fournisseur" du service au consommateur peut ne pas être l'entreprise de distribution traditionnelle en tant que telle, mais peut être une tierce partie de fournisseur de service.

3.1.1

spécification d'accompagnement

spécification gérée par la STS Association, qui définit une instance spécifique d'un MeterFunctionObject (voir 5.5 et Article C.8)

3.1.2

décodeur

partie intégrante de la TokenCarrierToMeterInterface d'un compteur à paiement qui accomplit les fonctions du protocole de couche application et qui permet que des transactions à base de jetons aient lieu entre un POS et le compteur à paiement

3.1.3

numéro de série de compteur

nombre associé à la partie métrologique du compteur à paiement

Note 1 à l'article: Dans un compteur à paiement en une seule partie, le DRN (numéro de référence de décodeur) et le numéro de série de compteur peuvent être synonymes, alors qu'ils peuvent être différents dans un compteur à paiement en plusieurs parties.

3.1.4

jeton

sous-ensemble d'éléments de données, contenant une instruction et de l'information qui sont présentes dans l'APDU de la couche application de la POSToTokenCarrierInterface, et qui est également transféré vers le compteur à paiement au moyen d'un support de jeton (l'inverse est également vrai dans le cas d'un jeton envoyé du compteur à paiement vers le POS)

3.1.5

support de jeton

support qui est utilisé dans la Couche physique de la POSToTokenCarrierInterface, sur lequel un jeton est modulé ou codé et qui sert à transporter un jeton du point où il est généré vers le compteur à paiement distant, où il est reçu

3.1.6

système de support de jeton unidirectionnel

système de comptage pour paiement qui utilise des supports de jetons qui transfèrent l'information dans un seul sens – du POS vers le compteur à paiement

3.1.7

transaction à base de jeton

traitement d'un jeton quelconque par le compteur à paiement qui a l'effet matériel sur la quantité, la valeur ou la qualité du service à fournir au consommateur sous le contrôle du compteur à paiement (pour les bonnes pratiques courantes, cela signifie des jetons de Classe 0 et de Classe 2)

3.1.8

pris(e) en charge

aptitude à accomplir une fonction définie

Note 1 à l'article: Si une fonction prise en charge est désactivée, elle reste prise en charge.

3.2 Abréviations

ANSI	American National Standards Institute (Institut national de normalisation des États-Unis d'Amérique)
APDU	ApplicationProtocolDataUnit (Unité de données de protocole d'application)
CA	CertificationAuthority (Autorité de certification)
CC	CountryCode (Code de pays)
CIS	Customer Information System (Système d'information des consommateurs)
CM	CryptographicModule (Module cryptographique)
CMAC	CryptographicModuleAuthenticationCode (Code d'authentification de module cryptographique)
CMID	CryptographicModuleIdentifier (Identificateur de module cryptographique)
COP	Code of practice (Code de bonnes pratiques)
CRC	CyclicRedundancyCode (Code de redondance cyclique)
DAC	DeviceAuthenticationCode (Code d'authentification de dispositif)
DCTK	DecoderCommonTransferKey (Clé de transfert commune de décodeur)
DD	Discretionary Data (Données discrétionnaires)
DDTK	DecoderDefaultTransferKey (Clé de transfert par défaut de décodeur)
DEA	Data Encryption Algorithm (Algorithme de chiffrement de données)
DES	Data Encryption Standard (Norme de chiffrement de données)
DITK	DecoderInitializationTransferKey (Clé de transfert d'initialisation de décodeur)
DK	DecoderKey (Clé de décodeur)
DKGA	DecoderKeyGenerationAlgorithm (Algorithme de génération de clé de décodeur)
DKR	DecoderKeyRegister (Registre de clés de décodeur)
DOE	DateOfExpiry (Date d'expiration)
DRN	DecoderReferenceNumber [(Numéro de référence de décodeur) appelé "numéro de compteur" dans les systèmes utilisés avant la mise au point de la présente Norme]
DSN	DecoderSerialNumber (Numéro de série de décodeur)
DUTK	DecoderUniqueTransferKey (Clé de transfert unique de décodeur)
EA	EncryptionAlgorithm (Algorithme de chiffrement)
ECB	Electronic Code Book (Livre de code électronique)
ETX	ASCII End of Text character (Caractère fin de texte ASCII)
FAC	FirmwareAuthenticationCode (Code d'authentification de firmware)
FIPS	Federal Information Processing Standards (Normes fédérales pour le traitement de l'information)
FOIN	FunctionObjectIdentificationNumber (Numéro d'identification d'objet fonction)
FS	FieldSeparator (Séparateur de champ)
GPRS	General Packet Radio Service (Service général de radiocommunication en mode paquet)
GSM	Global System For Mobile Communications (Système global de communications mobiles)
TSP	HandHeldUnit (Terminal de saisie portable)
IAIN	IndividualAccountIdentificationNumber (Numéro d'identification de compte individuel)
ID	Identification; Identificateur

IIN	IssuerIdentificationNumber (Numéro d'identification d'émetteur)
RNIS	Réseau numérique à intégration de services
ISO	International Standards Organisation (Organisation internationale de normalisation)
ISO BIN	Remplacé par IIN
KCT	KeyChangeToken (Jeton de changement de clé)
KEK	KeyExchangeKey (Clé d'échange de clé)
KEN	KeyExpiryNumber (Numéro d'expiration de clé)
KLF	KeyLoadFile (Fichier de chargement de clés)
KMC	KeyManagementCentre (Centre de gestion de clé)
KMI	KeyManagementInfrastructure (Infrastructure de gestion de clé)
KMS	KeyManagementSystem (Système de gestion de clé)
KRN	KeyRevisionNumber (Numéro de révision de clé)
KT	KeyType (Type de clé)
LAN	Local Area Network (Réseau local)
LRC	LongitudinalRedundancyCheck (Contrôle de redondance longitudinale)
MFO	MeterFunctionObject (Objet fonction de compteur)
Mfr	Manufacturer (Constructeur)
MII	MajorIndustryIdentifier (Identificateur de la principale activité économique)
SIG (MIS)	Système d'informations de gestion (Management Information System)
MPL	MaximumPowerLimit (Limite de puissance maximale)
MPPUL	MaximumPhasePowerUnbalanceLimit (Limite maximale de déséquilibre de puissance de phases)
NIST	National Institute of Standards and Technology (Institut national américain des normes et des technologies)
NKHO	bits NewKeyHighOrder (bits de poids fort de nouvelle clé)
NKLO	bits NewKeyLowOrder (bits de poids faible de nouvelle clé)
NWIP	New Work Item Proposal (Proposition d'étude nouvelle)
OSI	Open Systems Interconnection (Interconnexion de systèmes ouverts)
PAN	PrimaryAccountNumber (Numéro de compte primaire)
PLC	Power Line Carrier (Courants Porteurs en Ligne)
POS	PointOfSale (Point de vente)
PRN	Printer (Imprimante)
RTPC	Réseau téléphonique public commuté
RND	RandomNumber (Nombre aléatoire)
RO	Rollover (Passage par zéro)
SG	SupplyGroup (Groupe d'alimentation/approvisionnement)
SGC	SupplyGroupCode (Code de groupe d'alimentation/approvisionnement)
STA	Standard Transfer Algorithm (Algorithme de transfert normalisé)
STS	Standard Transfer Specification (Spécification de transfert normalisé)
STSA	Standard Transfer Specification Association (STS Association, Association de Spécification de transfert normalisé)
STX	ASCII Start of Text character (Caractère début de texte ASCII)
TCDU	TokenCarrierDataUnit (Unité de données de support de jeton)

TCT	TokenCarrierType (Type de support de jeton)
TDEA	Triple Data Encryption Algorithm (Algorithme de triple chiffrement de données)
TI	TariffIndex (Index de tarifs)
TID	TokenIdentifier (Identificateur de jeton)
UC	UtilityCode (Code d'entreprise de distribution)
VCDK	VendingCommonDESKey (Clé DES commune de vente)
VDDK	VendingDefaultDESKey (Clé DES par défaut de vente)
VK	VendingKey (Clé de vente)
VUDK	VendingUniqueDESKey (Clé DES unique de vente)
WAN	Wide Area Network (Réseau étendu)
XOR	OU exclusif (logique)

3.3 Notation et terminologie

Tout au long de la présente Norme, les règles suivantes sont observées en ce qui concerne la dénomination des termes:

- les noms d'entité, les noms d'élément de données, les noms de fonction et les noms de processus sont traités comme des classes d'objets génériques et reçoivent des noms sous la forme d'expressions dans lesquelles les mots sont en majuscules et aboutés sans espaces. Par exemple: SupplyGroupCode comme nom d'élément de données, EncryptionAlgorithm07 comme nom de fonction et TransferCredit comme nom de processus (voir la note);
- une référence directe (spécifique) à une classe nommée d'objets utilise la forme en majuscules, alors qu'une référence générale (non spécifique) utilise le texte conventionnel, à savoir la forme en minuscules sans espaces. Un exemple de référence directe est "Le SupplyGroupCode (CodeDeGroupeDALimentation) est lié à un groupe de compteurs", alors qu'un exemple de référence générale est "Un "supply group code" (code de groupe d'alimentation) relie à une clé de vente";
- d'autres termes utilisent les formes abrégées généralement acceptées comme RTPC pour "Réseau téléphonique public commuté".

NOTE La notation utilisée pour la dénomination d'objets a été alignée sur ladite "notation-chameau" utilisée dans les normes du Modèle d'information Commun (Common Information Model - CIM)) établies par le CE 57 de l'IEC, afin de faciliter l'harmonisation et l'intégration futures des normes de système de paiement avec les normes CIM.

4 Conventions de numérotation

Dans la présente Norme, la représentation des nombres en chaînes binaires utilise la convention selon laquelle le bit de poids faible est à droite et le bit de poids fort à gauche.

La numérotation des positions binaires commence par la position binaire 0, qui correspond au bit de poids faible d'un nombre binaire.

Les nombres sont généralement au format décimal, sauf indication contraire. Tout chiffre sans indicateur sous-entend le format décimal.

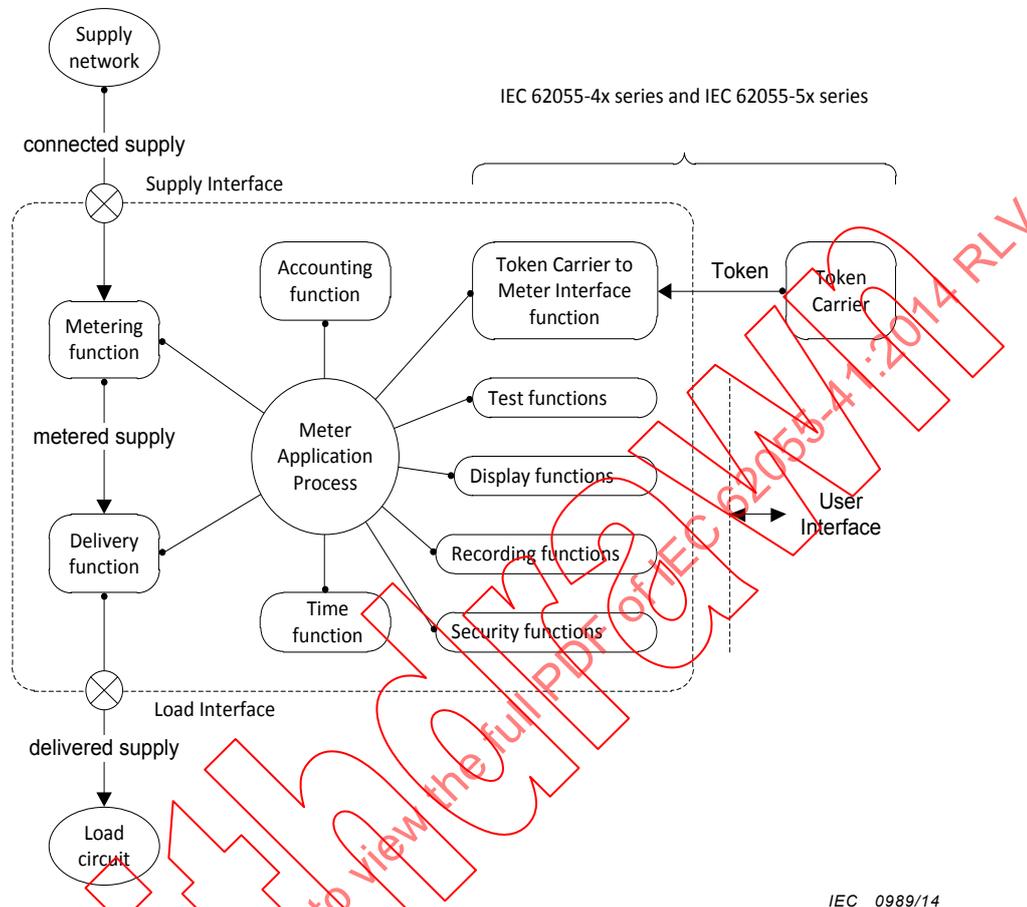
Les valeurs des chiffres binaires se situent dans la plage 0 à 1.

Les valeurs des chiffres décimaux se situent dans la plage 0 à 9.

Les valeurs des chiffres hexadécimaux se situent dans les plages 0 à 9, A à F et sont indiquées par "hex".

5 Modèle de référence pour la spécification de transfert normalisé

5.1 Diagramme fonctionnel de référence pour compteur à paiement générique



Légende

Anglais	Français
Token	Jeton
Token Carrier	Support de jeton
Token Carrier to Meter Interface function	Fonction de l'interface Support de jeton/Compteur
Accounting function	Fonction de comptabilisation
Metering function	Fonction de comptage
Delivery function	Fonction de livraison
Supply network	Réseau d'approvisionnement
Load circuit	Circuit de charge
metered supply	alimentation comptée
delivered supply	alimentation livrée
connected supply	alimentation connectée
Display functions	Fonctions d'affichage
Recording functions	Fonctions d'enregistrement
Test functions	Fonctions d'essai
Security functions	Fonctions de sécurité
Time function	Fonctions de temps

Anglais	Français
Meter Application Process	Processus d'application de compteur
Supply Interface	Interface d'alimentation/approvisionnement
Load Interface	Interface de charge
User Interface	Interface utilisateur
IEC 62055-4x series and IEC 62055-5x series	Série IEC 62055-4x et série IEC 62055-5x

Figure 1 – Diagramme fonctionnel en blocs d'un compteur à paiement générique en une seule partie

La série IEC 62055-4x traite principalement du protocole de couche application et la série IEC 62055-5x du protocole de couche physique de la TokenCarrierToMeterInterface. Le TokenCarrier est inclus dans la Couche physique. Dans la présente Norme, le Decoder (décodeur, voir Article 3) est défini comme étant la partie intégrante du compteur à paiement où sont situées les fonctions de la Couche application de la TokenCarrierToMeterInterface et, donc, un DRN lui est alloué (voir 6.1.2.3).

NOTE Les MeterFunctionObjects font l'objet d'un débat plus approfondi en 5.5.

Dans un compteur à paiement en une seule partie, toutes les fonctions essentielles sont situées dans une seule enveloppe telle que représentée à la Figure 1 ci-dessus, cas dans lequel le décodeur est intégré à la fonction de comptage et le DRN peut donc être facultativement synonyme du numéro de série du compteur.

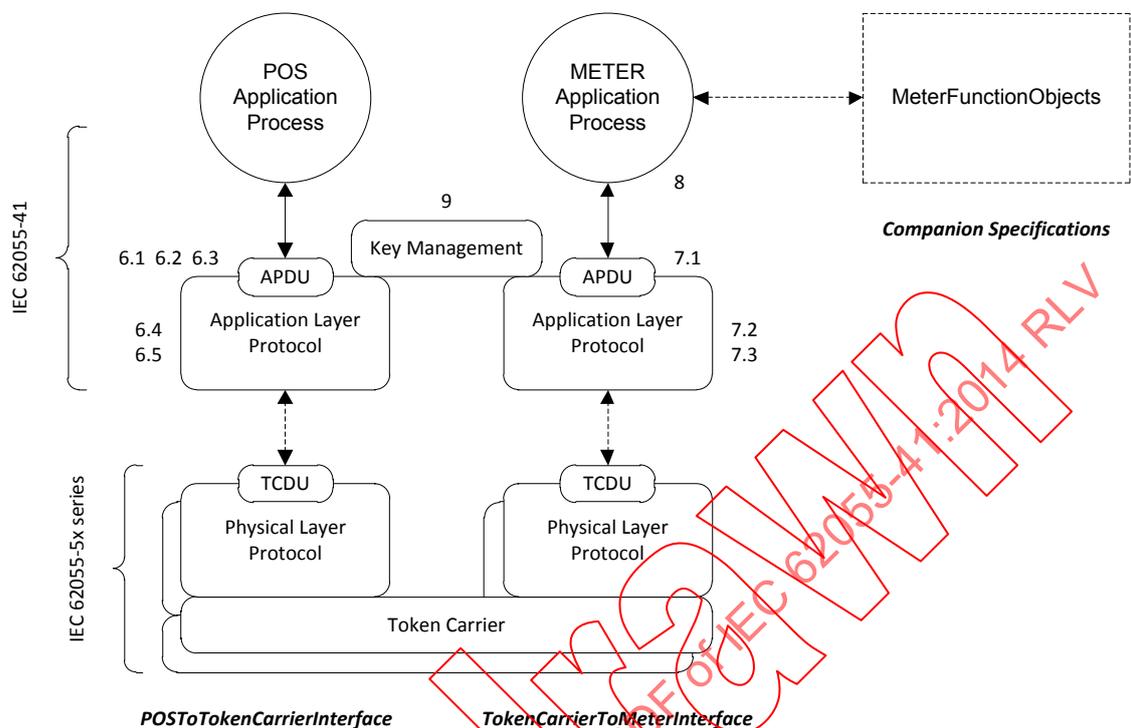
Dans un compteur à paiement en plusieurs parties, la TokenCarrierToMeterInterface peut être située dans une enveloppe séparée de celle de la fonction de comptage, par exemple, qui peut tout aussi bien se trouver dans un compteur autonome de son plein droit et ayant son propre numéro de série de compteur. Dans ce cas, le DRN n'est pas identique au numéro de série de compteur, mais est distinctement différent et est ainsi marqué sur l'enveloppe contenant le décodeur.

Dans tous les cas, il doit y avoir une seule mise en œuvre de la Couche application et il doit donc y avoir un seul DRN associé à un compteur à paiement, qu'il soit en une seule partie ou en plusieurs parties, même s'il peut y avoir plus d'une mise en œuvre de la Couche physique dans le même compteur à paiement.

Les fonctions de Couche application et les fonctions de Couche physique peuvent également être situées dans des enveloppes distinctes, auquel cas le marquage (voir 8.3) du DRN et du code EA est apposé sur la partie qui contient le point de connexion du TokenCarrier physique. Cela peut être un connecteur de câbles ou de modem pour un support de jeton virtuel, un clavier numérique pour un support de jeton numérique ou un lecteur de carte magnétique pour un support de jeton de carte magnétique, par exemple (voir également 5.2 pour plus d'exemples de supports de jeton).

Pour une description plus complète des classes de fonctions des compteurs à paiement, voir l'IEC 62055-21.

5.2 Modèle de référence de protocole STS



IEC 0990/14

Légende

APDU ApplicationProtocolDataUnit; interface de données au protocole de couche application

TCDU TokenCarrierDataUnit; interface de données au protocole de couche physique

Les références des numéros d'articles pertinents dans la présente Norme sont indiquées à côté de chaque encadré.

Légende

Anglais	Français
Token Carrier	Support de jeton
Physical Layer Protocol	Protocole de couche physique
Application Layer Protocol	Protocole de couche application
TCDU	TCDU
METER Application Process	Processus d'application COMPTEUR
POS Application Process	Processus d'application POS
APDU	APDU
IEC 62055-5x series	Série IEC 62055-5x
Key Management	Gestion de clés
POSToTokenCarrierInterface	Interface POS/Support de jeton
Companion Specifications	Spécifications d'accompagnement
TokenCarrierToMeterInterface	Interface Support de jeton/Compteur
MeterFunctionObjects	Objets fonction de compteur

Figure 2 – STS modélisée comme une pile protocolaire OSI réduite à 2 couches

La STS est un protocole de transfert sécurisé de données entre un POS et un compteur à paiement utilisant un support de jeton comme support de transfert. Le protocole de couche application traite des jetons et des fonctions et processus de chiffrement, alors que le protocole de couche physique traite du codage réel des données du jeton sur un support de jeton (voir Figure 2).

Les exemples des dispositifs supports de jetons physiquement transportables sont: les numériques, les cartes magnétiques, les cartes à mémoire et les clés à mémoire. Des exemples de supports de jetons virtuels sont: modem RTPC, modem RNIS, modem GSM, modem GPRS, modem radio, modem PLC, connexions en infrarouge, connexions LAN et WAN, connexion locale directe Ils sont définis dans la série IEC 62055-5x.

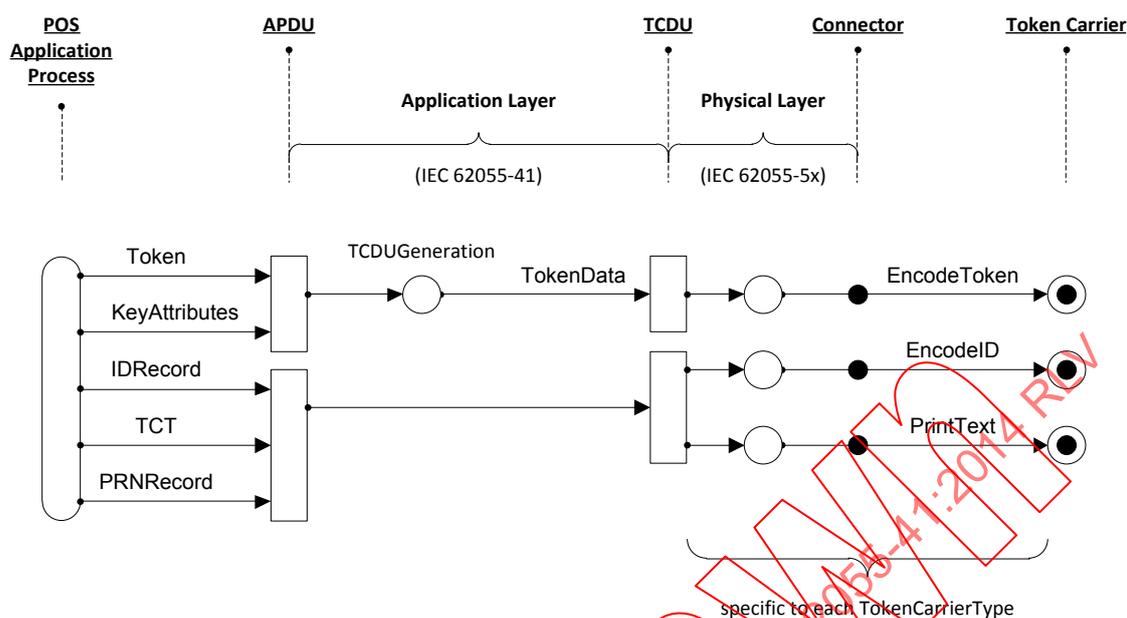
Il doit être noté que même si le modèle décrit principalement un POS au support de jeton au protocole de compteur à paiement, le même protocole est également applicable à n'importe quel autre dispositif qui exige de communiquer avec le compteur à paiement, par exemple CIS, SIG ou TSP portatif.

Bien qu'une architecture OSI réduite à 2 couches soit suivie dans la présente Norme, elle n'exclut pas une extension future pour inclure plus de couches si besoin est. Elle n'interdit pas non plus que le réalisateur intercale des couches supplémentaires entre les deux couches montrées dans le modèle.

L'APDU est l'interface de données au protocole de couche application, spécifiée dans l'IEC 62055-41, tandis que la TCDU est l'interface de données au protocole de couche physique, spécifiée dans la série IEC 62055-5x.

La STS dans la présente Norme définit un protocole de transfert de données en un seul sens (à savoir du POS vers le compteur à paiement), bien que le modèle de référence permette également un protocole de transfert dans les deux sens, qui peut être une exigence dans une future révision de la présente Norme.

5.3 Flux de données du POS Application Process vers le Token Carrier



IEC 0991/14

Légende

Anglais	Français
TCDUGeneration	Génération de TCDU
EncodeToken	Coder le jeton
specific to each TokenCarrierType	Spécifique à chaque type de support de jeton
POS Application Process	Processus application POS
APDU	APDU (Unité de données de processus application)
Application Layer (IEC 62055-41)	Couche application (IEC 62055-41)
TCDU	TCDU (Unité de données de support de jeton)
Physical Layer (IEC 62055-5x)	Couche physique (IEC 62055-5x)
Connector	Connecteur
Token Carrier	Support de jeton
Token	Jeton
TokenData	Données du jeton
KeyAttributes	Attributs de clé
IDRecord	Enregistrement d'ID
EncodeID	Coder l'ID
TCT	Type de support de jeton
PRNRecord	Enregistrement de PRN
PrintText	Imprimer le texte

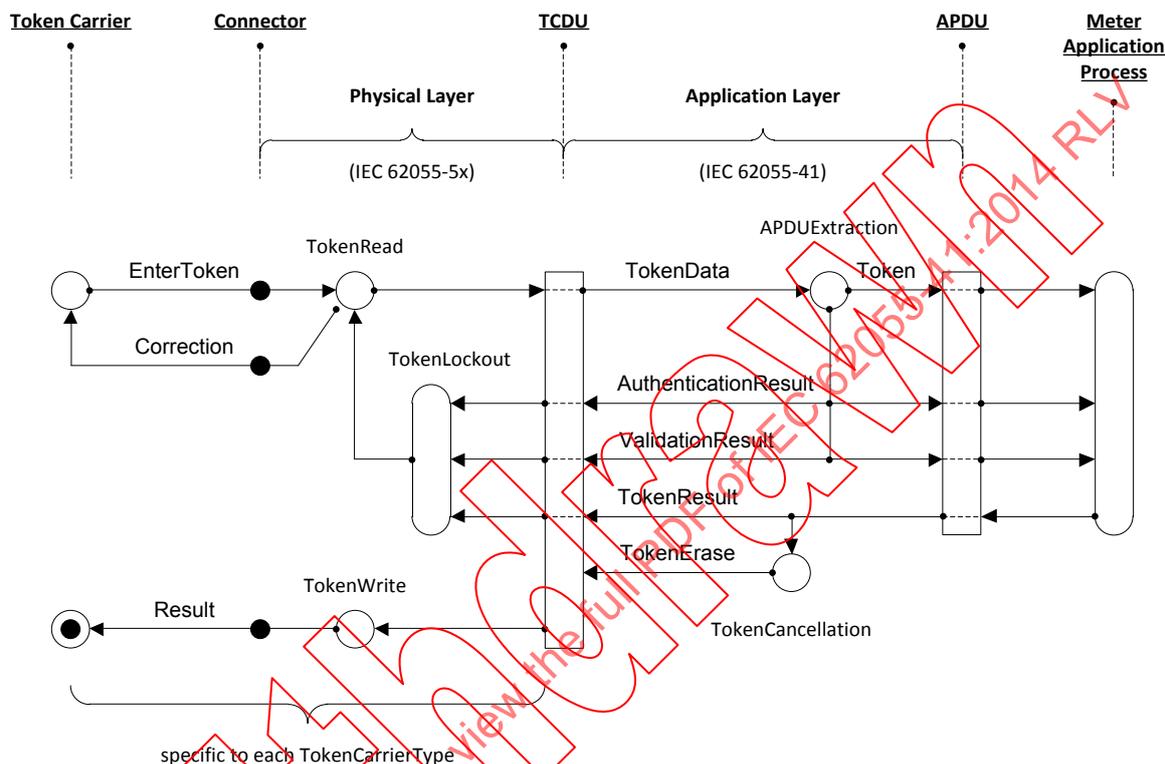
Figure 3 – Flux de données du POSApplicationProcess vers le TokenCarrier

Le flux de données du POSApplicationProcess vers le TokenCarrier est montré à la Figure 3.

Le POSApplicationProcess présente à l'APDU le jeton accompagné des KeyAttributes de la DecoderKey à utiliser pour chiffrer le jeton. Le protocole de couche application génère la DecoderKey, chiffre le jeton et présente les TokenData obtenues dans la TCDU. Le protocole de couche physique code les TokenData sur le TokenCarrier. En option, les données

d'identification du compteur à paiement peuvent également être codées sur le TokenCarrier (voir 5.2.4 dans l'IEC 62055-51:2007, par exemple) ainsi que le texte imprimé sur la surface extérieure (voir 5.1.5 dans l'IEC 62055-51:2007, par exemple). Cette partie du processus se termine essentiellement avec le codage des données sur le TokenCarrier, après quoi le TokenCarrier est transporté vers le compteur à paiement (habituellement par le client), où il est introduit dans le compteur à paiement par l'intermédiaire de la TokenCarrierInterface.

5.4 Flux de données du TokenCarrier vers le MeterApplicationProcess



IEC 0992/14

Légende

Anglais	Français
Token Carrier	Support de jeton
Connector	Connecteur
Physical Layer (IEC 62055-5x)	Couche physique (IEC 62055-5x)
TCDU	TCDU
Application Layer (IEC 62055-41)	Couche application (IEC 62055-41)
APDU	APDU
Meter Application Process	Processus application compteur
EnterToken	Introduire le jeton
TokenRead	Lire le jeton
Correction	Correction
TokenLockout	Verrouillage de jeton
APDUExtraction	Extraction d'unité APDU
TokenData	Données du jeton
Token	Jeton
AuthenticationResult	Résultat de l'authentification
ValidationResult	Résultat de la validation
TokenResult	Résultat jeton

Anglais	Français
TokenErase	Effacer le jeton
TokenCancellation	Annulation de jeton
TokenWrite	Écrire le jeton
Result	Résultat
specific to each TokenCarrierType	spécifique à chaque type de support de jeton

Figure 4 – Flux de données du TokenCarrier vers le MeterApplicationProcess

Le flux de données du TokenCarrier vers le MeterApplicationProcess est montré à la Figure 4.

Le processus d'introduction du jeton en provenance du TokenCarrier varie selon le TCT. De même, la nature du connecteur varie en fonction du TCT, dont un exemple peut être un clavier numérique ou un dispositif lecteur de carte magnétique prenant en charge les supports de jeton unidirectionnel tels que spécifiés dans l'IEC 62055-51.

NOTE D'autres types de connecteurs sont requis pour prendre en charge d'autres types de supports de jeton, tels qu'un dispositif de lecteur de clé à mémoire ou un connecteur enfichable à partir d'un terminal de saisie portable agissant comme support de jeton virtuel. De tels supports de jeton peuvent être spécifiés dans des parties supplémentaires de l'IEC 62055-5x dans le futur.

Le protocole de couche physique lit les données du jeton saisies et fournit une immédiate rétroaction corrective à l'utilisateur (voir 6.3 de l'IEC 62055-51:2007, par exemple). Les données du jeton saisies sont présentées dans la TCDU, d'où le protocole de couche application extrait le jeton par une opération appropriée de déchiffrement, validation et authentification, dont les résultats sont présentés au MeterApplicationProcess dans l'APDU. Après traitement et exécution de l'instruction à partir du jeton, le MeterApplicationProcess indique le résultat dans l'APDU pour que le protocole de couche application entreprenne une action ultérieure. Cela provoque normalement l'annulation du TID et la remise de l'instruction, par l'intermédiaire de la TCDU, au protocole de couche physique de parachever le processus de saisie de jeton par l'effacement des données du jeton (si cela est approprié) ou par l'écriture d'autres données pertinentes sur le TokenCarrier selon ce qui peut être approprié.

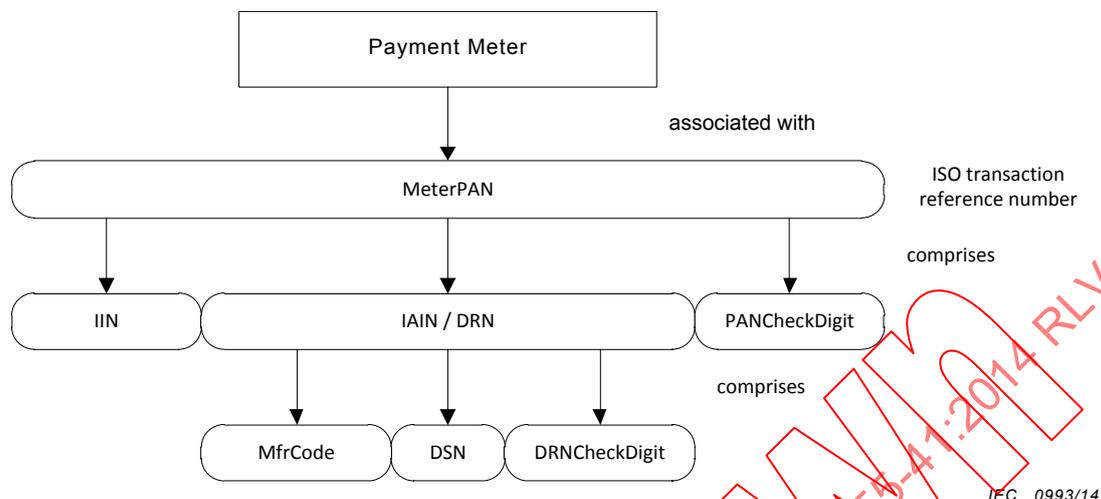
Pour certains types de TokenCarrier (un support de jeton virtuel à grande vitesse, par exemple), le protocole de couche physique peut utiliser une fonction de verrouillage de saisie de jeton pour protéger le compteur à paiement contre des tentatives de fraude. Typiquement, une telle fonction de verrouillage ralentit le débit effectif, auquel les jetons peuvent être introduits par l'interface du support de jeton particulier (voir 6.6.7 de l'IEC 62055-52:2008 par exemple).

5.5 MeterFunctionObjects / spécifications d'accompagnement

La Figure 1 permet de voir que la TokenCarrierToMeterInterface, qui inclut également le TokenCarrier, est traitée dans la série IEC 62055-4x et la série IEC 62055-5x. Les MeterFunctionObjects restants montrés dans le diagramme sont définis dans des spécifications d'accompagnement et ne sont pas normatifs dans la présente Norme.

Les spécifications d'accompagnement (voir Figure 2) sont sous le contrôle administratif (voir Article C.8) de la STS Association et servent à définir la fonctionnalité d'un compteur à paiement d'une manière normalisée, en utilisant une approche orientée objet.

5.6 Numéros de référence des transactions ISO



Légende

Anglais	Français
Payment Meter	Compteur à paiement
associated with	associé à
MeterPAN	Numéro de compte primaire du compteur
ISO transaction reference number	Numéro ISO de référence de la transaction
IIN	Numéro d'identification d'émetteur
IAIN / DRN	Numéro d'identification de compte individuel /Numéro de référence de décodeur
PANCheckDigit	Chiffre de vérification du numéro de compte primaire
comprises	comprend
MfrCode	Code constructeur
DSN	Numéro de série de décodeur
DRNCheckDigit	Chiffre de vérification du numéro de référence de décodeur

Figure 5 – Composition d'un numéro de référence de transaction ISO

Le numéro de référence de transaction ISO comprend les éléments de données et leurs relations tels que montrés à la Figure 5.

Une transaction à base de jeton (voir Article 3) constitue une activité financière qu'il est nécessaire de traiter conformément aux bonnes pratiques financières normalisées.

Le PrimaryAccountNumber (PAN) tel que défini par l'ISO/IEC 7812-1 sert à étiqueter des enregistrements, messages, demandes, autorisations et notifications de transaction, dans lesquels les parties prenantes de la transaction sont identifiables de façon univoque.

Un compteur à paiement est ainsi associé de façon unique à un MeterPAN, un numéro composé constitué de l'IIN et de l'IAIN/DRN, qui, à son tour, comprend le MfrCode et le DSN (voir 6.1.2).

6 Protocole de couche application POSToTokenCarrierInterface

6.1 APDU: ApplicationProtocolDataUnit

6.1.1 Éléments de données dans l'APDU

L'APDU, qui est l'interface de données entre le POSApplicationProcess et le protocole de couche application, comprend les éléments de données indiqués dans le Tableau 1.

Tableau 1 – Éléments de données dans l'APDU

Élément	Contexte	Format	Référence
MeterPAN	MeterPrimaryAccountNumber pour l'identification conforme à l'ISO pour le compteur à paiement	18 chiffres	6.1.2
TCT	Désigne quel TokenCarrierType il convient d'utiliser dans le protocole de couche physique pour transporter le jeton vers le compteur à paiement	2 chiffres	6.1.3
DKGA	Désigne quel DecoderKeyGenerationAlgorithm est à utiliser pour générer la DecoderKey	2 chiffres	6.1.4
EA	Désigne quel algorithme de chiffrement est à utiliser pour chiffrer les données du jeton	2 chiffres	6.1.5
SGC	Désigne le SupplyGroupCode auquel le compteur à paiement est alloué	6 chiffres	6.1.6
TI	Désigne le TariffIndex auquel le compteur à paiement est relié	2 chiffres	6.1.7
KRN	Désigne le KeyRevisionNumber sur lequel la DecoderKey se trouve	1 chiffre	6.1.8
KT	Désigne le KeyType sur lequel la DecoderKey se trouve	1 chiffre	6.1.9
KeyExpiryNumber	Nombre associé à la VendingKey et à une DecoderKey qui détermine la durée pendant laquelle la clé reste valide	8 bits	6.1.10
Token	Les données du jeton réelles à transférer au compteur à paiement avant chiffrement et traitement	66 bits	6.2.1
IDRecord	Données d'identification facultatives destinées à être codées sur la carte d'identification d'un compteur à paiement ou sur un support de jeton avec le jeton	35 chiffres	Tableau 2
PRNRecord	Des données d'impression facultatives destinées à être imprimées en même temps que le codage du jeton sur le TokenCarrier. Certains supports de jeton tels que les dispositifs de carte magnétique sur papier permettent l'impression sur la surface de la carte elle-même et cette opération peut être intégrée avec le dispositif de codage de carte magnétique. Le contenu et le format ne sont pas spécifiés et chaque système peut les définir à sa discrétion selon ses exigences particulières.	Texte non défini	x

L>IDRecord facultatif comprend les éléments de données consignés dans le Tableau 2.

Tableau 2 – Éléments de données dans l'IDRecord

Élément	Contexte	Format	Référence
MeterPAN	MeterPrimaryAccountNumber pour l'identification conforme à l'ISO pour le compteur à paiement	18 chiffres	6.1.2
DOE	Date d'expiration facultative des données d'identification telles que codées sur la carte d'identification d'un compteur à paiement ou le support de jeton (voir l'IEC 62055-51 pour un exemple)	4 chiffres	6.1.11
TCT	Indique quel TokenCarrierType est associé à ce MeterPAN	2 chiffres	6.1.3
EA	Indique quel algorithme de chiffrement est associé à ce MeterPAN	2 chiffres	6.1.5
SGC	Indique quel SupplyGroupCode est associé à ce MeterPAN	6 chiffres	6.1.6
TI	Indique quel TariffIndex est associé à ce MeterPAN	2 chiffres	6.1.7
KRN	Indique quel KeyRevisionNumber est associé à ce MeterPAN	1 chiffre	6.1.8

6.1.2 MeterPAN: MeterPrimaryAccountNumber

6.1.2.1 Éléments de données dans le MeterPAN

Le MeterPAN est un numéro d'identification unique pour chaque compteur à paiement conforme à la STS. Il comporte les 3 parties données dans le Tableau 3 et il est conforme à la définition du PAN (PrimaryAccountNumber) de l'ISO/IEC 7812-1.

Tableau 3 – Éléments de données dans le MeterPAN

Élément	Contexte	Format	Référence
IIN	IssuerIdentificationNumber (Numéro d'identification d'émetteur)	4/6 chiffres	6.1.2.2
IAIN / DRN	IndividualAccountIdentificationNumber / DecoderReferenceNumber	11/13 chiffres	6.1.2.3
PANCheckDigit	Formule pour vérifier l'intégrité de l'IIN et de l'IAIN	1 chiffre	6.1.2.4
NOTE Le premier chiffre de l'IIN est le chiffre de poids fort du MeterPAN à 18 chiffres et le PANCheckDigit est le chiffre de poids faible.			

Voir aussi l'Annexe C pour le Code de bonnes pratiques de gestion de cet élément de données.

6.1.2.2 IIN: IssuerIdentificationNumber

L'IIN est un nombre unique de 6 chiffres qui définit un domaine, sous lequel d'autres valeurs d'IAIN (c'est-à-dire des valeurs de DRN) peuvent être émises pour être utilisées au sein du domaine défini.

L'intention et le but initiaux de l'IIN étaient de pouvoir étiqueter des transactions financières afin de les identifier de façon unique et les acheminer vers les comptes financiers appropriés engagés dans une transaction. L'IIN était donc censé être émis par l'ISO dans le cadre du plan d'enregistrement donné dans l'ISO/IEC 7812-1 et l'ISO/IEC 7812-2. Cependant, cela s'est avéré irréalisable dans la pratique et la valeur 600727 pour l'IIN est dès lors devenue la norme *de facto* pour les systèmes hérités utilisant un DRN de 11 chiffres.

Par la suite, il est devenu nécessaire de prendre également des dispositions pour les DRN de 13 chiffres (tels que définis en 6.1.2.3.1) et dans ce cas, l'IIN doit être 0000 (quatre zéros).

Voir aussi C.3.2 pour la gestion de cet élément de données.

6.1.2.3 IAIN: IndividualAccountIdentificationNumber/ DRN: DecoderReferenceNumber

6.1.2.3.1 Éléments de données dans l'IAIN / DRN

Un DRN unique doit être alloué au dispositif qui exécute le protocole de couche application dans un compteur à paiement conforme à la STS.

NOTE Dans un grand nombre de systèmes, la partie "décodeur" est intégrée à la partie de comptage et, donc, le DRN peut être synonyme du numéro de série de compteur.

Il s'agit d'un nombre de 11/13 chiffres constitué des éléments de données indiqués dans le Tableau 4.

Tableau 4 – Éléments de données dans l'IAIN / DRN

Élément	Contexte	Format	Référence
MfrCode	Nombre pour identifier de façon unique un constructeur de compteur à paiement	2/4 chiffres	6.1.2.3.2
DSN	Numéro de série à huit chiffres alloué par le constructeur	8 chiffres	6.1.2.3.3
DRNCheckDigit	Check Digit; Formule pour vérifier l'intégrité du MfrCode et du DSN	1 chiffre	6.1.2.3.4

NOTE Le MfrCode est constitué des 2/4 chiffres de poids fort du DRN de 11/13 chiffres et le DRNCheckDigit est le chiffre de poids faible.

Les valeurs du MfrCode doivent toujours être justifiées à droite et complétées de 0 à gauche.

Le DSN doit être justifié à droite et complété de 0 à gauche pour obtenir une chaîne complète de 8 chiffres.

6.1.2.3.2 MfrCode: ManufacturerCode

Le MfrCode est un nombre de 2/4 chiffres qui doit être utilisé pour identifier de façon unique le constructeur du compteur à paiement.

La STS Association fournit un service pour l'allocation des valeurs de MfrCode pour identifier de façon unique les constructeurs afin d'assurer l'interopérabilité des matériels conformes à la STS.

Voir aussi C.3.3 pour la gestion de cet élément de données.

6.1.2.3.3 DSN: DecoderSerialNumber

Le DSN est un numéro de série unique à 8 chiffres qui est généré en interne par le constructeur. Chaque constructeur est responsable de l'unicité du DSN en ce qui concerne son MfrCode.

Voir aussi C.3.4 pour la gestion de cet élément de données.

6.1.2.3.4 DRNCheckDigit

Le DRNCheckDigit est un chiffre unique utilisé pour valider l'intégrité des valeurs du MfrCode et de DSN lorsqu'elles sont saisies manuellement ou lues par une machine. Il s'agit d'un chiffre de vérification modulo 10, calculé à l'aide de la formule de Luhn, de la façon illustrée dans l'Annexe B de l'ISO/IEC 7812-1:2000. Il est calculé sur les 10/12 chiffres précédents du DRN généré par la concaténation des valeurs du MfrCode et du DSN.

6.1.2.4 PANCheckDigit

Le PANCheckDigit est un chiffre unique utilisé pour valider l'intégrité des valeurs de l'IIN et de l'IAIN lorsqu'elles sont saisies manuellement ou lues par une machine. La méthode utilisée pour calculer la valeur de PANCheckDigit est donnée en 4.4 de l'ISO/IEC 7812-1:2000. Cette valeur est calculée sur les 17 chiffres précédents du MeterPAN généré par la concaténation des valeurs de l'IIN et de l'IAIN.

6.1.3 TCT: TokenCarrierType

Il s'agit d'un nombre de 2 chiffres utilisé pour identifier de façon unique le type du support de jeton sur lequel il convient de coder le jeton pour le transfert vers le compteur à paiement. Les valeurs pour les types de support de jeton sont données dans le Tableau 5.

Tableau 5 – Types de support de jeton

Code	TokenCarrier	Commentaires
00	Réservé	Pour affectation future
01	Carte magnétique	Conformément à l'IEC 62055-51
02	Numérique	Conformément à l'IEC 62055-51
03-06	Réservé	Systèmes hérités utilisant des technologies de support de jeton propriétaires
07	Support de jeton virtuel (Virtual Token Carrier (VTC07))	Conformément à l'IEC 62055-52
08-99	Réservé	Pour affectation future

Les valeurs inférieures à 10 doivent être justifiées à droite et complétées de 0 à gauche (par exemple: 01, 02-09).

6.1.4 DKGA: DecoderKeyGenerationAlgorithm

Il s'agit d'un nombre de 2 chiffres utilisé pour identifier de façon unique l'algorithme à utiliser pour générer la DecoderKey. Les valeurs des codes de DKGA sont données dans le Tableau 6.

Tableau 6 – Codes de DKGA

Code	Algorithme DKG	Commentaires	Référence
00	Réservé	Pour affectation future	x
01	DKGA01	Nombre limité des premiers compteurs à paiement hérités conformes à la STS. Annulé et remplacé par DKGA02	6.5.3.3
02	DKGA02	Système utilisant la diversification de VendingKey en DES 64 bits	6.5.3.4
03	DKGA03	Système utilisant la double diversification de VendingKey en DES 64 bits	6.5.3.5
04-99	Réservé	Pour affectation future	X

DKGA02 est l'algorithme à utiliser pour les systèmes actuels, soumis aux critères pour DKGA01.

DKGA03 est l'algorithme à utiliser pour les futurs systèmes exigeant un plus haut niveau de sécurité en ce qui concerne la protection de la VendingKey par attaque par force brute.

Il convient que l'introduction de DKGA03 coïncide préférentiellement avec le passage de STA à DEA (du code EA 07 au code EA 09). Voir aussi 6.1.5.

Les valeurs inférieures à 10 doivent être justifiées à droite et complétées de 0 à gauche (par exemple: 01, 02-09).

6.1.5 EA: EncryptionAlgorithm

Il s'agit d'un nombre de 2 chiffres utilisé pour identifier de façon unique l'algorithme à utiliser pour chiffrer les données du jeton. Les valeurs des codes EA sont données dans le Tableau 7.

Tableau 7 – Codes EA

Code	EncryptionAlgorithm	Commentaires	Référence
00	Réservé	Pour affectation future	x
01-06	Réservé	Systèmes propriétaires hérités	x
07	STA	Systèmes utilisant l'algorithme de transfert normalisé tel que défini dans la présente Norme	6.5.4.1
08	Réservé	Systèmes propriétaires hérités	x
09	DEA	Systèmes utilisant l'algorithme de chiffrement de données tel que défini dans l'ANSI X3.92	6.5.5
10	Réservé	Systèmes propriétaires hérités	x
11-99	Réservé	Pour affectation future	x

Il est recommandé de coordonner le choix du code EA 09 avec le choix de DKGA03 afin de réduire au maximum l'effet sur les systèmes existants dans la base installée (voir 6.1.4).

Les valeurs inférieures à 10 doivent être justifiées à droite et complétées de 0 à gauche. Par exemple: 01, 02-09.

6.1.6 SGC: SupplyGroupCode

Il s'agit d'un nombre unique de 6 chiffres alloué à l'entreprise de distribution, qui est enregistré au sein du KMS. Il est utilisé pour identifier de façon unique un sous-groupe de compteurs à paiement au sein du domaine de fourniture ou de distribution de l'entreprise de distribution. Chaque SupplyGroup a une VendingKey qui lui est associée et, donc, chaque compteur à paiement dans le SupplyGroup a une DecoderKey dérivée qui lui est associée. L'autorisation des ventes de jetons est donc commandée par la distribution sélective de telles clés VendingKey et de tels codes SGC à des agents de vente de jetons habilités exploitant des services POS pour le compte d'entreprises de distribution.

La gestion des SGC et la gestion des VendingKey sont complètement sous le contrôle du KMS et sont soumises à un tel Code de bonnes pratiques.

Les valeurs inférieures à 6 chiffres décimaux doivent être justifiées à droite et complétées de 0 à gauche. Par exemple: 000001, 000002..000009.

Le SGC hérite de son type de l'attribut KT de la VendingKey (voir 6.5.2.2.1), à laquelle il est associé selon le Tableau 8.

Tableau 8 – Types de SGC et types de clés

KT	Type de SGC	Type de VendingKey (voir 6.5.2.2.1)	Type de DecoderKey (voir 6.5.2.3.1)
0	Initialisation	Non spécifié	DITK
1	Default (valeur par défaut)	VDDK	DDTK
2	Unique	VUDK	DUTK
3	Common (commune)	VCDK	DCTK

Voir aussi C.2.2 pour le Code de bonnes pratiques de gestion de cet élément de données.

6.1.7 TI: TariffIndex

Nombre de 2 chiffres associé à un tarif particulier qui est alloué à un consommateur particulier. La maintenance et le contenu des tableaux de tarif sont de la responsabilité de l'entreprise de distribution.

Les valeurs inférieures à 10 doivent être justifiées à droite et complétées d'un 0 à gauche (par exemple: 01, 02.. 09).

Le TI est également codé dans la DecoderKey, ce qui signifie que quand un client passe d'un TI à l'autre, il faut aussi que sa DecoderKey change (voir 6.5.2.1).

NOTE Le codage de cette valeur lorsqu'elle est utilisée dans le ControlBlock pour la génération de clé de décodeur (voir 6.5.3.2) est sous la forme de deux chiffres hexadécimaux, alors que le codage tel qu'utilisé dans le jeton Set2ndSectionDecoderKey (voir 6.2.8) est sous la forme d'un nombre binaire de 8 bits. Dans ces cas, un index de tarif de 99 en décimal est codé en une chaîne binaire, respectivement 10011001 et 0110 0011.

Voir aussi l'Article C.9 pour le Code de bonnes pratiques de gestion de cet élément de données.

6.1.8 KRN: KeyRevisionNumber

Il s'agit d'un nombre de 1 chiffre dans la plage 1 à 9, qui est associé à une version de la VendingKey et à la DecoderKey correspondante.

Voir 6.5.2.5 pour une définition détaillée de cet élément de données.

6.1.9 KT: KeyType

Il s'agit d'un nombre de 1 chiffre dans la plage 0 à 3 associé à une propriété de la VendingKey et, donc aussi, à la DecoderKey correspondante, qui est dérivée de la VendingKey.

Voir 6.5.2 pour une définition détaillée de cet élément de données.

6.1.10 KEN: KeyExpiryNumber

Un KEN est associé à chaque VendingKey par le KMS et définit l'instant où une VendingKey et toute DecoderKey correspondante expireront, après quoi il devient non valide pour une utilisation future, moyennant certaines concessions.

Le KEN correspond aux 8 bits de poids fort du TID de 24 bits. Aucun identificateur de jeton dont les 8 bits de poids fort sont supérieurs au KEN d'une clé donnée ne peut être chiffré ou déchiffré avec la clé en question.

Voir 6.5.2.6 pour une définition détaillée de cet élément de données.

Voir aussi C.2.4 pour le Code de bonnes pratiques de gestion de cet élément de données.

6.1.11 DOE: DateOfExpiry

L'utilisation de cette date est facultative et elle est associée à une période de validité pour les données relatives à l'identité qui sont codées sur un dispositif support d'identité. Par exemple: une carte d'identification de compteur à paiement ou un second enregistrement codé sur le TokenCarrier avec les données du jeton. Dans certaines mises en œuvre, il s'est avéré utile de laisser le consommateur rapporter un support de jeton utilisé pour qu'il soit son

identification de décodeur au POS lorsqu'il achète son prochain jeton. (Voir 5.1.4 et 5.2.4.9 de l'IEC 62055-51:2007, par exemple).

Cette date peut également être utilisée, par exemple, dans les cas où un tarif concessionnaire a été accordé à un client pendant une durée limitée. La date codée est le dernier mois pendant lequel la carte est valide.

DOE est au format AAMM et doit toujours contenir 4 chiffres.

Lorsque AA ou MM est inférieur à 10, il doit être justifié à droite et complété d'un 0 à gauche (par exemple: 01, 02, 09, etc.).

Lorsque la DOE dans l>IDRecord n'est pas utilisée, alors AAMM = 0000.

Les valeurs du code de DOE pour l'année et le mois sont données dans le Tableau 9 et le Tableau 10.

Tableau 9 – Codes de DOE pour l'année

AA	Représente
00	2000 ou alors la DOE n'est pas utilisée (voir aussi le Tableau 10)
01 – 99	2001 – 2099

Tableau 10 – Codes de DOE pour le mois

MM	Représente
00	La DOE n'est pas utilisée (voir aussi le Tableau 9)
01 – 12	janvier – décembre
13 – 99	Non valide

6.2 Jetons

6.2.1 Format de définition de jeton

L'élément TokenData dans l'APDU est un nombre binaire de 66 bits constitué de plusieurs champs d'éléments de données plus petits, selon lesquels divers procédés sont initiés dans le MeterApplicationProcess et divers bits d'informations sont transférés aux registres du compteur à paiement.

Le format de définition pour les jetons de 6.2.2 à 6.2.14 est donné dans le Tableau 11.

Tableau 11 – Format de définition de jeton

Nom d'élément de données	Exemple: Class (c'est-à-dire: Classe), SubClass (c'est-à-dire: Sous-classe), RND, TID, Amount (c'est-à-dire: Montant), CRC, etc.
Nombre de bits	Exemple: 2 bits, 4 bits, 24 bits, 16 bits, etc.
Plage de valeurs	Exemple: 1, 2, 5-15, etc.

6.2.2 Classe 0: TransferCredit

Class	SubClass	RND	TID	Amount	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
0	0 = électricité 1 = eau 2 = gaz Réservé: 3 = temps 4 = monnaie 5-15 = affectation future				
NOTE Les valeurs 3-4 de SubClass sont réservées par la STS Association pour les applications autres que l'électricité, le gaz et l'eau, les valeurs 5-15 étant réservées pour une affectation future.					

Action: Transférer le crédit au compteur à paiement à la valeur définie par le champ Amount et pour le type de service défini dans le champ SubClass.

6.2.3 Classe 1: InitiateMeterTest/Display

Class	SubClass	Control (c'est-à-dire: Contrôle)	MfrCode	CRC
2 bits	4 bits	36/28 bits	8/16 bits	16 bits
1	0 = définie par STS	Le contrôle de position de bits du numéro d'essai/affichage pour les codes de constructeur de 2 chiffres. Utiliser 36 bits.	0 (8 bits)	
1	1 = définie par STS	Le contrôle de position de bits du numéro d'essai/affichage pour les codes de constructeur de 4 chiffres. Utiliser 28 bits.	0 (16 bits)	
1	2-5 = réservées pour une affectation future	Réservé pour une affectation future.	Réservé pour une affectation future.	
1	6-10 = utilisation propriétaire.	Pour les codes de constructeur de 4 chiffres. Si pas utilisé, mettre à zéro (28 bits)	0100-9999 (16 bits)	
1	11-15 = utilisation propriétaire.	Pour les codes de constructeur de 2 chiffres. Si pas utilisé, mettre à zéro (36 bits)	00-99 (8 bits)	

Action: Initier la fonction d'essai ou d'affichage dans le compteur à paiement en fonction du profil binaire défini dans le champ Control.

6.2.4 Classe 2: SetMaximumPowerLimit

Class	SubClass	RND	TID	MPL	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	0				

Action: Charger le registre de limite de puissance maximale dans le compteur à paiement avec la valeur donnée dans le champ MPL.

6.2.5 Classe 2: ClearCredit

Class	SubClass	RND	TID	Register (c'est-à-dire: Registre)	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	1				

Action: Vider le registre de crédit correspondant (tel qu'indiqué par le champ Register) dans le compteur à paiement à zéro.

6.2.6 Classe 2: SetTariffRate

Class	SubClass	RND	TID	Rate (c'est-à-dire: Taux)	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	2				

Action: Charger le registre tarifaire dans le compteur à paiement avec la valeur donnée dans le champ Rate.

Ce jeton est réservé par la STS Association pour une définition future.

6.2.7 Classe 2: Set1stSectionDecoderKey

Class	SubClass	KENHO	KRN	RO	Res (c'est-à-dire: Réserve)	KT	NKHO	CRC
2 bits	4 bits	4 bits	4 bits	1 bit	1 bit	2 bits	32 bits	16 bits
2	3		1-9	0-1	x	0-3		

Action: Charger le DecoderKeyRegister avec la 1^{ère} moitié de la nouvelle DecoderKey, sous réserve d'un chargement authentique d'un jeton Set2ndSectionDecoderKey.

6.2.8 Classe 2: Set2ndSectionDecoderKey

Class	SubClass	KENLO	TI	NKLO	CRC
2 bits	4 bits	4 bits	8 bits	32 bits	16 bits
2	4		0-99		

Action: Charger le DecoderKeyRegister avec la 2^{ème} moitié de la nouvelle DecoderKey, sous réserve d'un chargement authentique d'un jeton Set1stSectionDecoderKey.

6.2.9 Classe 2: ClearTamperCondition

Class	SubClass	RND	TID	Pad (c'est-à-dire: Bourrage)	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	5			0	

Action: Vider le registre de statut de fraude dans le compteur à paiement et annuler tous les processus de contrôle résultants qui peuvent être en cours.

6.2.10 Classe 2: SetMaximumPhasePowerUnbalanceLimit

Class	SubClass	RND	TID	MPPUL	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	6				

Action: Charger le registre de limite de déséquilibre maximal des phases dans le compteur à paiement avec la valeur donnée dans le champ MPPUL. Voir aussi 8.12 pour plus de détails sur l'action de cette fonction dans le compteur à paiement.

6.2.11 Classe 2: SetWaterMeterFactor

Class	SubClass	RND	TID	WMFactor	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	7				

Action: Charger le registre du facteur de compteur d'eau dans le compteur à paiement avec la valeur donnée dans le champ WMFactor.

Ce jeton est réservé par la STS Association pour les applications relatives à l'eau.

6.2.12 Classe 2: Réservee pour l'usage selon la STS

Class	SubClass	RND	TID	ResData (c'est-à-dire: Données réservées)	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	8-10				

Action: Réservee pour une définition future par la STS Association.

Cette plage de jetons est réservée par la STS Association pour une affectation future.

6.2.13 Classe 2: Réservee pour un usage propriétaire

Class	SubClass	RND	TID	PropData (c'est-à-dire: Données propriétaires)	CRC
2 bits	4 bits	4 bits	24 bits	16 bits	16 bits
2	11-15				

Action: Définie par le constructeur.

Cette plage de jetons est réservée pour une définition et un usage propriétaires.

La présente Norme ne fournit de protection contre la collision entre les usages de constructeurs de cet espace de jetons. La génération et le contrôle de ces jetons doivent donc toujours être sous la gestion directe du constructeur approprié et ne doivent jamais être disponibles sur les systèmes de distribution automatique pour usage général au sein des systèmes de comptage de paiement conformes à la STS.

6.2.14 Classe 3: Réservée pour l'usage selon la STS

Class	SubClass	Res (c'est-à-dire: Réservé)
2 bits	4 bits	60 bits
3	0-15	

Action: Réservée pour une définition future par la STS Association.

Cette plage de jetons est réservée par la STS Association pour une affectation future.

6.3 Éléments de données du jeton

6.3.1 Éléments de données utilisés dans des jetons

Les éléments de données consignés dans le Tableau 12 sont utilisés dans les jetons dans diverses combinaisons.

Tableau 12 – Éléments de données utilisés dans des jetons

Élément	Nom	Format	Référence
Amount	TransferAmount (voir aussi 6.2.2)	16 bits	6.3.6
Class	TokenClass (voir aussi 6.2.2 à 6.2.14)	2 bits	6.3.2
Control	InitiateMeterTest/DisplayControlField (voir aussi 6.2.3)	36/28 bits	6.3.8
CRC	CyclicRedundancyCode (voir 6.2.2 à 6.2.13)	16 bits	6.3.7
KENHO	KeyExpiryNumberHighOrder (voir aussi 6.2.7)	4 bits	6.3.16
KENLO	KeyExpiryNumberLowOrder (voir aussi 6.2.8)	4 bits	6.3.17
KRN	KeyRevisionNumber (voir aussi 6.2.7)	4 bits	6.1.8
KT	KeyType (voir aussi 6.2.7)	2 bits	6.1.9
MfrCode	ManufacturerCode (voir aussi 6.2.3)	8/16 bits	6.1.2.3.2
MPL	MaximumPowerLimit (voir aussi 6.2.4)	16 bits	6.3.9
MPPUL	MaximumPhasePowerUnbalanceLimit (voir aussi 6.2.10)	16 bits	6.3.10
NKHO	NewKeyHighOrder (voir aussi 6.2.7)	32 bits	6.3.14
NKLO	NewKeyLowOrder (voir aussi 6.2.8)	32 bits	6.3.15
Pad	Compléter la valeur avec des 0 (voir aussi 6.2.9)	16 bits	x
PropData	Champ de données propriétaires (voir aussi 6.2.13)	16 bits	x
Rate	[TariffRate] Pour une définition future (voir aussi 6.2.6)	16 bits	6.3.11
Register	RegisterToClear (voir aussi 6.2.5)	16 bits	6.3.13
Res	Réservé pour une affectation future (voir aussi 6.2.7 et 6.2.14)	1 bit	x
ResData	Champ de données réservées pour une affectation future (voir aussi 6.2.12)	16 bits	x
RND	RandomNumber (voir aussi 6.2.2 à 6.2.13)	4 bits	6.3.4
RO	RolloverKeyChange (voir aussi 6.2.7)	1 bit	6.3.18
SubClass	TokenSubClass (voir aussi 6.2.2 à 6.2.14)	4 bits	6.3.3
TI	TariffIndex (voir aussi 6.2.8)	8 bits	6.1.7
TID	TokenIdentifier (voir aussi 6.2.2 à 6.2.14)	24 bits	6.3.5.1
WMFactor	[WaterMeterFactor] Réservé par la STS Association pour une application relative à l'eau (voir aussi 6.2.11)	16 bits	6.3.12

6.3.2 Classe: TokenClass

Les jetons sont classés en 4 principaux domaines fonctionnels tels que donnés dans le Tableau 13.

Tableau 13 – Classes de jetons

TokenClass	Fonction
0	Transfert de crédit
1	Gestion non spécifique à un compteur
2	Gestion spécifique à un compteur
3	Réservé pour une affectation future

Les jetons de Classe 0 et Classe 2 sont chiffrés en utilisant la DecoderKey, alors que les jetons de Classe 1 ne sont pas chiffrés et peuvent donc être utilisés par tout compteur à paiement conforme à la STS.

6.3.3 SubClass: TokenSubClass

Une sous-classification plus poussée de la TokenClass est donnée dans le Tableau 14.

Tableau 14 – Sous-classes de jetons

Token SubClass	TokenClass			
	0	1	2	3
0	TransferCredit (électricité)	InitiateMeterTest/ Display pour le MfrCode de 2 chiffres	SetMaximumPowerLimit	Réservé par la STS Association pour une affectation future
1	TransferCredit (eau)	InitiateMeterTest/ Display pour le MfrCode de 4 chiffres	ClearCredit	
2	TransferCredit (gaz)	Réservé par la STS Association pour une affectation future	SetTariffRate	
3	TransferCredit (temps) Réservé par la STS Association pour des applications relatives au temps de connexion		Set1stSectionDecoderKey	
4	TransferCredit (monnaie) Réservé par la STS Association pour des applications relatives à la monnaie		Set2ndSectionDecoderKey	
5	Réservé par la STS Association pour une affectation future	Réservée pour un usage propriétaire pour le MfrCode de 4 chiffres	ClearTamperCondition	
6			SetMaximumPhasePowerUnbalanceLimit	
7			SetWaterMeterFactor	
8			Réservé par la STS Association pour des applications relatives à l'eau	
9			Réservé par la STS Association pour une affectation future	
10	Réservé par la STS Association pour une affectation future	Réservée pour un usage propriétaire pour le MfrCode de 2 chiffres	Réservée pour un usage propriétaire	
11				
12				
13				
14				
15				

6.3.4 RND: RandomNumber

La génération de ce nombre de 4 bits est un instantané des quatre bits de poids faible d'au moins un compteur de millisecondes. L'inclusion d'un nombre aléatoire dans les données à transférer renforce la sécurité du transfert de jeton en assurant, avec une probabilité de 16:1, que deux jetons quelconques contenant des données identiques à transférer n'auront pas le même profil binaire.

6.3.5 TID: TokenIdentifier

6.3.5.1 Calcul de TID

Le champ TID est dérivé de la date et de l'heure d'émission et indique le nombre de minutes écoulées d'une date et d'une heure de référence STS. Ce champ est une représentation binaire 24 bits des minutes écoulées.

Afin de prendre en compte le fait que le TID repasse par zéro tous les 31 ans, trois dates de référence STS sont définies, à savoir:

- 01 janvier 1993, 00:00:00
- 01 janvier 2014, 00:00:00
- 01 janvier 2035, 00:00:00

Avec un format de date et heure de AAAA:MM:JJ:hh:mm:ss, l'ensemble date et heure de référence de la STS "1993:01:01:00:00:00" correspond à TID de 0.

Le calcul de minutes écoulées doit prendre en compte les années bissextiles.

La règle pour déterminer une année bissextile est la suivante.

- le mois de février doit avoir un jour supplémentaire dans toutes les années qui sont également divisibles par 4, excepté les années de siècle (celles qui finissent par 00), qui reçoivent le jour supplémentaire seulement si elles sont également divisibles par 400. Ainsi, 1996 était une année bissextile alors que 1999 ne l'était pas. Les années 1600, 2000 et 2400 sont des années bissextiles, mais 1700, 1800, 1900 et 2100 ne le sont pas.

Dans la représentation binaire du TID, le bit le plus à gauche représente le bit de poids fort.

Pour calculer le TID, la valeur “:ss” doit être tronquée de l'heure réelle.

Des exemples de valeurs calculées de TID sont donnés dans le Tableau 15.

Tableau 15 – Exemples de calcul de TID

Date d'émission:	Heure d'émission:	Minutes écoulées:	ID de jeton de 24 bits obtenu:
1 janvier 1993	00:00:00	0	0000 0000 0000 0000 0000 0000
1 janvier 1993	00:01:45	1	0000 0000 0000 0000 0000 0001
25 mars 1993	13:55:22	120 355	0000 0001 1101 0110 0010 0011
25 mars 1996	13:55:22	1 698 595	0001 1001 1110 1011 0010 0011
1 novembre 2005	00:01:55	6 749 281	0110 0110 1111 1100 0110 0001
1 décembre 2015	00:01:05	12 051 361	1011 0111 1110 0011 1010 0001
24 novembre 2024	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111
1 janvier 2014	00:00:00	0	0000 0000 0000 0000 0000 0000
24 novembre 2045	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111
1 janvier 2035	00:00:00	0	0000 0000 0000 0000 0000 0000
24 novembre 2066	20:15:00	16 777 215	1111 1111 1111 1111 1111 1111

Afin d'éviter la réutilisation d'un jeton lorsqu'un changement de date de référence est effectué, certaines procédures opérationnelles ont besoin d'être exécutées. Se référer à l'Article C.12 pour des informations complémentaires.

6.3.5.2 SpecialReservedTokenIdentifier

Le TokenIdentifier correspondant à 00 h 01 min de chaque jour est réservé pour des jetons d'application spéciaux et ne peut être utilisé pour aucun autre jeton.

En utilisant le format de date et heure AAAA:MM:JJ:hh:mm:ss, les valeurs de TID réservé correspondent à xxxx:xx:xx:00:01:xx.

Si un jeton, autre qu'un jeton d'application spécial, est à générer à une heure correspondant à ce TID réservé, alors 1 min doit être ajoutée au TID.

Voir aussi l'Article C.4 pour le Code de bonnes pratiques de gestion de ce TID réservé spécial.

NOTE L'utilisation de jetons d'application spéciaux est facultative (voir l'Article C.11), mais la règle relative à la façon d'utiliser le TID réservé spécial est obligatoire.

6.3.5.3 Plusieurs jetons générés dans la même minute

Le POS doit assurer qu'aucun jeton acheté légitimement ne peut porter le même TID que celui de n'importe quel autre jeton acheté légitimement pour le même compteur à paiement même si plus d'un jeton est acheté dans la même minute sur le même POS.

Si plusieurs jetons ont besoin d'être générés dans la même minute pour le même compteur à paiement, alors 1 min doit être ajoutée au TID de chaque jeton successif dans l'ensemble. À la fin du processus de génération de jeton, le POS doit retourner à nouveau à l'heure réelle.

Cela doit s'appliquer à tout jeton qui met en œuvre un TID.

Cela ne doit pas s'appliquer à des jetons d'application spéciaux qui mettent en œuvre le SpecialReservedTokenIdentifier (voir 6.3.5.2).

Par exemple: si 3 jetons de crédit A, B et C sont générés dans la même minute à 13h23 et dans l'ordre séquentiel A, B et C, alors A doit porter le marqueur temporel 13h23 du TID, B doit porter le marqueur temporel 13h24 et C doit porter 13h25.

6.3.6 Amount: TransferAmount

L'unité associée pour le montant du transfert est définie dans le Tableau 16.

Tableau 16 – Unités de mesure pour l'électricité

Type de transfert	Unités de mesure
Énergie électrique	Watheures x 100 (0,1 kWh)
Puissance électrique	Watts

La STS Association réserve également les types de transfert donnés dans le Tableau 17 pour d'autres applications.

Tableau 17 – Unités de mesure pour d'autres applications

Type de transfert	Unités de mesure
Eau	Litres x 100
Gaz	Mètres cubes
Temps	Minutes
Monnaie	À l'étude
NOTE La STS Association définit d'autres types de transfert futurs pour d'autres services d'entreprise de distribution.	

Les 16 bits du champ TransferAmount (montant de transfert) sont subdivisés en deux sections, à savoir un exposant en base 10 de 2 bits et une mantisse de 14 bits. Les bits sont numérotés de droite à gauche, en commençant à 0. Le bit 15 est le bit de poids de fort de l'exposant et le bit 13 est le bit de poids fort de la mantisse. Les allocations de bits dans ce champ sont illustrées dans le Tableau 18.

Tableau 18 – Allocations des bits pour le TransferAmount

Position	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
Valeur	e	e	m	m	m	m	m	m	m	m	m	m	m	m	m	m

La formule pour la conversion des montants de transfert est comme suit:

$$t = 10^e \times m, \text{ pour } e = 0; \text{ ou}$$

$$t = (10^e \times m) + \sum_{n=1}^e (2^{14} \times 10^{(n-1)}), \text{ pour } e > 0$$

où

t est le montant du transfert,

e est l'exposant en base 10,

m est la mantisse, et

n est un nombre entier dans la plage 1 à e inclus.

Toutes les conversions de montant de transfert doivent être arrondies en excès en faveur du consommateur. Les plages possibles des montants de transfert et les erreurs maximales associées qui peuvent se produire en raison de l'arrondi par excès sont montrées dans le Tableau 19. Des exemples de valeurs de TransferAmount sont donnés dans le Tableau 20.

Tableau 19 – Erreur maximale d'arrondi

Valeur de l'exposant	Plage du montant de transfert	Erreur maximale
0	0000000 à 00016383	0,000
1	0016384 à 00180214	0,061 %
2	0180224 à 01818524	0,055 %
3	1818624 à 18201624	0,055 %

Tableau 20 – Exemples de valeurs de TransferAmount pour le transfert de crédit

Élément	Unités achetées:	Champ montant de transfert de 16 bits obtenu	Unités converties et reçues par le compteur
1	0,1 kWh	0000 0000 0000 0001	0,1 kWh
2	25,6 kWh	0000 0001 0000 0000	25,6 kWh
3	1638,3 kWh	0011 1111 1111 1111	1638,3 kWh
4	1638,4 kWh	0100 0000 0000 0000	1 638,4 kWh
5	18022,3 kWh	0111 1111 1111 1111	18022,4 kWh
6	18022,4 kWh	1000 0000 0000 0000	18022,4 kWh
7	181862,3 kWh	1011 1111 1111 1111	181862,4 kWh
8	181862,4 kWh	1100 0000 0000 0000	181862,4 kWh
9	1820162,4 kWh	1111 1111 1111 1111	1820162,4 kWh

6.3.7 CRC: CyclicRedundancyCode

Le CRC est un champ somme de contrôle utilisé pour vérifier l'intégrité des données transférées. La somme de contrôle est dérivée en utilisant le polynôme générateur de CRC suivant:

$$x^{16} + x^{15} + x^2 + 1$$

La longueur totale des données transférées par l'intermédiaire du jeton est de 66 bits. Les 16 derniers bits composent la somme de contrôle de CRC qui est dérivée des 50 bits qui les précèdent. Ces 50 bits sont complétés de 6 zéros binaires à gauche pour atteindre 56 bits. Avant calcul, la somme de contrôle de CRC est initialisée à FFFF hex. (Voir l'exemple dans le Tableau 21.)

Tableau 21 – Exemple de calcul de CRC

50 bits d'origine	0 00 4A 2D 90 0F F2 hex
Complétés à gauche pour obtenir 7 octets	00 00 4A 2D 90 0F F2 hex
Somme de contrôle calculée	0F FA hex

6.3.8 Control: InitiateMeterTest/DisplayControlField

Le champ Initier les données d'essai de compteur à paiement a une longueur de 36/28 bits et il est utilisé pour indiquer le type d'essai à réaliser. L'essai particulier est sélectionné en mettant à la valeur logique UN le bit approprié. Les valeurs admissibles du champ sont définies dans le Tableau 22.

Tableau 22 – Valeurs admissibles du champ Control

LS Bit No. = 1	Essai n°	Action	Condition
Tous les bits = 1	0	Effectuer l'essai n° 2 à n° 5 plus, facultativement, n'importe quel autre	Obligatoire
1	1	Soumettre à essai le commutateur de charges	Facultatif
2	2	Soumettre à essai les dispositifs d'affichage d'informations de compteur à paiement	Obligatoire
3	3	Afficher les totaux cumulés des registres d'énergie en kWh	Obligatoire
4	4	Afficher le KRN	Obligatoire
5	5	Afficher le TI	Obligatoire
6	6	Soumettre à essai le dispositif lecteur de jeton	Facultatif
7	7	Afficher la limite de puissance maximale	Facultatif
8	8	Afficher le statut de fraude	Facultatif
9	9	Afficher la consommation d'énergie	Facultatif
10	10	Afficher la version du logiciel	Facultatif
11	11	Afficher la limite de déséquilibre de puissance des phases	Facultatif
12	12	Afficher le facteur du compteur d'eau	Obligatoire pour le compteur à paiement d'eau
13	13	Afficher le taux de tarif	Obligatoire pour le compteur à paiement à monnaie
14-28/36	Réservé	Réservé par la STS Association pour une affectation future	Réservé

NOTE Le registre d'énergie cumulée en kWh est défini en 5.11.4 de l'IEC 62055-31:2005.

Tous les compteurs à paiement doivent prendre en charge l'essai numéro 0; si l'un ou plusieurs des essais incorporés ne sont pas pris en charge, le compteur à paiement doit effectuer le sous-ensemble d'essais qui sont pris en charge.

Cette option est assujettie à l'abonnement passé entre le fournisseur et l'entreprise de distribution et ne doit pas constituer une partie normative de la présente Norme.

Si plus d'un essai est spécifié sur un seul et même jeton, le comportement du compteur à paiement doit faire l'objet d'un accord entre l'entreprise de distribution et le fournisseur et il ne doit pas constituer une partie normative de la présente Norme.

6.3.9 MPL: MaximumPowerLimit

Le champ "limite de puissance maximale" est un champ de 16 bits qui indique la puissance maximale qu'une charge peut tirer, en watts. Le calcul de ce champ est identique à celui du champ de TransferAmount (voir 6.3.6). Voir aussi la note en 8.6 pour les exigences fonctionnelles du MeterApplicationProcess.

6.3.10 MPPUL: MaximumPhasePowerUnbalanceLimit

Le champ "limite maximale de déséquilibre de puissance de phases" est un champ de 16 bits qui indique la différence maximale admissible de puissance entre les charges des phases, en watts. Le calcul de ce champ est identique à celui du champ de TransferAmount (voir 6.3.6).

6.3.11 Rate: TariffRate

Réservé par la STS Association pour une définition future.

6.3.12 WMFactor: WaterMeterFactor

Réservé par la STS Association pour une application relative à l'eau.

6.3.13 Register: RegisterToClear

Valeur unique binaire de 16 bits dans la plage 0 à FFFF hex; pour sélectionner le registre particulier qu'il convient de vider avec le jeton ClearCredit. Les valeurs définies sont données dans le Tableau 23.

Tableau 23 – Sélection du registre à vider

Valeur	Action
0	Vider le registre Electricity Credit (crédit d'électricité)
1	Vider le registre Water Credit (crédit d'eau)
2	Vider le registre Gas Credit (crédit de gaz)
3	Vider le registre Time Credit (crédit de temps)
4	Vider le registre Currency Credit (crédit de monnaie)
5 à FFFE hex	Réservé pour une affectation future
FFFF hex	Vider tous les registres de credit dans le compteur à paiement

6.3.14 NKHO: NewKeyHighOrder

Les 32 bits de poids fort de la nouvelle DecoderKey qui a été générée (voir 6.4.4) et qu'il faut transférer au compteur à paiement au moyen du jeton.

6.3.15 NKLO: NewKeyLowOrder

Les 32 bits de poids faible de la nouvelle DecoderKey qui a été générée (voir 6.4.5) et qu'il faut transférer au compteur à paiement au moyen du jeton.

6.3.16 KENHO: KeyExpiryNumberHighOrder

Il s'agit des 4 bits de poids fort du KEN (voir 6.1.10).

6.3.17 KENLO: KeyExpiryNumberLowOrder

Il s'agit des 4 bits de poids faible du KEN (voir 6.1.10).

6.3.18 RO: RolloverKeyChange

Si le bit RolloverKeyChange est mis = 1, le compteur à paiement doit effectuer un changement de clé avec passage au zéro. Cette opération est identique à un changement de clé normal, excepté que le stockage en mémoire du TID dans le compteur à paiement est rempli d'identificateurs de jeton ayant la valeur 0 (zéro).

6.4 Fonctions de TCDUGeneration

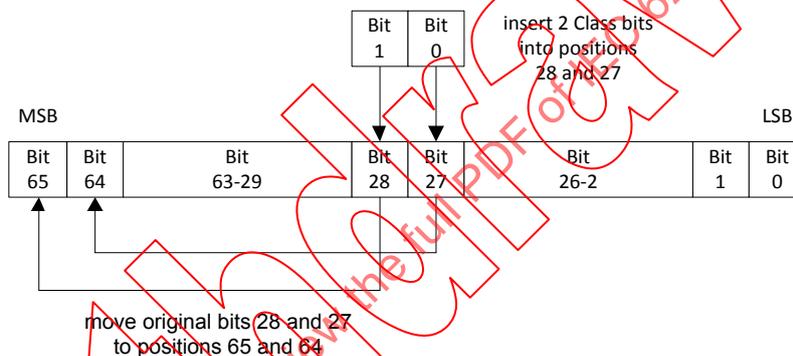
6.4.1 Définition de la TCDU

La TCDU peut être différente pour chaque TokenCarrierType et elle est donc définie séparément pour chaque norme de protocole de couche physique pertinente pour chaque partie de la série IEC 62055-5x.

6.4.2 Transposition des bits de Class (Classe)

Cette fonction est utilisée par d'autres fonctions de TCDUGeneration (voir 6.4.3 à 6.4.5). Elle insère les 2 bits de Class dans un train de données de 64 bits pour obtenir un nombre de 66 bits suivant la méthode présentée dans les grandes lignes ci-dessous.

Le nombre de 64 bits a son bit de poids faible placé à la position binaire 0 et son bit de poids fort placé à la position binaire 63. La chaîne du nombre binaire de 64 bits est modifiée pour inclure la Class de jetons non chiffrée. La valeur de la Class de jetons de 2 bits est insérée pour occuper les positions binaires 28 et 27. Les valeurs d'origine des positions binaires 28 et 27 sont déplacées aux positions binaires 65 et 64. Le bit de poids fort de la Class de jetons occupe maintenant la position binaire 28. Le processus est montré à la Figure 6.



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Légende

Anglais	Français
insert 2 Class bits into positions 28 and 27	insérer aux positions 28 et 27 les 2 bits de Class
MSB	Bit de poids fort
LSB	Bit de poids faible
move original bits 28 and 27 to positions 65 and 64	déplacer vers les positions 65 et 64 les bits 28 et 27 d'origine

Figure 6 – Transposition des 2 bits de Class

Exemple: Insertion de la Class de jetons = 01 (binaire).

Le nombre binaire de 64 bits groupé en quartets: (Les bits 27 et 28 sont signalés en gras):

0110 0101 0100 0011 0010 0001 0000 1001 1000 **0111** 0110 0101 0100 0011 0010 0001

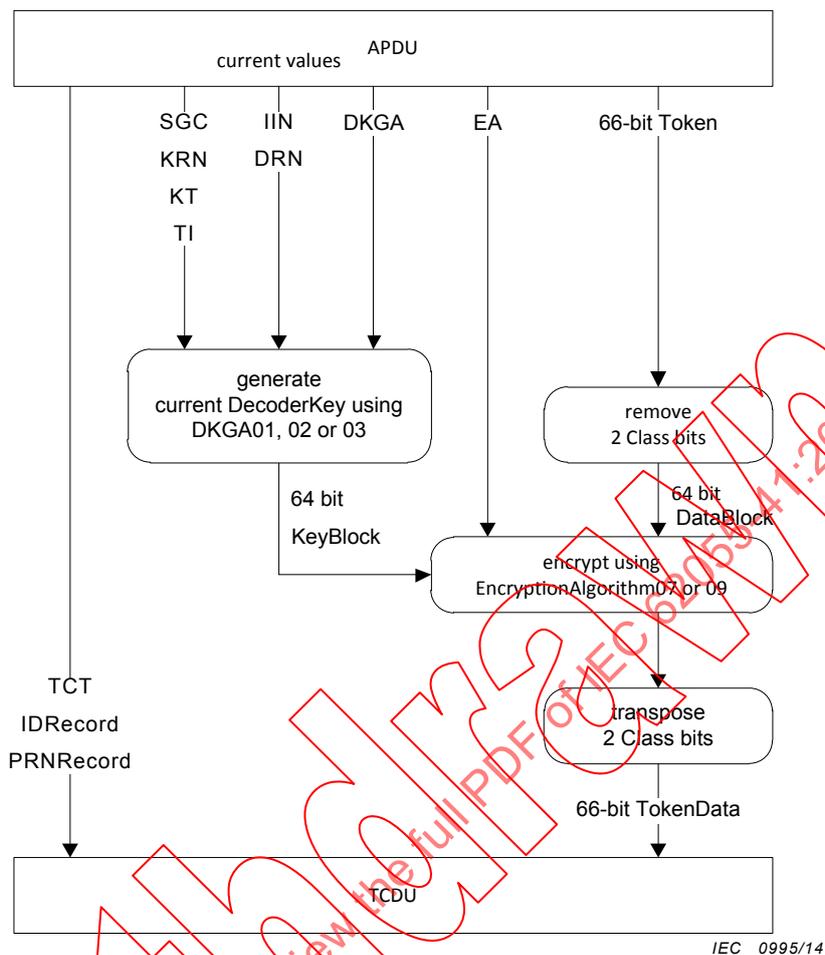
Copier les bits 28 et 27 aux positions binaires 65 et 64, créant ainsi un nombre de 66 bits:

00 0110 0101 0100 0011 0010 0001 0000 1001 1000 0111 0110 0101 0100 0011 0010 0001

Remplacer les bits 28 et 27 par les 2 bits de Class:

00 0110 0101 0100 0011 0010 0001 0000 1001 1000 **1111** 0110 0101 0100 0011 0010 0001

6.4.3 Fonction TCDUGeneration pour les jetons de Class 0,1 et 2



Légende

Anglais	Français
DataBlock	Bloc de données
TCDU	TCDU
APDU current values	APDU valeurs actuelles
encrypt using EncryptionAlgorithm07 or 09	Chiffrer en utilisant l'algorithme EncryptionAlgorithm07 ou 09
transpose 2 Class bits	Transposer les 2 bits de Class
64 bit KeyBlock	Bloc de clés de 64 bits
generate current DecoderKey using DKGA01, 02 or 03	Générer la clé de décodeur (DecoderKey) courante en utilisant l'Algorithme de génération de clé de décodeur DKGA01, DKGA 02 ou DKGA 03
Remove 2 Class bits	Retirer les 2 bits de Class
64 bit DataBlock	Bloc de données de 64 bits
EA	EA
DKGA	DKGA
SGC	SGC
KRN	KRN
KT	KT
TI	TI
IIN	IIN

Anglais	Français
DRN	DRN
66-bit Token	Jeton de 64 bits
TCT	TCT
IDRecord	Données d'enregistrement d'identification
PRNRecord	Données d'enregistrements pour impression
66-bit TokenData	Données du jeton de 66 bits

Figure 7 – Fonction TCDUGeneration pour les jetons de Class 0, 1 et 2

Il s'agit de la fonction de transfert de l'APDU vers la TCDU (voir Figure 7) et elle s'applique à tous les jetons de Class 0, Class 1 et Class 2, excepté les jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey (voir 6.4.4 et 6.4.5).

NOTE 1 Les éléments de données dans l'APDU sont définis en 6.1.1.

NOTE 2 Les éléments de données dans la TCDU sont définis dans une partie de la norme de protocole de couche physique de la série IEC 62055-5x applicable au TCT spécifique d'intérêt.

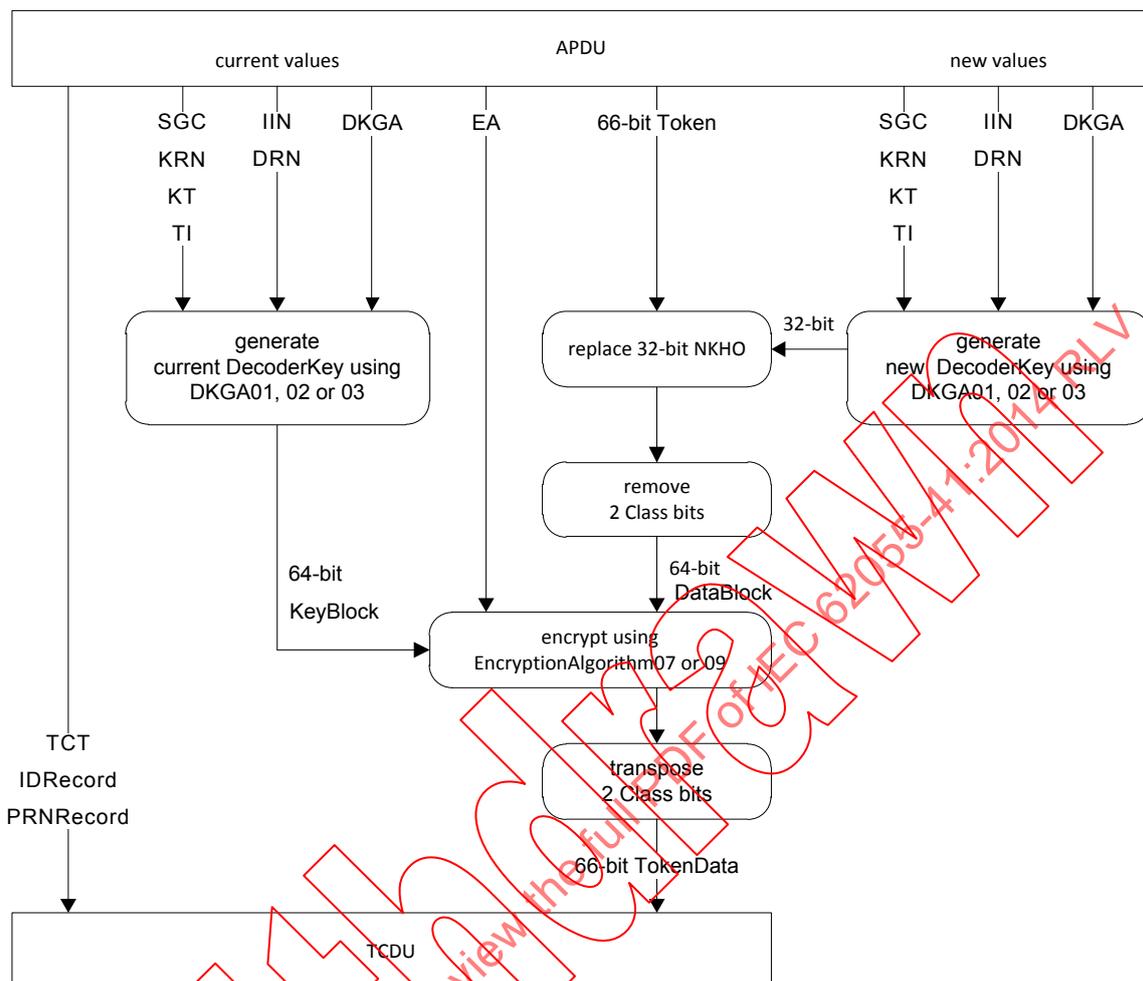
La fonction de transfert pour les jetons de Class 0 et Class 2 est présentée dans les grandes lignes comme suit:

- Les 2 bits de Class sont enlevés du jeton de 66 bits pour donner un résultat de 64 bits, qui est alors présenté à l'algorithme de chiffrement comme étant sa donnée d'entrée de DataBlock. L'algorithme spécifique à utiliser est fonction du code EA dans l'APDU;
- L'entrée KeyBlock pour l'algorithme de chiffrement est obtenue à partir de l'algorithme de génération de clé de décodeur, qui génère la DecoderKey courante en utilisant les valeurs courantes de SGC, KRN, KT, TI, IIN et DRN issues de l'APDU comme indiqué. L'algorithme spécifique de génération de clé de décodeur à utiliser est fonction de la valeur de DKGa dans l'APDU;
- Après chiffrement, les 2 bits de Class sont réinsérés dans le nombre de 64 bits selon la méthode définie en 6.4.2 pour donner un résultat de 66 bits, qui est peuplé dans le champ TokenData de la TCDU selon la définition particulière donnée dans la norme de protocole de couche physique pertinente;
- De même, les éléments de données TCT, IDRecord et PRNRecord issus de l'APDU sont transférés vers la TCDU comme indiqué, dans les champs appropriés de la TCDU en fonction de la définition particulière donnée dans la norme de protocole de couche physique pertinente;

La fonction de transfert pour les jetons de Class 1 est identique à la fonction de TCDUGeneration pour les jetons de Class 0 et de Class 2, excepté que le jeton n'est pas chiffré. Cette fonction est présentée dans les grandes lignes comme suit:

- Les 2 bits de Class sont retirés du jeton de 66 bits et transposés selon la méthode définie en 6.4.2 pour donner un résultat de 66 bits, qui est peuplé dans le champ TokenData de la TCDU selon la définition particulière donnée dans la norme de protocole de couche physique pertinente;
- De même, les éléments de données TCT, IDRecord et PRNRecord issus de l'APDU sont transférés vers la TCDU comme indiqué, dans les champs appropriés de la TCDU en fonction de la définition particulière donnée dans la norme de protocole de couche physique pertinente.

6.4.4 Fonction TCDUGeneration pour le jeton Set1stSectionDecoderKey



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Légende

Anglais	Français
APDU current values	APDU valeurs actuelles
new values	nouvelles valeurs
66-bit Token	Jeton de 66 bits
generate current DecoderKey using DKGA01, 02 or 03	générer la clé de décodeur (DecoderKey) courante en utilisant l'Algorithme de génération de clé de décodeur DKGA01, DKGA 02 ou DKGA 03
replace 32-bit NKHO	Remplacer le NKHO (bits de poids fort de nouvelle clé) de 32 bits
32-bit	32 bits
generate new DecoderKey using DKGA01, 02 or 03	générer la nouvelle clé de décodeur (DecoderKey) en utilisant l'Algorithme de génération de clé de décodeur DKGA01, DKGA 02 ou DKGA 03
remove 2 Class bits	retirer les 2 bits de Class
64-bit KeyBlock	Bloc de clés de 64 bits
64-bit DataBlock	Bloc de données de 64 bits
encrypt using EncryptionAlgorithm07 or 09	Chiffrer en utilisant l'algorithme de chiffrement EncryptionAlgorithm07 ou 09
transpose 2 Class bits	transposer les 2 bits de Class
66-bit TokenData	Données du jeton de 66 bits

Anglais	Français
EA	EA
DKGA	DKGA
SGC	SGC
KRN	KRN
KT	KT
TI	TI
IIN	IIN
DRN	DRN
TCT	TCT
IDRecord	Données d'enregistrement d'identification
PRNRecord	Données d'enregistrements pour impression
TCDU	TCDU

Figure 8 – Fonction TCDUGeneration pour le jeton Set1stSectionDecoderKey

Il s'agit de la fonction de transfert de l'APDU vers la TCDU (voir Figure 8) et elle est applicable seulement au jeton Set1stSectionDecoderKey.

La fonction TCDUGeneration Set1stSectionDecoderKey est montrée comme étant distincte de la fonction TCDUGeneration Set2ndSectionDecoderKey, mais, dans la pratique, les deux peuvent être fusionnées en une seule afin d'économiser des ressources de traitement et par souci de commodité. Dans un tel cas, la génération de la nouvelle DecoderKey a seulement besoin de se produire une fois par exemple, bien que le résultat final soit toujours le même. Ainsi, deux instances de TCDU distinctes sont toujours produites: une pour le jeton Set1stSectionDecoderKey et une deuxième pour le jeton Set2ndSectionDecoderKey.

Noter qu'il faut que l'APDU propose deux jeux de données pour le PANBlock et le CONTROLBlock: un jeu avec les nouvelles données pour la nouvelle DecoderKey et un deuxième jeu avec les données courantes pour la DecoderKey courante. La valeur de DKGA est la même pour les deux jeux.

NOTE 1 Les éléments de données dans l'APDU sont définis en 6.1.1.

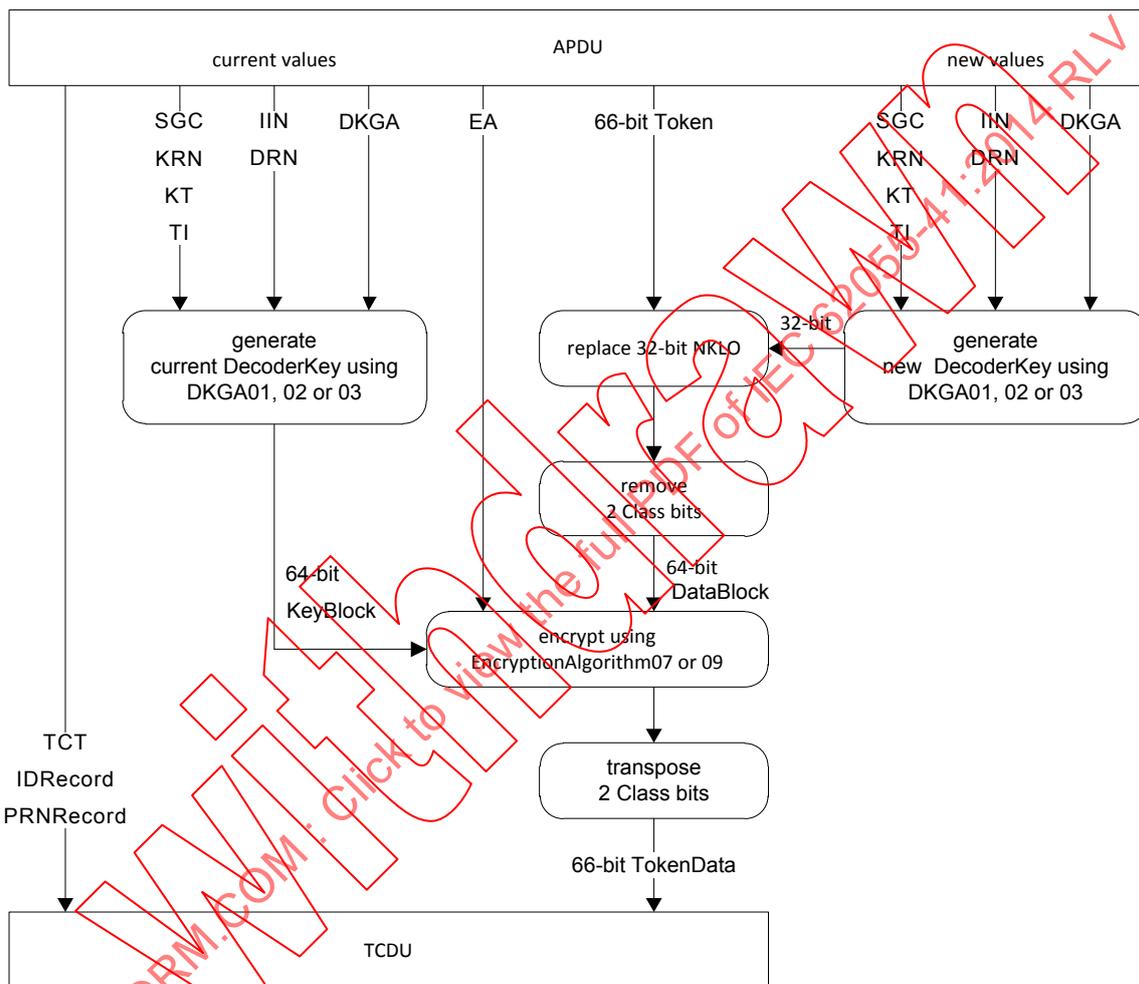
NOTE 2 Les éléments de données dans la TCDU sont définis dans chaque partie de la norme de protocole de couche physique de la série IEC 62055-5x applicable au TCT spécifique d'intérêt.

Cette fonction de transfert est présentée dans les grandes lignes comme suit:

- La nouvelle DecoderKey est générée à l'aide des nouvelles valeurs de SGC, KRN, KT, TI, IIN et DRN. L'algorithme spécifique à utiliser est fonction de la valeur de DKGA dans l'APDU.
- Les 32 bits de poids fort de la valeur de la nouvelle DecoderKey obtenue sont ensuite utilisés pour remplacer le champ NKHO du jeton Set1stSectionDecoderKey (voir 6.2.7) tel que présenté par l'APDU;
- Les 2 bits de Class sont enlevés du jeton de 66 bits pour donner un résultat de 64 bits, qui est alors présenté à l'algorithme de chiffrement comme étant sa donnée d'entrée de DataBlock. L'algorithme de chiffrement spécifique à utiliser est fonction du code EA dans l'APDU;
- l'entrée KeyBlock pour l'algorithme de chiffrement est obtenue à partir de l'algorithme de génération de clé de décodeur, qui génère la DecoderKey courante en utilisant les valeurs courantes de SGC, KRN, KT, TI, IIN et DRN issues de l'APDU comme indiqué. L'algorithme spécifique de génération de clé de décodeur à utiliser est fonction de la valeur de DKGA dans l'APDU;

- après chiffrement, les 2 bits de Class sont réinsérés dans le nombre de 64 bits selon la méthode définie en 6.4.2 pour donner un résultat de 66 bits, qui est peuplé dans le champ TokenData de la TCDU selon la définition particulière donnée dans la norme de protocole de couche physique pertinente;
- de même, les éléments de données TCT, IDRecord et PRNRecord issus de l'APDU sont transférés vers la TCDU comme indiqué, dans les champs appropriés de la TCDU en fonction de la définition particulière donnée dans la norme de protocole de couche physique pertinente.

6.4.5 Fonction TCDUGeneration pour le jeton Set2ndSectionDecoderKey



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Légende

Anglais	Français
APDU current values new values	APDU valeurs actuelles nouvelles
66-bit Token	Jeton de 66 bits
generate current DecoderKey using DKG A01, 02 or 03	générer la clé de décodeur (DecoderKey) courante en utilisant l'Algorithme de génération de clé de décodeur DKG A01, DKG A 02 ou DKG A 03
replace 32-bit NKLO	Remplacer le NKLO (bits de poids faible de nouvelle clé) de 32 bits
32-bit	32 bits
generate new DecoderKey using DKG A01, 02 or 03	générer la nouvelle clé de décodeur (DecoderKey) en utilisant l'Algorithme de génération de clé de décodeur DKG A01, DKG A 02 ou DKG A 03

remove 2 Class bits	retirer les 2 bits de Class
64-bit KeyBlock	Bloc de clés de 64 bits
64-bit DataBlock	Bloc de données de 64 bits
encrypt using EncryptionAlgorithm07 or 09	Chiffrer en utilisant l'algorithme de chiffrement EncryptionAlgorithm07 ou 09
transpose 2 Class bits	transposer les 2 bits de classe
66-bit TokenData	Données du jeton de 66 bits
EA	EA
DKGA	DKGA
SGC	SGC
KRN	KRN
KT	KT
TI	TI
IIN	IIN
DRN	DRN
TCT	TCT
IDRecord	Données d'enregistrement d'identification
PRNRecord	Données d'enregistrements pour impression
TCDU	TCDU

Figure 9 – Fonction TCDUGeneration pour le jeton Set2ndSectionDecoderKey

Il s'agit de la fonction de transfert de l'APDU vers la TCDU (voir Figure 9) et elle est applicable seulement au jeton Set2ndSectionDecoderKey.

La fonction TCDUGeneration_Set2ndSectionDecoderKey est montrée comme étant distincte de la fonction TCDUGeneration_Set1stSectionDecoderKey, mais, dans la pratique, les deux peuvent être fusionnées en une seule afin d'économiser des ressources de traitement et par souci de commodité. Dans un tel cas, la génération de la nouvelle DecoderKey a seulement besoin de se produire une fois par exemple, bien que le résultat final soit toujours le même. Ainsi, deux instances de TCDU distinctes sont toujours produites: une pour le jeton Set1stSectionDecoderKey et une deuxième pour le jeton Set2ndSectionDecoderKey.

Noter qu'il faut que l'APDU propose deux jeux de données pour le PANBlock et le CONTROLBlock: un jeu avec les nouvelles données pour la nouvelle DecoderKey et un deuxième jeu avec les données courantes pour la DecoderKey courante. La valeur de DKGA est la même pour les deux jeux.

NOTE 1 Les éléments de données dans l'APDU sont définis en 6.1.1.

NOTE 2 Les éléments de données dans la TCDU sont définis dans chaque partie de la norme de protocole de couche physique de la série IEC 62055-5x applicable au TCT spécifique d'intérêt.

Cette fonction de transfert est présentée dans les grandes lignes comme suit:

- La nouvelle DecoderKey est générée à l'aide des nouvelles valeurs de SGC, KRN, KT, TI, IIN et DRN. L'algorithme spécifique de génération de clé de décodeur à utiliser est fonction de la valeur de DKGA dans l'APDU;
- Les 32 bits de poids faible de la valeur de la nouvelle DecoderKey obtenue sont ensuite utilisés pour remplacer le champ NKLO du jeton Set2ndSectionDecoderKey (voir 6.2.8) tel que présenté par l'APDU;
- Les 2 bits de Class sont enlevés du jeton de 66 bits pour donner un résultat de 64 bits, qui est alors présenté à l'algorithme de chiffrement comme étant sa donnée d'entrée de

DataBlock. L'algorithme de chiffrement spécifique à utiliser est fonction du code EA dans l'APDU;

- l'entrée KeyBlock pour l'algorithme de chiffrement est obtenue à partir de l'algorithme de génération de clé de décodeur, qui génère la DecoderKey courante en utilisant les valeurs courantes de SGC, KRN, KT, TI, IIN et DRN issues de l'APDU comme indiqué. L'algorithme spécifique de génération de clé de décodeur à utiliser est fonction de la valeur de DKGa dans l'APDU;
- après chiffrement, les 2 bits de Class sont réinsérés dans le nombre de 64 bits selon la méthode définie en 6.4.2 pour donner un résultat de 66 bits, qui est peuplé dans le champ TokenData de la TCDU selon la définition particulière donnée dans la norme de protocole de couche physique pertinente;
- de même, les éléments de données TCT, IDRecord et PRNRecord issus de l'APDU sont transférés vers la TCDU comme indiqué, dans les champs appropriés de la TCDU en fonction de la définition particulière donnée dans la norme de protocole de couche physique pertinente.

6.5 Fonctions de sécurité

6.5.1 Exigences générales

À l'exception des valeurs de DITK, les valeurs de VendingKey et de DecoderKey doivent seulement être générées par un dispositif chargé de la génération de jetons, tel qu'un POS qui est certifié comme étant conforme à la STS, et qui est assujéti à un KeyManagementSystem certifié STS (voir Article 9). Le présent paragraphe décrit les méthodes de génération de clé utilisées par de tels dispositifs et il est applicable aux constructeurs de ces dispositifs.

6.5.2 Attributs de clé et changements de clé

6.5.2.1 Exigences relatives au changement de clé

À l'exception des valeurs de DITK, les valeurs des clés STS doivent seulement être introduites ou modifiées dans un compteur à paiement à partir du dispositif chargé de la gestion de clé, tel qu'un POS qui est certifié comme étant conforme à la STS et qui est assujéti à la gestion de clé STS. Le présent paragraphe décrit la méthode de changement de clé de STS utilisée entre de tels dispositifs et les compteurs à paiement, et il est applicable aux constructeurs de ces dispositifs et compteurs à paiement.

Un changement de clé de STS fournit le mécanisme pour changer la DecoderKey présente dans un décodeur en la faisant passer de sa valeur courante à sa nouvelle valeur. Ce processus peut être initié par plusieurs événements ou circonstances, y compris ce qui suit:

- un compteur à paiement, neuf ou réparé, qui contient la valeur de DITK d'un constructeur doit être changé avant de quitter les locaux de fabrication ou de réparation pour contenir la valeur appropriée par défaut (DDTK) du constructeur ou de la DecoderKey (DUTK ou DCTK) de l'entreprise de distribution selon le SupplyGroup auquel le compteur à paiement a été alloué;
- une VendingKey d'un SupplyGroup a expiré ou a été compromise et elle est remplacée par une nouvelle révision de VendingKey et, donc, chaque DecoderKey au sein du SupplyGroup doit être changée en faisant passer sa valeur de DecoderKey courante à la valeur de DecoderKey qui correspond à la valeur de la nouvelle VendingKey;
- un compteur à paiement est réalloué d'un SupplyGroup à un autre SupplyGroup et, donc, sa DecoderKey doit être changée en faisant passer sa valeur courante générée à partir de la VendingKey du SupplyGroup précédent à la nouvelle valeur générée à partir de la VendingKey de son nouveau SupplyGroup; ou
- le TI pour un compteur à paiement a changé et, donc, sa DecoderKey doit être changée en faisant passer sa valeur courante (qui correspond au TI précédent) à la nouvelle valeur (qui correspond au nouveau TI).

La paire de jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey opère un changement de clé de STS. Cette paire de jetons de gestion spécifique à un compteur transfère les informations suivantes, du POS vers le compteur à paiement, chiffrées sous la DecoderKey courante:

- la valeur de la nouvelle DecoderKey;
- le KEN;
- le KRN;
- le KT;
- le TI.

Un changement de clé STS pour un compteur à paiement doit être initié automatiquement chaque fois que l'un quelconque des attributs suivants de la VendingKey change de valeur:

- la valeur de la VendingKey;
- la valeur du SGC;
- la valeur du TI;
- la valeur du KEN;
- la valeur du KRN;
- La valeur du KT.

NOTE Voir 6.1.1 pour les spécifications particulières relatives aux éléments de données dans l'APDU.

6.5.2.2 Classification des VendingKey

6.5.2.2.1 Classification des VendingKey (clés de vente)

La VendingKey est une valeur clé DES qui est secrètement générée, stockée et distribuée dans le KeyManagementSystem (voir Annexe A). Les VendingKey DES sont les clés-germes à partir desquelles les DecoderKey sont générées.

La VendingKey est classée selon la valeur de son KT associé, qui est un attribut qui définit le but dans lequel la clé peut être utilisée. Trois valeurs de KT sont définies pour les VendingKey et correspondent à trois des types de SupplyGroup (voir 6.1.6), à savoir Default (c'est-à-dire: valeur par défaut), Unique et Common (c'est-à-dire: commune). La VendingKey pour un SupplyGroup donné est la clé-germe utilisée pour générer des valeurs de DecoderKey pour tous les compteurs à paiement au sein du SupplyGroup.

Les VendingKey STS sont classées selon les valeurs de KT données dans le Tableau 24.

Tableau 24 – Classification des VendingKey (clés de vente)

KT	Type de SGC	Type de VendingKey	Contexte
0	Initialisation	Non spécifié	Sans objet
1	Default (valeur par défaut)	VDDK	VendingDefaultDESKey (Clé DES par défaut de vente)
2	Unique	VUDK	VendingUniqueDESKey (Clé DES unique de vente)
3	Common (commun)	VCDK	VendingCommonDESKey (Clé DES commune de vente)

À un instant donné quelconque, une valeur unique de VDDK existe pour chaque SupplyGroup de type "Default" défini. De même, une valeur unique de VUDK pour chaque SupplyGroup de type "Unique" et une valeur unique de VCDK pour chaque SupplyGroup de type "Common" sont définies.

6.5.2.2.2 VDDK: VendingDefaultDESKey

Ce type de clé est utilisé comme clé-germe pour générer des valeurs de DDTK – il ne doit pas être utilisé pour générer des valeurs de DITK, de DUTK ou de DCTK.

6.5.2.2.3 VUDK: VendingUniqueDESKey

Ce type de clé est utilisé comme clé-germe pour générer des valeurs de DUTK – il ne doit pas être utilisé pour générer des valeurs de DITK, de DDTK ou de DCTK.

6.5.2.2.4 VCDK: VendingCommonDESKey

Ce type de clé est utilisé comme clé-germe pour générer des valeurs de DCTK – il ne doit pas être utilisé pour générer des valeurs de DITK, de DDTK ou de DUTK.

6.5.2.3 Classification des DecoderKey

6.5.2.3.1 Classification des DecoderKey (clés de décodeur)

Les DecoderKey STS sont classées selon les valeurs de KT données dans le Tableau 25 et héritent de leur type de celui de la VendingKey, à partir de laquelle elles sont dérivées.

Tableau 25 – Classification des DecoderKey (clés de décodeur)

KT	Type de SGC	Type de DecoderKey	Contexte
0	Initialisation	DITK	DecoderInitialisationTransferKey
1	Default (valeur par défaut)	DDTK	DecoderDefaultTransferKey (Clé de transfert par défaut de décodeur)
2	Unique	DUTK	DecoderUniqueTransferKey (Clé de transfert unique de décodeur)
3	Common (commun)	DCTK	DecoderCommonTransferKey (clé de transfert commune de décodeur)

Pour de plus amples informations concernant les règles pour changer d'une clé d'un type à un autre type, voir la Figure 10 et le Tableau 26 en 6.5.2.4.

Un compteur à paiement doit être capable de stocker au moins une valeur de DecoderKey et la valeur de son KT associé dans son DecoderKeyRegister (voir 7.3.2).

Il ne doit pas être possible de lire ou d'extraire la valeur de DecoderKey à partir d'un compteur à paiement en toute circonstance, qu'elle soit chiffrée ou en texte clair.

6.5.2.3.2 DITK: DecoderInitialisationTransferKey

Les valeurs de DITK sont utilisées pour initialiser le DecoderKeyRegister pendant la production ou la réparation dans les locaux du constructeur. Ces clés sont la propriété du MeterManufacturer. À ce titre, elles sont générées et gérées par le constructeur, et sont inconnues de l'entreprise de distribution.

Aucun compteur à paiement acheté par l'entreprise de distribution ne doit quitter les locaux d'un constructeur avec une valeur de DITK dans le DecoderKeyRegister. Le DecoderKeyRegister doit contenir une valeur de DDTK, de DUTK ou de DCTK fournie par le KMC. Une DITK est le seul type de clé qui peut être introduite dans un compteur à paiement sous la forme d'une valeur en texte clair. Les valeurs de DDTK, de DUTK ou de DCTK ne peuvent être introduites dans un compteur à paiement que sous la forme de valeurs (chiffrées) de texte de chiffrement.

Une DITK ne doit être utilisée que pour les fonctions de gestion de clé ci-après:

- comme la clé parente d'une autre DITK; autrement dit, pour chiffrer une autre DITK dans le but de l'introduire dans le DecoderKeyRegister;
- comme la clé parente d'une DDTK;
- comme la clé parente d'une DUTK, et
- comme la clé parente d'une DCTK, mais seulement dans un compteur à paiement utilisant une carte magnétique effaçable comme support de jeton (pour la valeur de TCT = 01).

Les fonctions ci-dessus peuvent être accomplies par l'intermédiaire des jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey ou par l'intermédiaire d'un mécanisme de chargement propriétaire du constructeur qui utilise les jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey. Il convient que le compteur à paiement n'accepte que la DDTK, DUTK ou DCTK chiffrée sous la DITK fournie par le constructeur au format de jeton Set1stSectionDecoderKey et Set2ndSectionDecoderKey.

Il est de la responsabilité du constructeur d'assurer que des mesures de sécurité appropriées sont appliquées à toute DITK afin que les valeurs de DDTK, de DUTK ou de DCTK chiffrées avec une DITK ne puissent pas être compromises.

Une DITK peut également être utilisée pour déchiffrer d'autres fonctions de gestion spécifiques à un compteur. Elle peut être utilisée pour déchiffrer une fonction de transfert de crédit STS; autrement dit, un jeton de TransferCredit STS valide peut être déchiffré et appliqué par un compteur à paiement qui contient une DITK dans son registre de clés afin de faciliter les essais du compteur à paiement pendant la production ou la réparation.

6.5.2.3.3 DDTK: DecoderDefaultTransferKey

Les valeurs de DDTK sont utilisées pour prendre en charge des compteurs à paiement alloués à un SupplyGroup par défaut. Un compteur à paiement qui n'a pas été alloué à un SupplyGroup de type Common ou à un SupplyGroup de type Unique au moment de la fabrication ou de la réparation ne peut pas être chargé avec sa valeur correspondante de DCTK ou de DUTK. A la place, il est alloué à un groupe par défaut (Default) propre à chaque constructeur et chargé avec sa valeur de DDTK correspondante. Chaque MeterManufacturer reçoit une VDDK unique, à partir de laquelle il génère toutes les valeurs de DDTK pour l'installation dans des compteurs à paiement pendant la fabrication.

Ultérieurement, au moment de l'installation ou de l'exploitation, un compteur à paiement qui a été maintenant réalloué à un autre SupplyGroup spécifique peut être chargé avec la valeur de DUTK ou de DCTK correspondante, chiffrée sous sa DDTK parente. Les valeurs de DDTK sont la propriété du MeterManufacturer respectif et sont gérées au sein du KeyManagementSystem.

Une DDTK est une valeur secrète, et ne doit pas être acceptée par un compteur à paiement sous la forme d'une valeur en texte clair. Un compteur à paiement ne doit charger une DDTK que si elle est chiffrée avec la DecoderKey parente présente dans le DecoderKeyRegister.

Une DDTK ne doit être utilisée que pour les fonctions de gestion de clé ci-après:

- comme la clé parente d'une autre DDTK; autrement dit, pour chiffrer une autre DDTK dans le but de l'introduire dans le DecoderKeyRegister;
- comme la clé parente d'une DUTK, et
- comme la clé parente d'une DCTK, mais seulement dans un compteur à paiement utilisant une carte magnétique effaçable comme support de jeton (pour la valeur de TCT = 01).

Les fonctions ci-dessus peuvent être accomplies par l'intermédiaire des jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey ou par l'intermédiaire d'un mécanisme de chargement propriétaire du constructeur qui utilise les

jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey. Une DDTK ne doit pas être utilisée pour déchiffrer une DITK dans le but de l'introduire dans le DecoderKeyRegister.

Une DDTK peut également être utilisée pour déchiffrer d'autres fonctions de gestion spécifiques à un compteur. Elle ne doit pas être utilisée pour déchiffrer et accepter une fonction de transfert de crédit STS; autrement dit, un jeton de TransferCredit valide ne doit pas être accepté par un compteur à paiement qui contient une DDTK dans son DKR, même si le jeton de TransferCredit a été chiffré avec la même valeur de DDTK.

NOTE L'accent est mis sur l'acceptation et non sur le déchiffrement du jeton de TransferCredit.

De même, un dispositif POS utilisé pour déchiffrer des jetons ne doit pas chiffrer les jetons de TransferCredit en utilisant des valeurs de DDTK (voir aussi 6.5.2.4).

6.5.2.3.4 DUTK: DecoderUniqueTransferKey

Les valeurs de DUTK sont utilisées pour prendre en charge des compteurs à paiement alloués à un SupplyGroup unique. Un compteur à paiement qui a été alloué à un SupplyGroup unique au moment de la fabrication ou de la réparation peut être chargé avec sa valeur de DUTK qui correspond au groupe unique et qui a été chiffrée sous une DITK parente. Ultérieurement, au moment de l'installation ou de l'exploitation, un compteur à paiement à réallouer à un autre groupe unique peut être chargé avec la valeur de DUTK correspondante, chiffrée sous une DITK parente.

Une DUTK est une valeur secrète, et ne doit pas être acceptée par un compteur à paiement sous la forme d'une valeur en texte clair. Un compteur à paiement ne doit charger une DUTK que si elle a été chiffrée avec la DecoderKey parente présente dans le DecoderKeyRegister. Les valeurs de DUTK sont la propriété de l'entreprise de distribution respective et sont gérées au sein du KeyManagementSystem.

Un compteur à paiement acheté ou réparé qui quitte les locaux du constructeur peut contenir une valeur de DUTK fournie par le KMC dans le DecoderKeyRegister.

Une DUTK ne doit être utilisée que pour les fonctions de gestion de clé ci-après:

- comme la clé parente d'une autre DUTK; autrement dit, pour chiffrer une autre DUTK dans le but de l'introduire dans le DecoderKeyRegister, et
- comme la clé parente d'une DDTK.

Les fonctions ci-dessus peuvent être accomplies par l'intermédiaire des jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey ou par l'intermédiaire d'un mécanisme de chargement propriétaire du constructeur qui utilise les jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey. Une DUTK ne doit pas être utilisée pour déchiffrer une DITK ou une DCTK dans le but de la charger dans le DecoderKeyRegister. De même, une DUTK ne doit pas être utilisée pour chiffrer une DITK ou une DCTK dans le but de la transférer vers le compteur à paiement sous la forme d'un jeton.

Une DUTK peut également être utilisée pour chiffrer ou déchiffrer d'autres fonctions de gestion spécifiques à un compteur. Elle peut être utilisée pour chiffrer ou déchiffrer une fonction de transfert de crédit STS; autrement dit, un jeton de TransferCredit valide peut être chiffré ou déchiffré et appliqué par un compteur à paiement qui contient une DUTK dans son DKR.

6.5.2.3.5 DCTK: DecoderCommonTransferKey

Les valeurs de DCTK sont utilisées pour prendre en charge les compteurs à paiement qui utilisent des supports de jeton de cartes magnétiques effaçables (c'est-à-dire: la valeur de TCT = 01) et qui sont alloués à des SupplyGroup communs. Un compteur à paiement qui a été alloué à un SupplyGroup commun au moment de la fabrication ou de la réparation peut

être chargé avec la valeur de DCTK qui correspond au SupplyGroup commun et qui a été chiffrée sous une DITK parente. Ultérieurement, au moment de l'installation ou de l'exploitation, un compteur à paiement à réallouer à un autre SupplyGroup commun peut être chargé avec la valeur de DCTK correspondante qui a été chiffrée sous une DCTK parente.

Une DCTK ne doit être utilisée qu'avec les compteurs à paiement qui utilisent les supports de jeton de cartes magnétiques effaçables (valeur de TCT = 01) et elle ne doit être acceptée que par de tels compteurs à paiement. Les compteurs à paiement avec tout autre type de support de jeton (valeur de TCT > 01) doivent rejeter les jetons chiffrés sous des valeurs de DCTK.

Les dispositifs de chiffrement POS ne doivent pas chiffrer les jetons utilisant des valeurs de DCTK autres que pour les supports de jetons de cartes magnétiques effaçables (valeur de TCT = 01).

Une DCTK est une valeur secrète, et ne doit pas être acceptée par un compteur à paiement sous la forme d'une valeur en texte clair. Un compteur à paiement ne doit charger une DCTK que si elle a été chiffrée avec la DecoderKey parente présente dans le DecoderKeyRegister. Les valeurs de DCTK sont la propriété de l'entreprise de distribution respective et sont gérées au sein du KeyManagementSystem.

Un compteur à paiement acheté ou réparé avec un support de jeton de carte magnétique effaçable (valeur de TCT = 01) qui quitte les locaux du constructeur peut contenir une valeur de DCTK fournie par le KMC dans le DecoderKeyRegister.

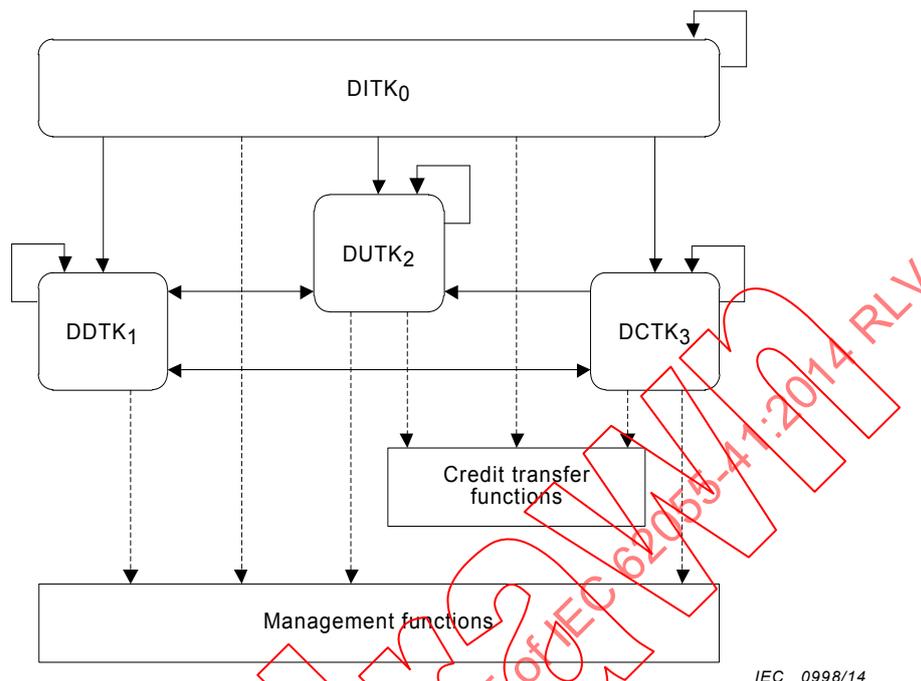
Une DCTK ne doit être utilisée que pour les fonctions de gestion de clé ci-après:

- comme la clé parente d'une autre DCTK: autrement dit, pour chiffrer une autre DCTK dans le but de l'introduire dans le DecoderKeyRegister;
- comme la clé parente d'une DDTK, et
- comme la clé parente d'une DUTK.

Les fonctions ci-dessus peuvent être accomplies par l'intermédiaire des jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey ou par l'intermédiaire d'un mécanisme de chargement propriétaire du constructeur qui utilise les jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey. Une DCTK ne doit pas être utilisée pour déchiffrer une DITK dans le but de l'introduire dans le DecoderKeyRegister. De même, une DCTK ne doit pas être utilisée pour chiffrer une DITK dans le but de la transférer vers le compteur à paiement sous la forme d'un jeton.

Une DCTK peut également être utilisée pour chiffrer ou déchiffrer d'autres fonctions de gestion spécifiques à un compteur. Elle peut être utilisée pour chiffrer ou déchiffrer une fonction de transfert de crédit STS; autrement dit, un jeton de TransferCredit valide peut être chiffré ou déchiffré et appliqué par un compteur à paiement qui contient une DCTK dans son DKR et qui utilise un support de jeton de carte magnétique (valeur de TCT = 01).

6.5.2.4 Diagramme d'états des changements de DecoderKey



Légende

Anglais	Français
DITK ₀	Clé de transfert d'initialisation de décodeur DITK ₀
DDTK ₁	Clé de transfert d'initialisation de décodeur DITK ₁
DUTK ₂	Clé de transfert d'initialisation de décodeur DITK ₂
DCTK ₃	Clé de transfert d'initialisation de décodeur DITK ₃
Credit transfer functions	Fonctions de transfert de crédit
Management functions	Fonctions de gestion

Figure 10 – Changements de DecoderKey – diagramme d'états

La Figure 10 illustre les états de KT dans lesquels une DecoderKey peut être de temps en temps.

Lorsqu'une certaine clé est utilisée pour chiffrer une autre clé (comme dans la paire de jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey), la première est appelée "clé parente" et la seconde "clé enfant".

Les flèches en trait continu indiquent le sens dans lequel une clé peut passer d'un type à un autre type. Le type de départ est la clé parente et le type d'arrivée est la clé enfant. Pour effectuer un changement de la DecoderKey, la nouvelle clé (ou clé enfant) est chiffrée avec la clé parente et ensuite chargée dans le compteur à paiement au moyen de la paire de jetons Set1stSectionDecoderKey et Set2ndSectionDecoderKey. Le compteur à paiement remplace ensuite la clé parente par la clé enfant, qui devient maintenant la nouvelle clé parente.

Les flèches en pointillés indiquent la fonction, pour laquelle un KT peut être utilisé, c'est-à-dire les valeurs qu'il peut chiffrer ou déchiffrer. Par exemple, seule une DITK, une DUTK ou une DCTK peut être utilisée pour chiffrer ou déchiffrer une fonction de transfert de crédit, mais les quatre types peuvent être utilisés pour chiffrer ou déchiffrer des fonctions de gestion spécifiques à un compteur.